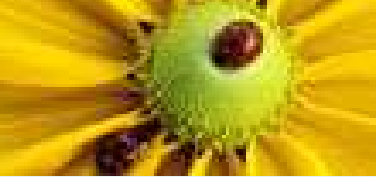


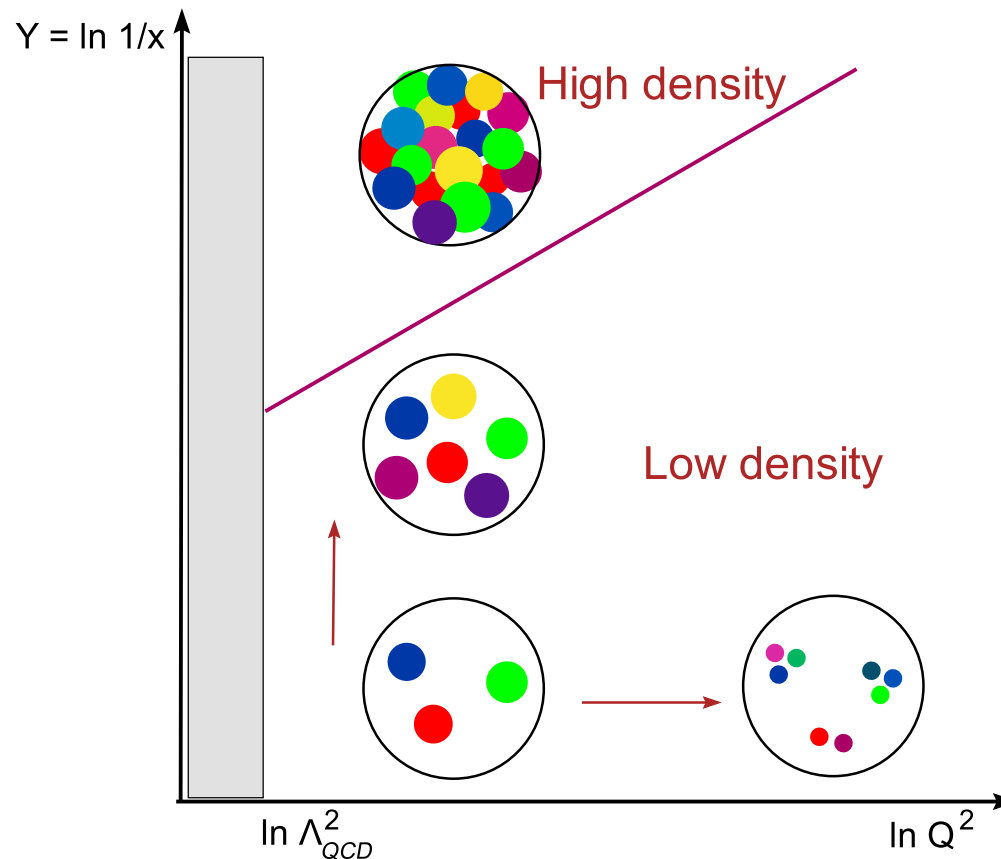
# The **C**olor **G**lass **C**ondensate: Forward Physics at the LHC

Edmond Iancu  
SPhT Saclay & CNRS



# Introduction: What is the CGC ?

- A physical picture, and a theory (within pQCD), for the ‘small- $x$ ’ part of the wavefunction of an energetic hadron



- Generalization of the parton picture to high gluon density

Introduction

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Gluons at HERA

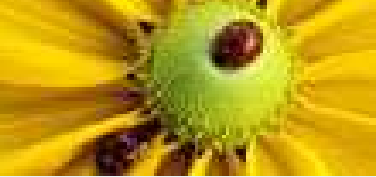
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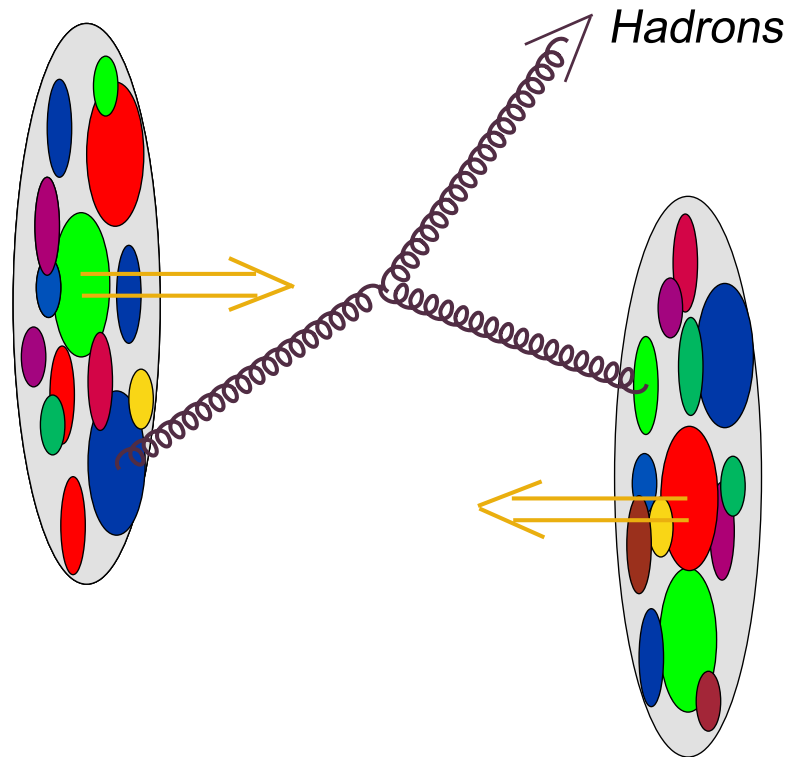
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DIS at small x



# Introduction: What is the CGC ?

- The partonic (generalized) ‘distributions’ (including correlations) in the transverse plane **prior to a collision**



- When supplemented with a factorization prescription, it can be used to compute scattering amplitudes at high energy

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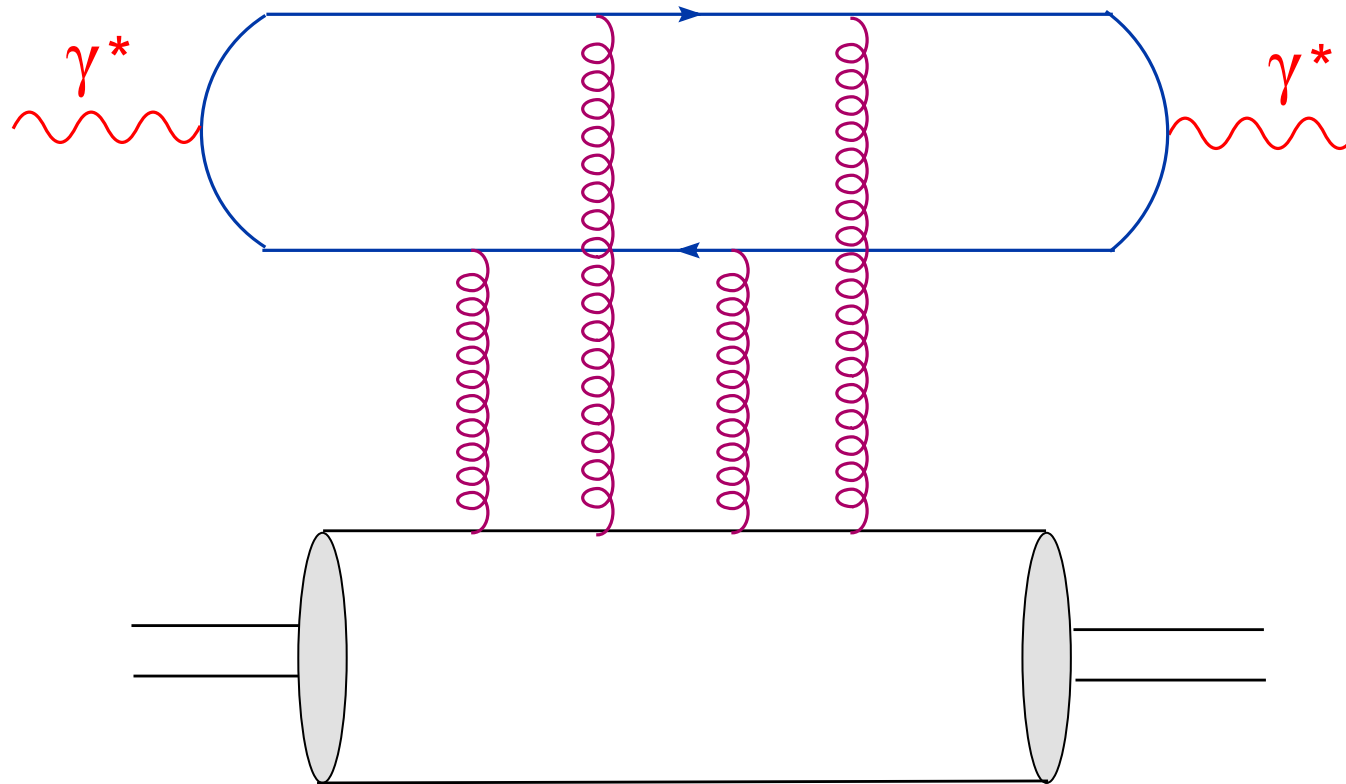
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# Introduction: What is the CGC ?



- The factorization is well under control for **deep inelastic scattering (DIS)** at small- $x$  ...

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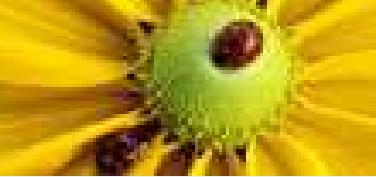
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# Introduction: What is the CGC ?



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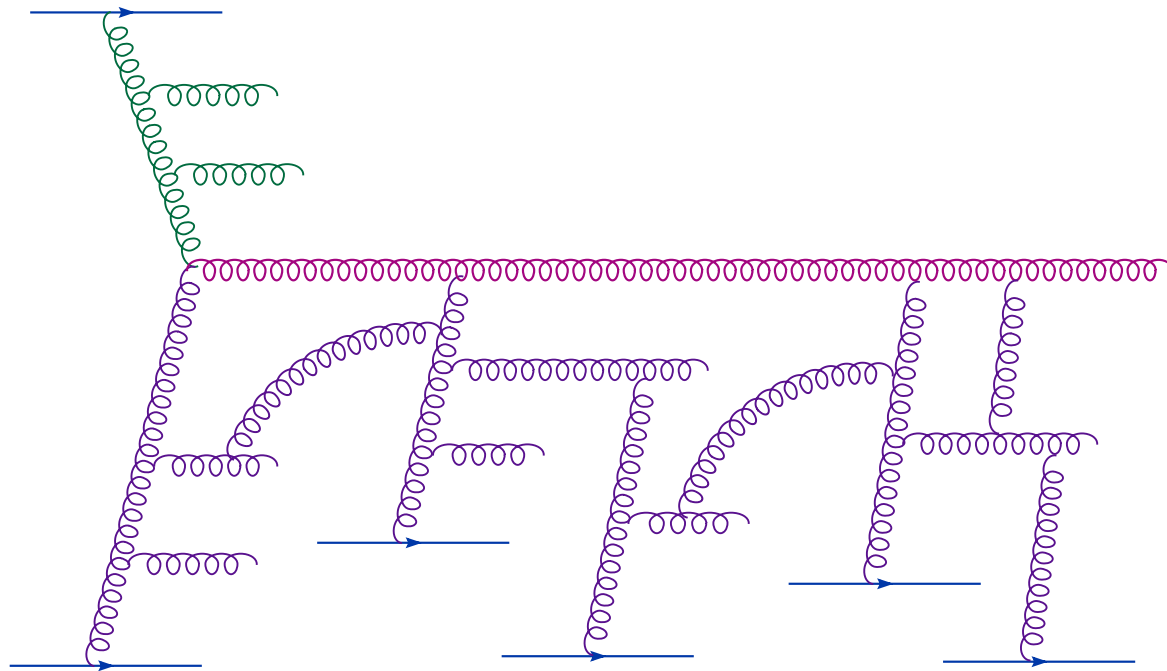
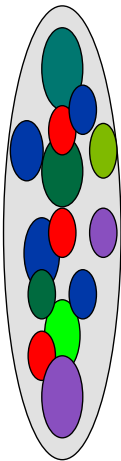
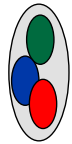
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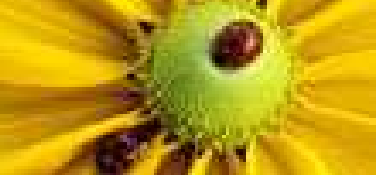
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- ... and also for 'dilute-dense' hadron-hadron collisions ( $pA$  or  $pp$  at forward rapidities)



# Introduction: What is the CGC ?

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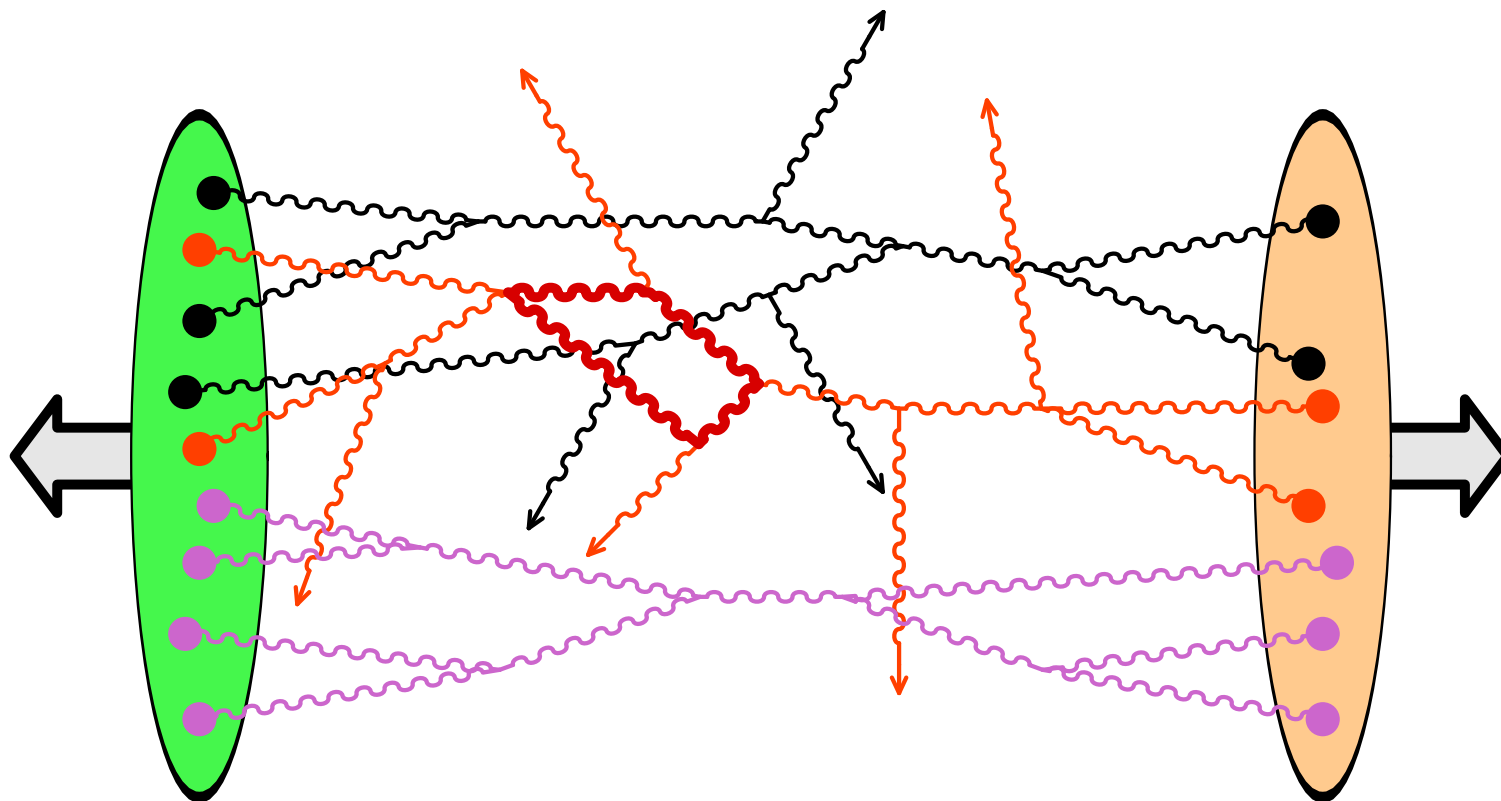
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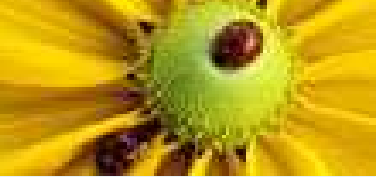
*(courtesy of François)*

- *AA* collisions : ‘complications’ due to final state interactions

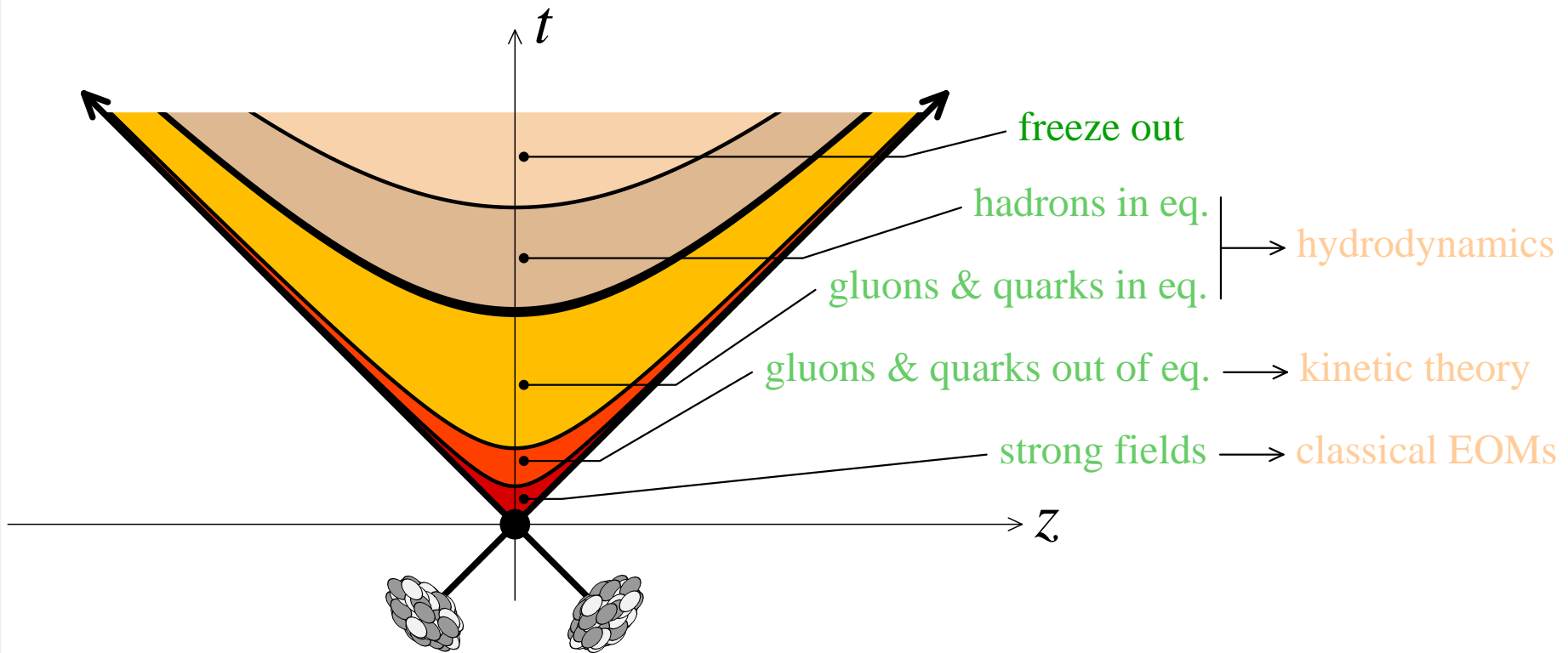
‘complications’ = important new physical effects, not encoded in the initial wavefunctions

*(rescattering, thermalization (QGP), hadronization, jet quenching ...)*

# Introduction: What is the CGC ?



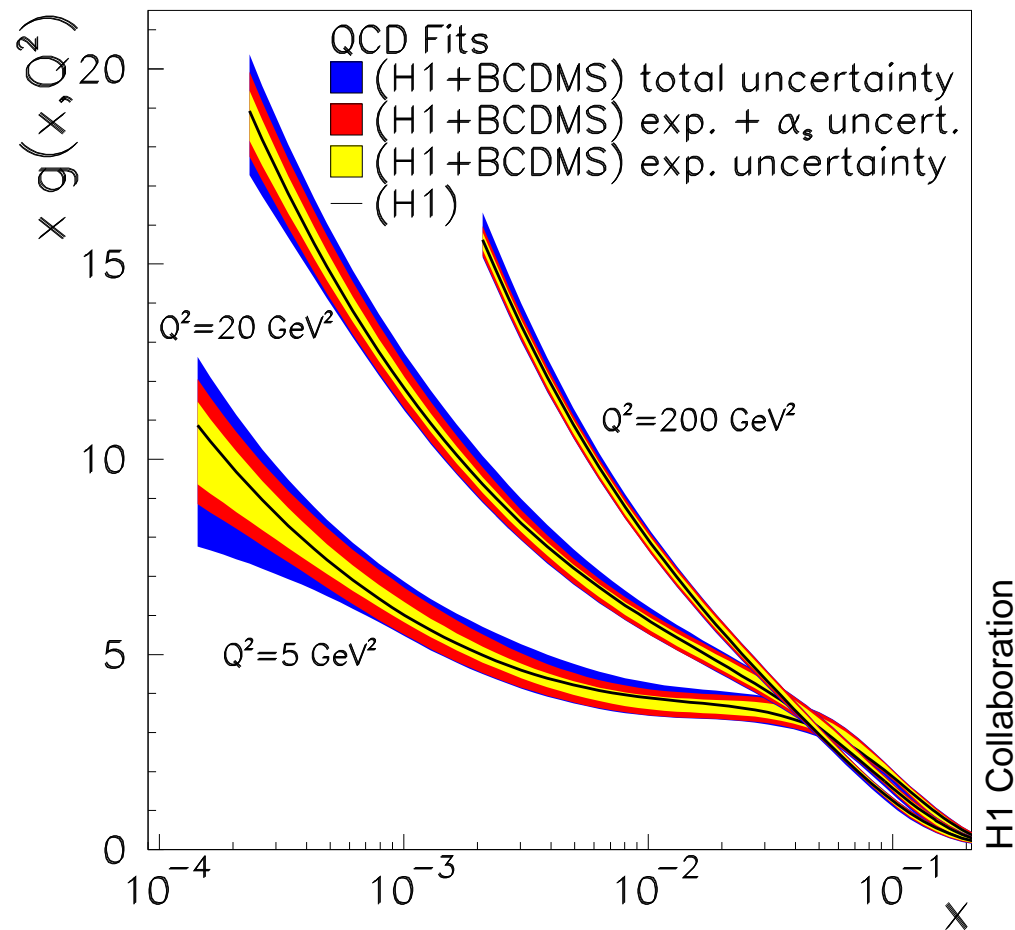
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- “CGC gives the initial conditions for the heavy ion collision”
  - ◆ calculate the initial production of semi-hard particles
  - ◆ prepare the stage for kinetic theory or hydrodynamics

# Motivation: Gluons at HERA

▷ The gluon distribution rises **very** fast at small  $x$  ! ( $\sim 1/x^\lambda$ )



$xG(x, Q^2) \approx$  # of gluons with transverse area  $\sim 1/Q^2$  and  $k_z = xP$

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Gluons at HERA

● Gluons at HERA

● Occupation number

● Saturation momentum

● Large nucleus

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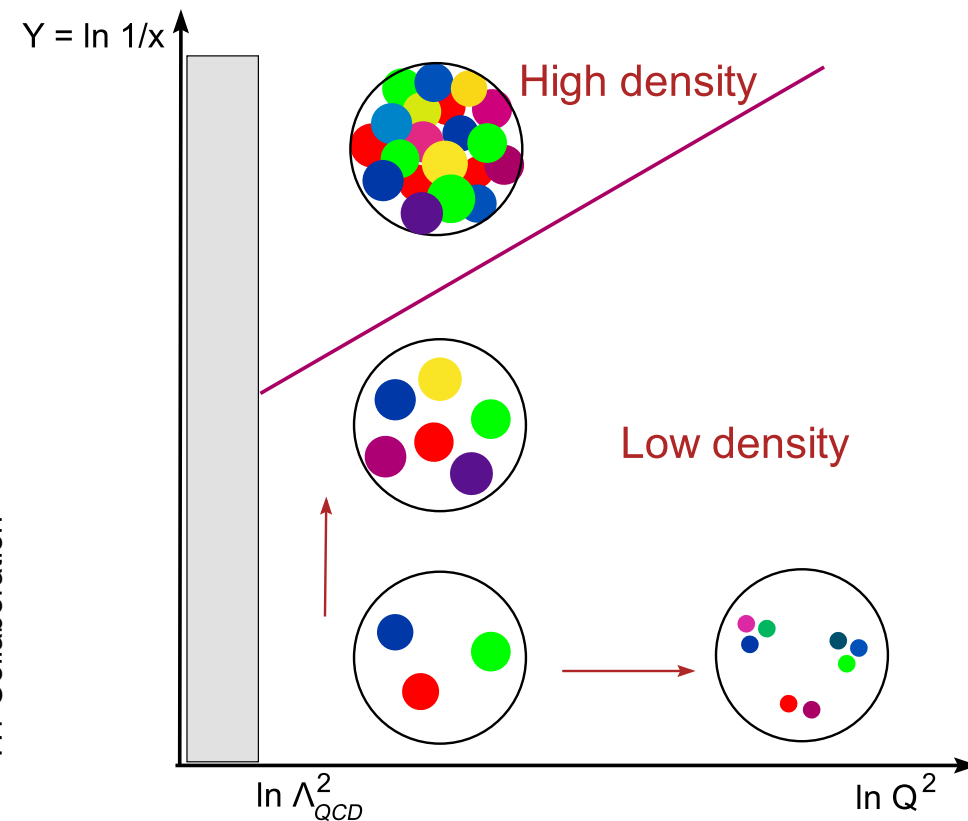
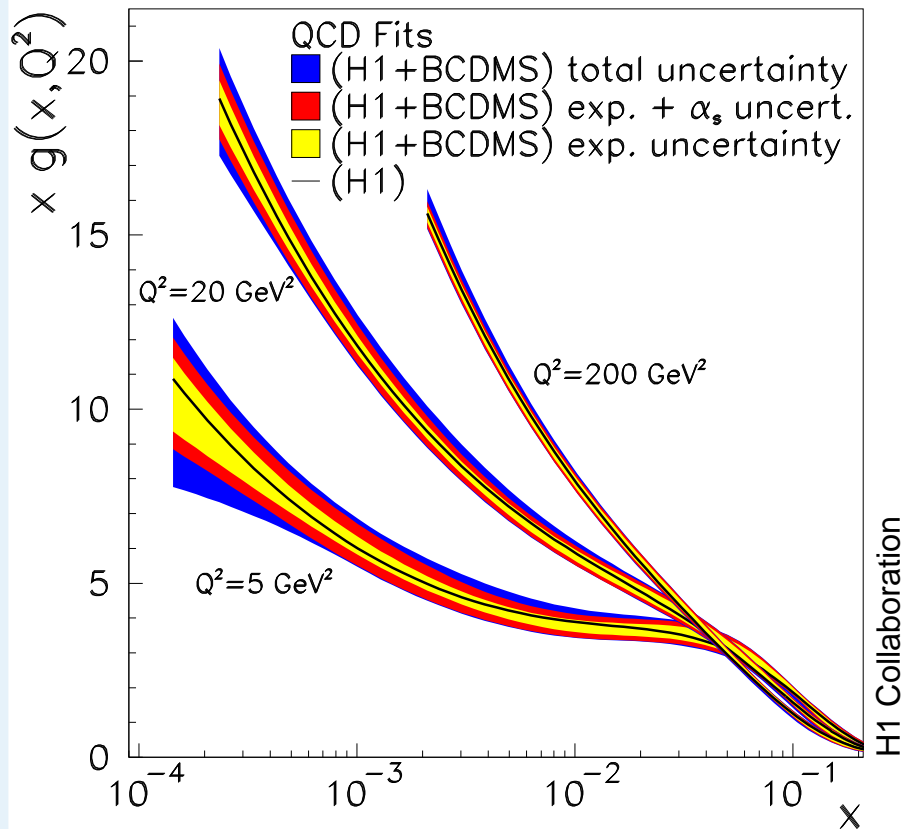
DIS at small  $x$



# Motivation: Gluons at HERA

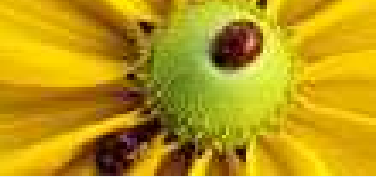


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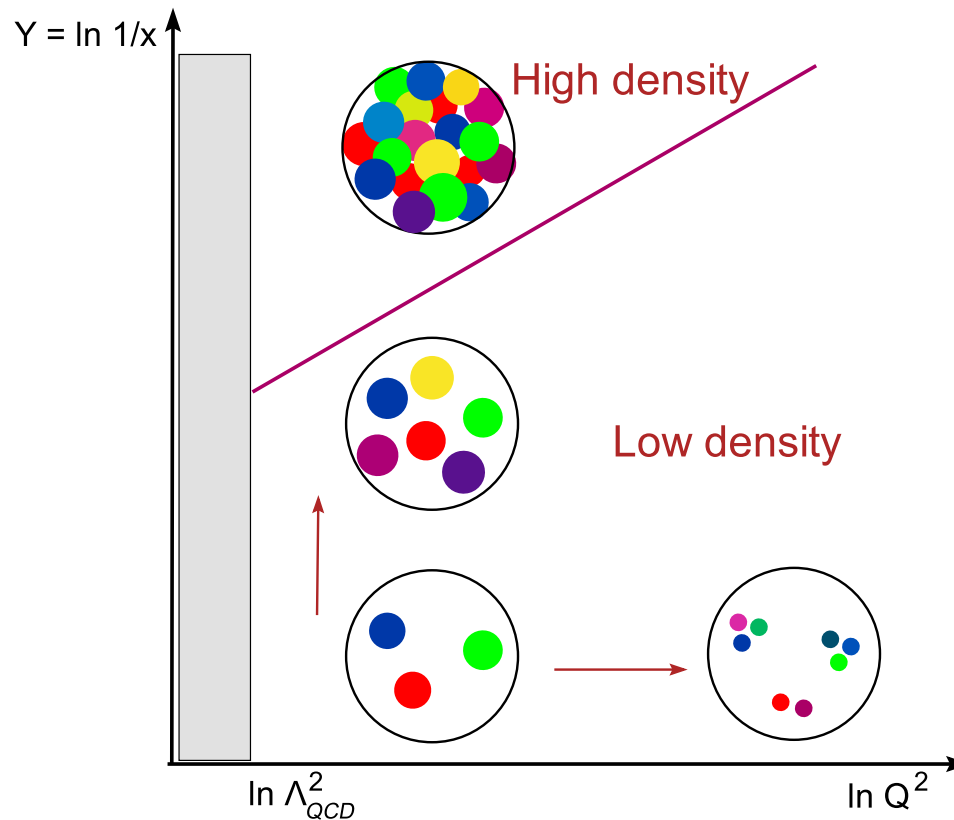


- ▷ High- $Q^2$  evolution : The parton density is decreasing
- ▷ 'Small- $x$ ' evolution: An evolution towards increasing density

# Gluon occupation number



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▷ What matters is not the gluon number, but the occupation number !

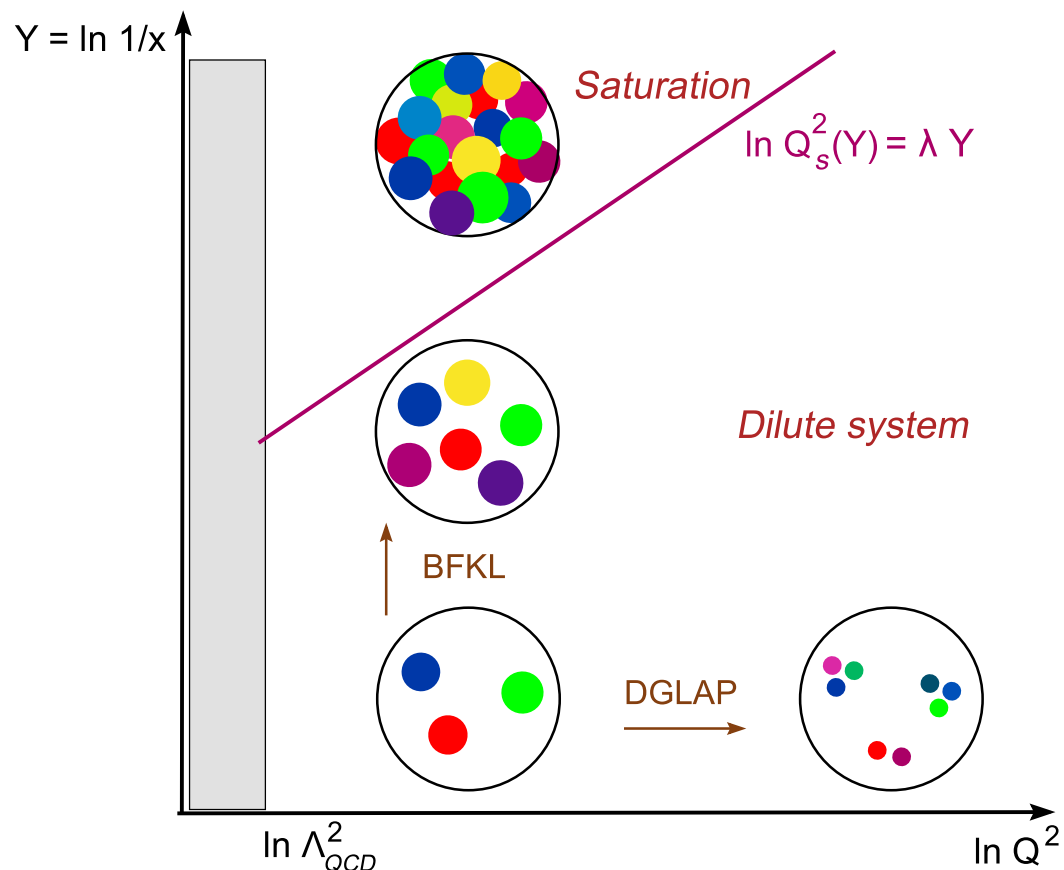
$$\varphi(x, Q^2) \equiv \frac{\pi}{Q^2} \times \frac{xG(x, Q^2)}{\pi R^2} \sim \frac{\log Q^2}{Q^2} \frac{1}{x^\lambda}$$

the 'fraction' of the hadron area which is covered by gluons

# The Saturation Momentum

- Onset of non-linear physics :  $\varphi(x, Q^2) \sim 1/\alpha_s \gg 1$

$$Q_s^2(x) \simeq \frac{\alpha_s}{N_c} \frac{xG(x, Q_s^2)}{\pi R^2} \sim x^{-\lambda}$$



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# Large nucleus ( $A \gg 1$ )

- $xG_A(x, Q^2) \propto A$  and  $R_A \propto A^{1/3}$

$$Q_s^2(A, x) \simeq \alpha_s \frac{xG_A(x, Q_s^2)}{\pi R_A^2} \sim x^{-\lambda} A^{1/3}$$

- Non-linear effects already at moderately high energies
- **Too optimistic (at very small values  $x$ ) !**  
The  $A$ -dependence is reduced by the small- $x$  evolution
- **Some estimates:** (theory+phenomenology)
  - ◆  $Q_s^2 \approx 1.5 \text{ GeV}^2$  at HERA ( $A = 1$ ) for  $x \sim 10^{-5}$   
RHIC ( $A = 208$ ) for  $x \sim 10^{-3}$
  - ◆ **LHC:** at  $x \sim 10^{-6}$ ,  $Q_s^2 \approx 3 \div 5 \text{ GeV}^2$  for protons  
 $Q_s^2 \approx 6 \div 12 \text{ GeV}^2$  for  $A = 208$

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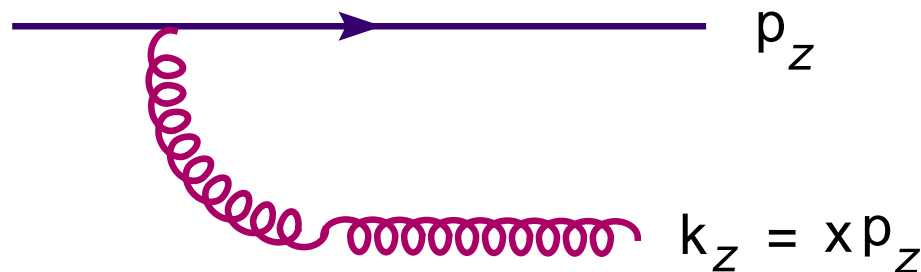
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DIS at small  $x$

# Gluon evolution at small $x$

- The ‘infrared sensitivity’ of **bremsstrahlung** favors the emission of ‘**soft**’ (= small- $x$ ) **gluons**



$$d\mathcal{P} \propto \alpha_s \frac{dk_z}{k_z} = \alpha_s \frac{dx}{x} \equiv \alpha_s dY$$

$$Y \equiv \ln \frac{1}{x} \sim \ln s \implies dY = \frac{dx}{x} : \text{“rapidity”}$$

- A probability of  $\mathcal{O}(\alpha_s)$  to emit **one gluon per unit rapidity**.
- High rapidity ( $\alpha_s Y \gg 1$ )  $\implies$  **Many gluons !**

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● NLO corrections

● Saturation front

● Geometric scaling

● Geometric scaling at HERA

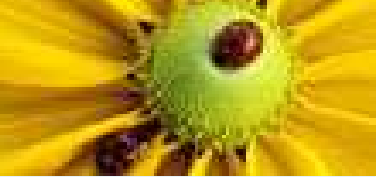
● Nuclear effects

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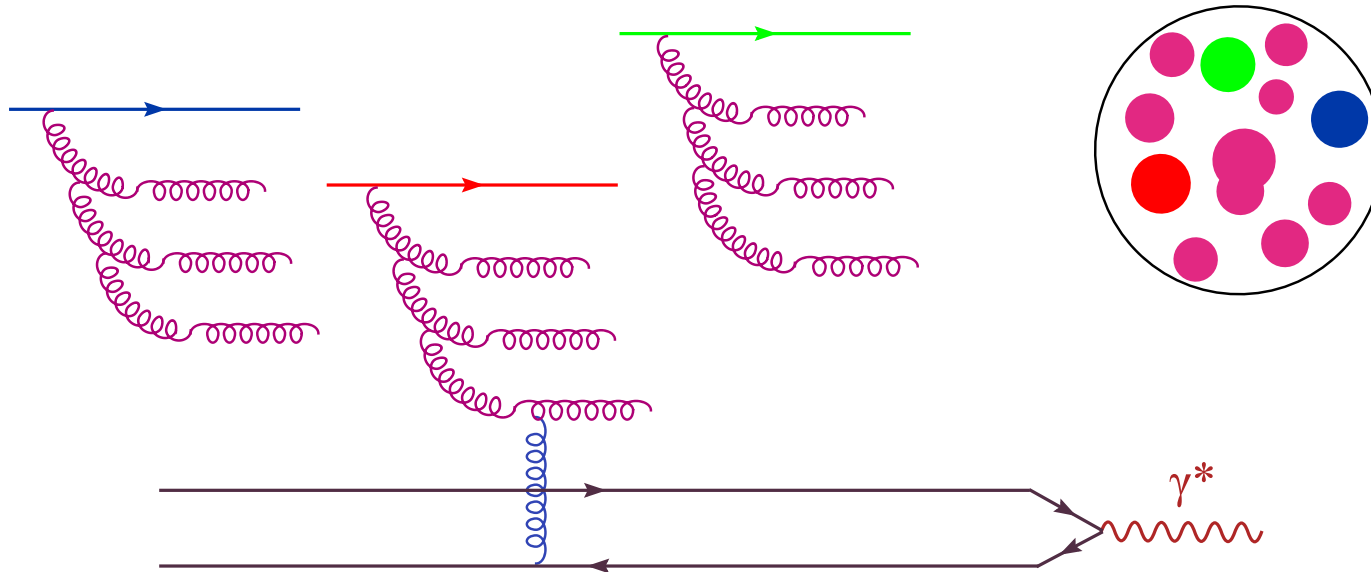
DIS at small  $x$



# BFKL evolution

- The ‘last’ gluon at small  $x$  can be emitted off any of the ‘fast’ gluons with  $x' > x$  radiated in the previous steps :

$$\frac{\partial \varphi}{\partial Y} \simeq \alpha_s \varphi \quad \Rightarrow \quad \varphi(Y) \propto e^{\omega \alpha_s Y}$$



- Cartoon version of BFKL eq. (*Balitsky, Fadin, Kuraev, Lipatov, 78*)
- Valid so long as the density is low enough:  $\varphi \ll 1/\alpha_s$

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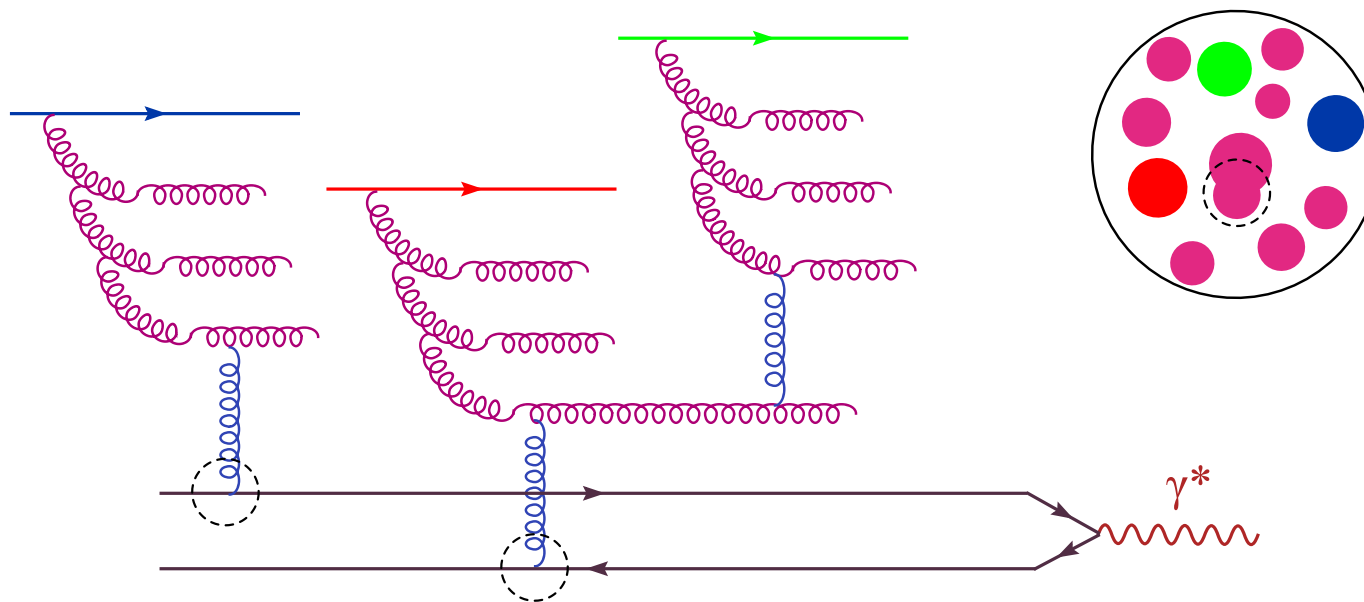
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DIS at small  $x$

# Non-linear evolution

- At high density, non-linear effects become important: **saturation, multiple scattering**



$$\frac{\partial \varphi}{\partial Y} \simeq \alpha_s \varphi - \alpha_s^2 \varphi^2 = 0 \quad \text{when} \quad \varphi \sim \frac{1}{\alpha_s} \gg 1$$

- ‘Fixed point’ at high energy (the evolution stops)
- Cartoon version of the **Balitsky–Kovchegov equation (99)**

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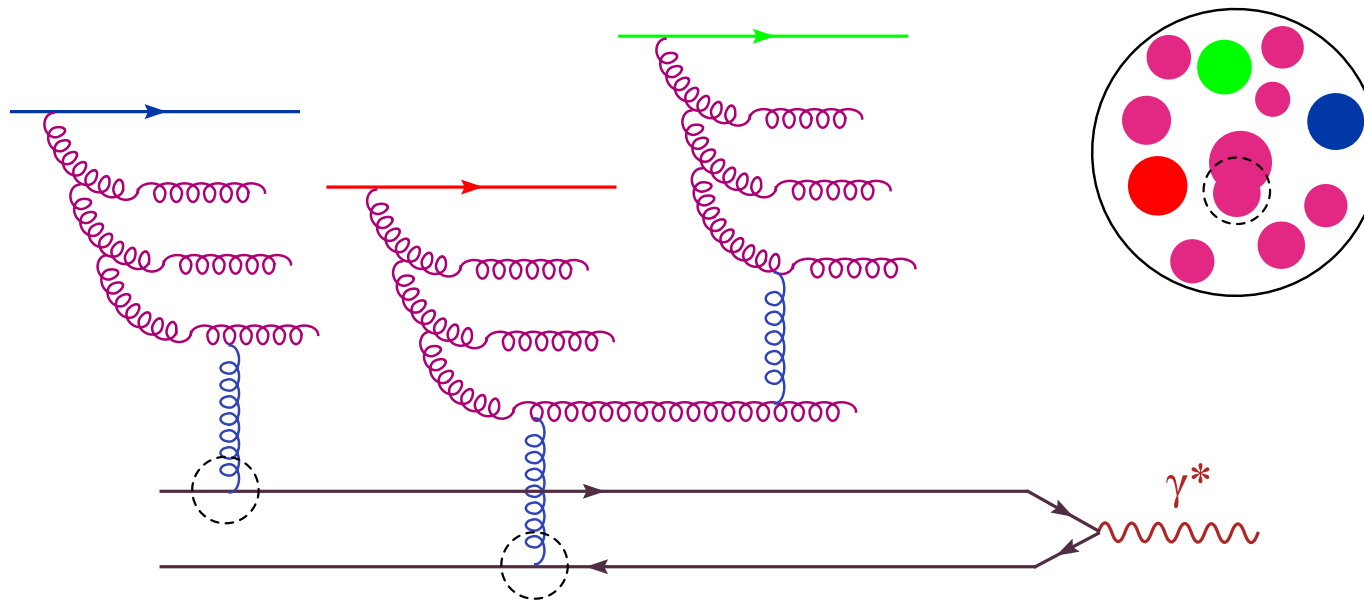
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# Non-linear evolution

- At high density, non-linear effects become important: **saturation, multiple scattering**



$$\frac{\partial \varphi}{\partial Y} \simeq \alpha_s \varphi - \alpha_s^2 \varphi^2 = 0 \quad \text{when} \quad \varphi \sim \frac{1}{\alpha_s} \gg 1$$

- Mean field approximation to the **JIMWLK** equation (**CGC**)  
(*Jalilian-Marian, E.I., McLerran, Weigert, Leonidov, and Kovner, 97-00*)

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# Next-to-leading order corrections

- So far, the non-linear equations have been fully established only to “leading order” :  $\mathcal{O}(\alpha_s^n \ln s^n)$  for any  $n$

$$\frac{\partial \varphi}{\partial Y} \simeq \alpha_s(?) \varphi - \alpha_s^2(?) \varphi^2$$

- NLO effects partially known and under active investigation:

$\mathcal{O}(\alpha_s^{n+1} \ln^n s)$  (e.g. : the running of the coupling)

*Triantafyllopoulos, Munier, Peschanski, Balitsky, Kovchegov, Weigert ...*

- The linear (BFKL) equation is known to NLO accuracy

*Fadin, Lipatov, Camici, Ciafaloni, Salam ...*

- Quantitatively, and even qualitatively, important !

Dramatic consequences for the phenomenologie

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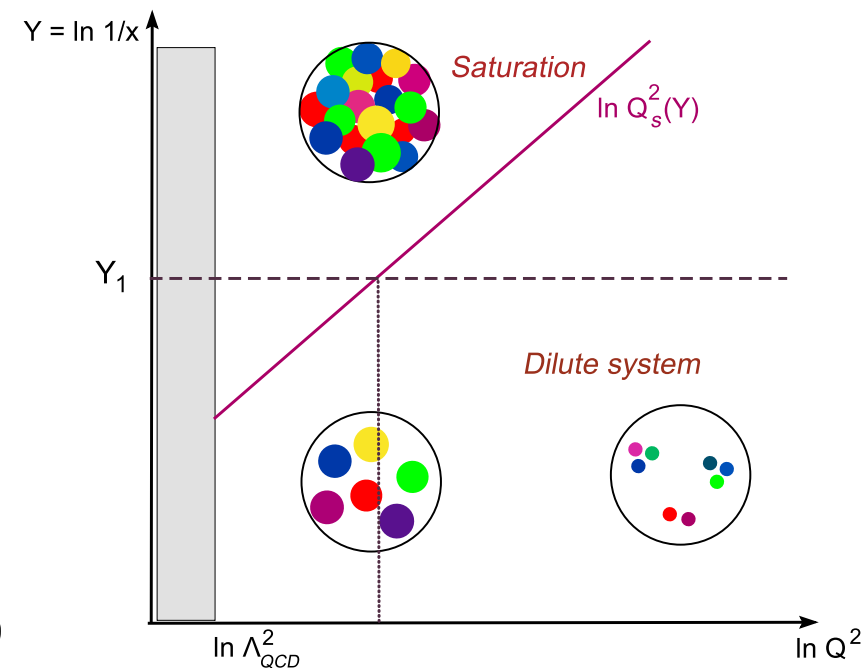
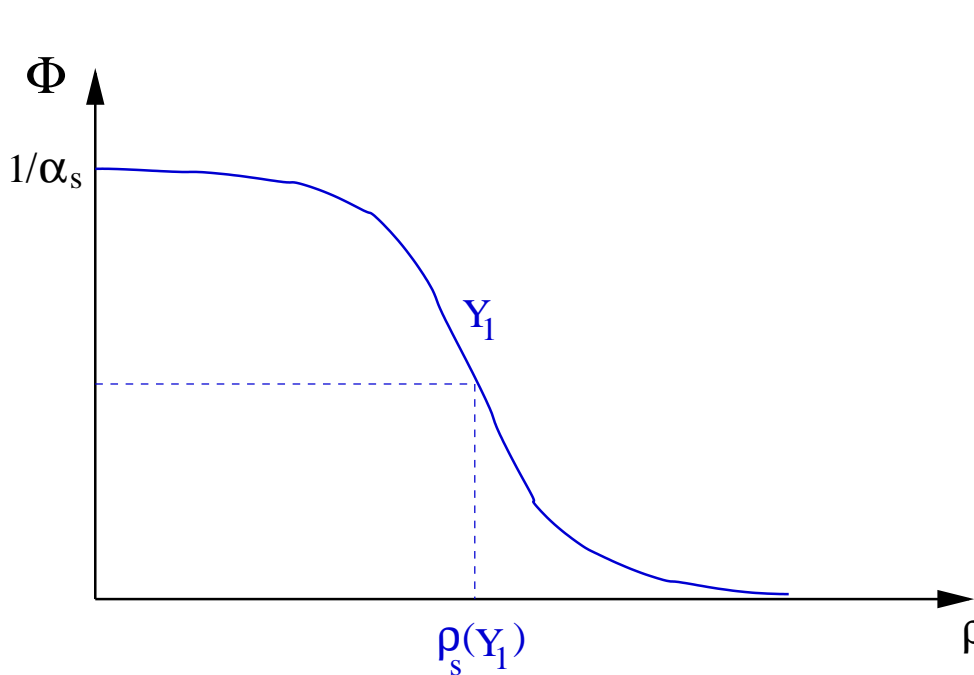
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# Solution: Saturation front

- Occupation number  $\varphi(Y, Q^2)$  as a function of  $\rho \equiv \ln Q^2$



- $\rho_s(Y) \equiv \ln\{Q_s^2(Y)/\Lambda_{QCD}^2\}$  : saturation momentum

- $\rho \gg \rho_s(Y) : \varphi \propto e^{-\rho} e^{\alpha_s Y} \quad (\varphi \propto 1/Q^2)$
  - $\rho \lesssim \rho_s(Y) : \varphi \sim 1/\alpha_s = \text{const.}$

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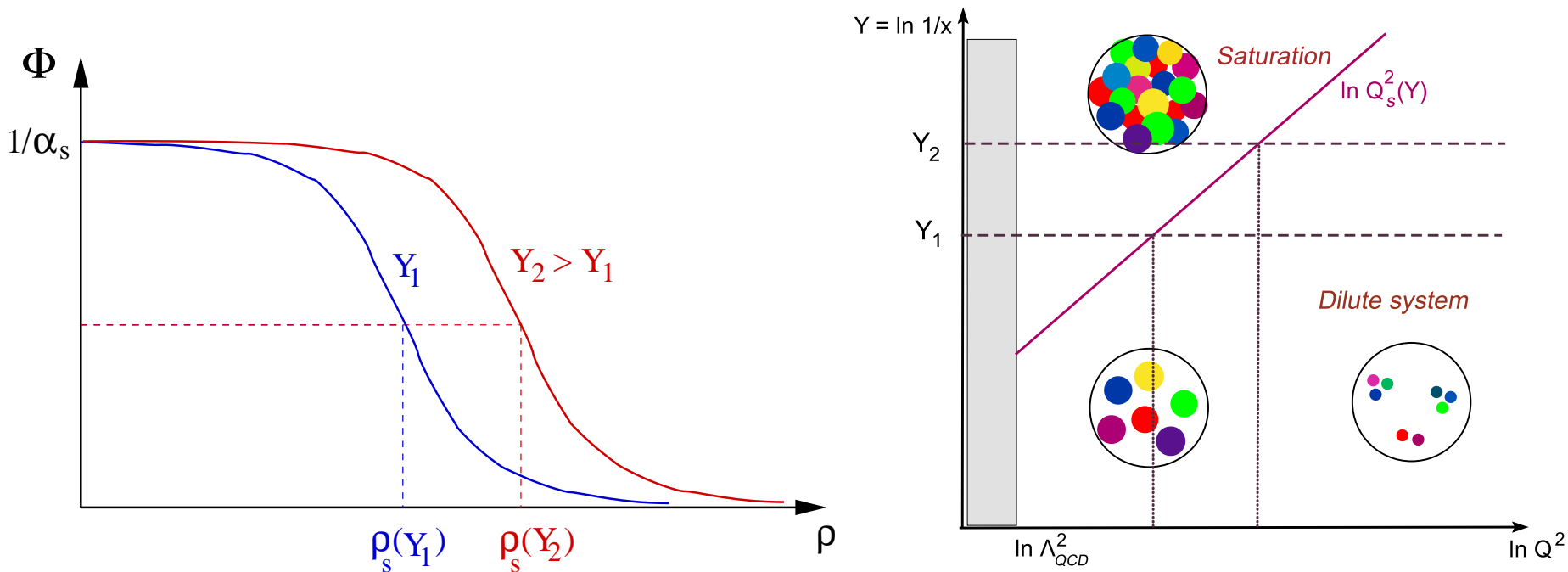
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# Solution: Saturation front

- $\rho_s(Y)$  increases with  $Y$  ('the front propagates')



- Fixed coupling :  $\rho_s(Y) \simeq \rho_0(A) + \lambda_0 Y$  with  $\lambda_0 \sim \mathcal{O}(1)$
- Running coupling :  $\rho_s(Y) \simeq \sqrt{\beta \lambda_0 Y + \rho_0^2(A)}$
- Full NLO and  $Y$  large enough:  $\rho_s(Y) \approx \lambda Y$  with  $\lambda \approx 0.3$

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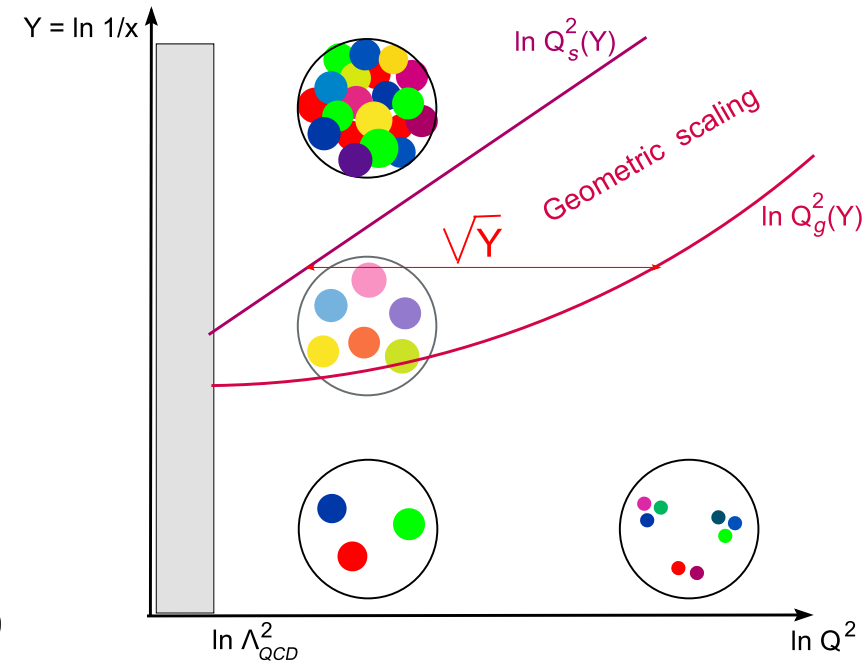
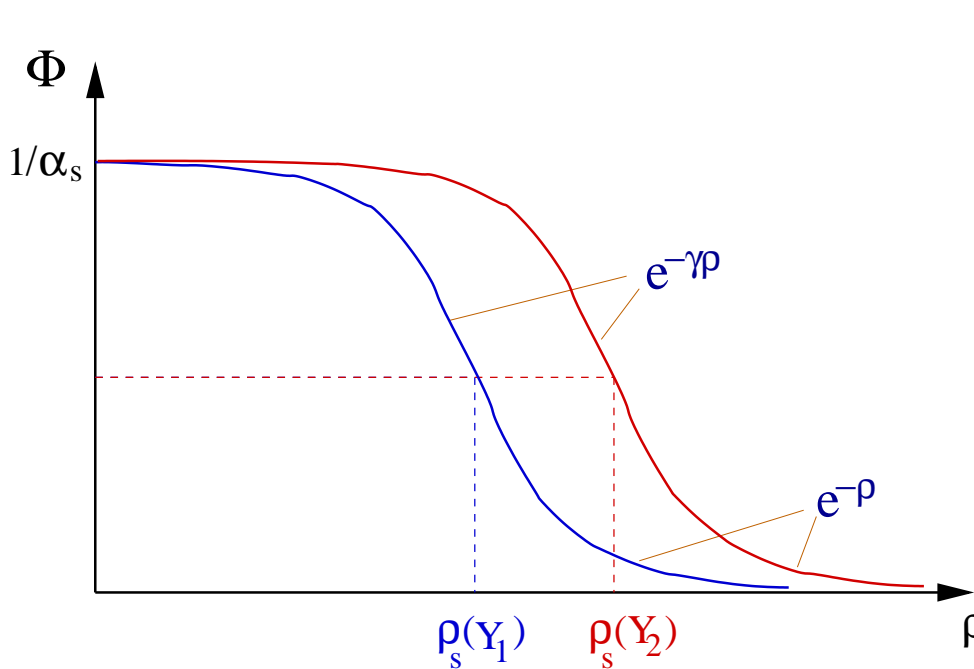
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# Geometric scaling window

- Shape of the front near the saturation line ( $Q^2 > Q_s^2$ )

$$\varphi(Y, Q^2) \simeq e^{-\gamma(\rho - \rho_s(Y))} \equiv \left( \frac{Q_s^2(Y)}{Q^2} \right)^\gamma \quad \text{with } \gamma \approx 0.63$$



- Fixed coupling :  $\rho_g - \rho_s \propto Y^{1/2}$
- Running coupling :  $\rho_g - \rho_s \propto Y^{1/6}$

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# Geometric Scaling at HERA

(*Staśto, Golec-Biernat and Kwieciński, 2000*)

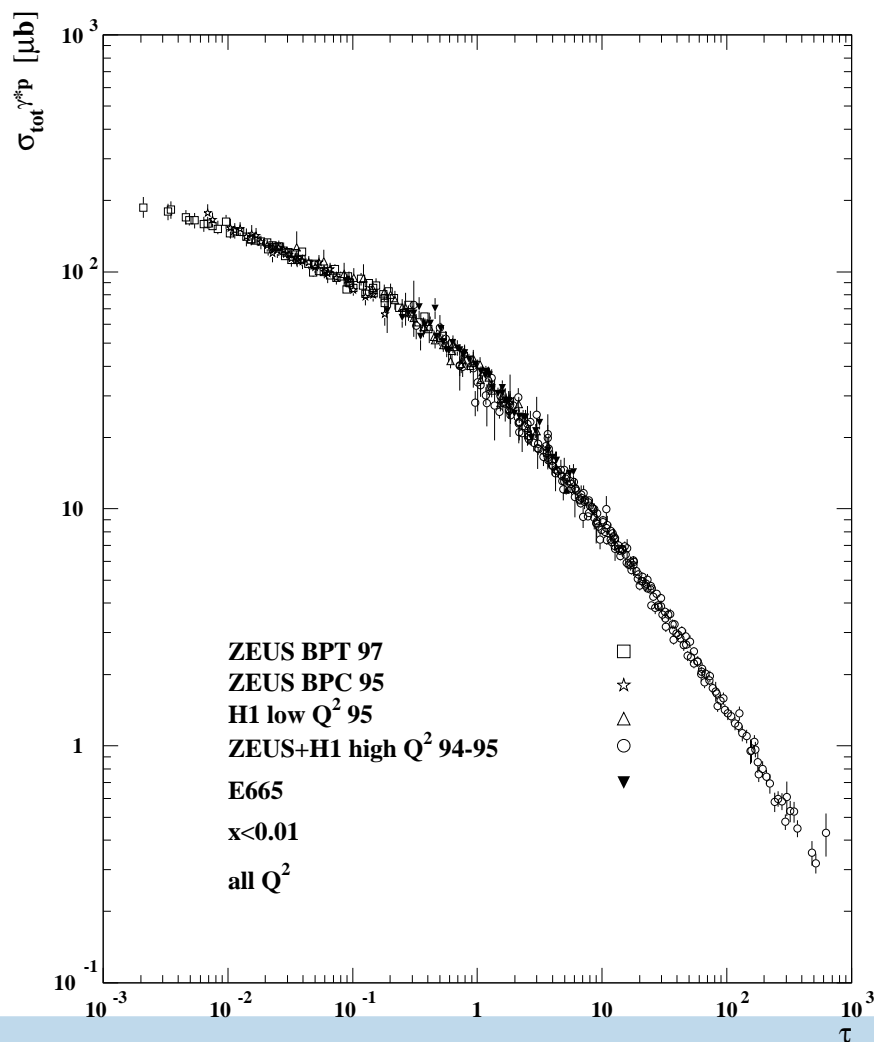
$$\sigma(x, Q^2) \approx \sigma(\tau) \quad \text{with} \quad \tau \equiv Q^2 / Q_s^2(x), \quad Q_s^2(x) = (x_0/x)^\lambda \text{ GeV}^2, \quad \lambda \simeq 0.3$$

$$x \leq 0.01$$

$$Q^2 \leq 450 \text{ GeV}^2$$

$$Q_s^2 \sim 1 \text{ GeV}^2$$

$$\text{for } x \sim 10^{-4}$$



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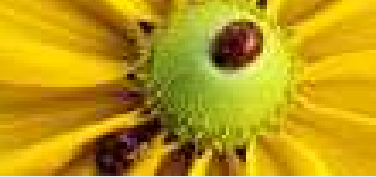
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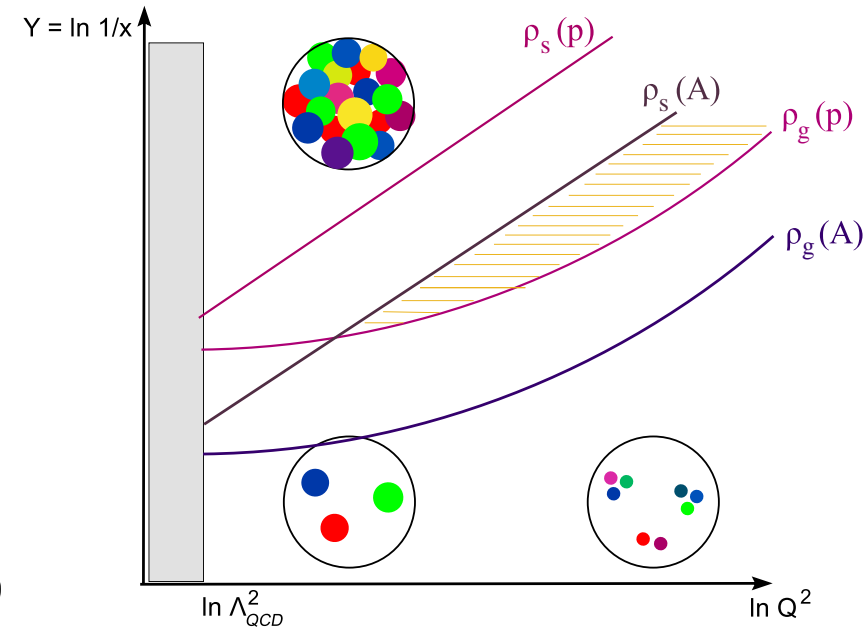
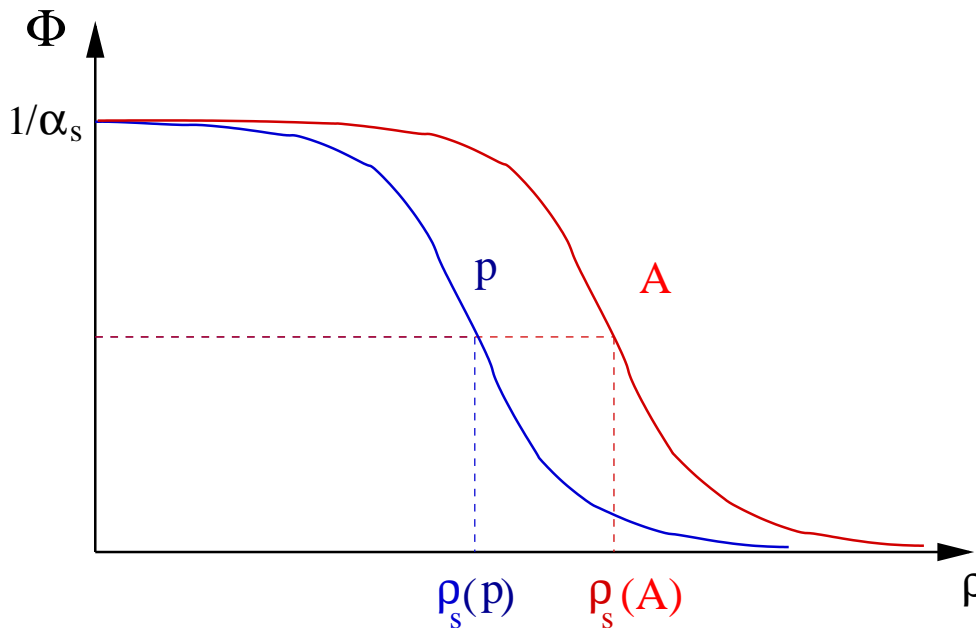
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# Nuclear effects

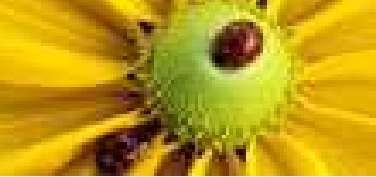


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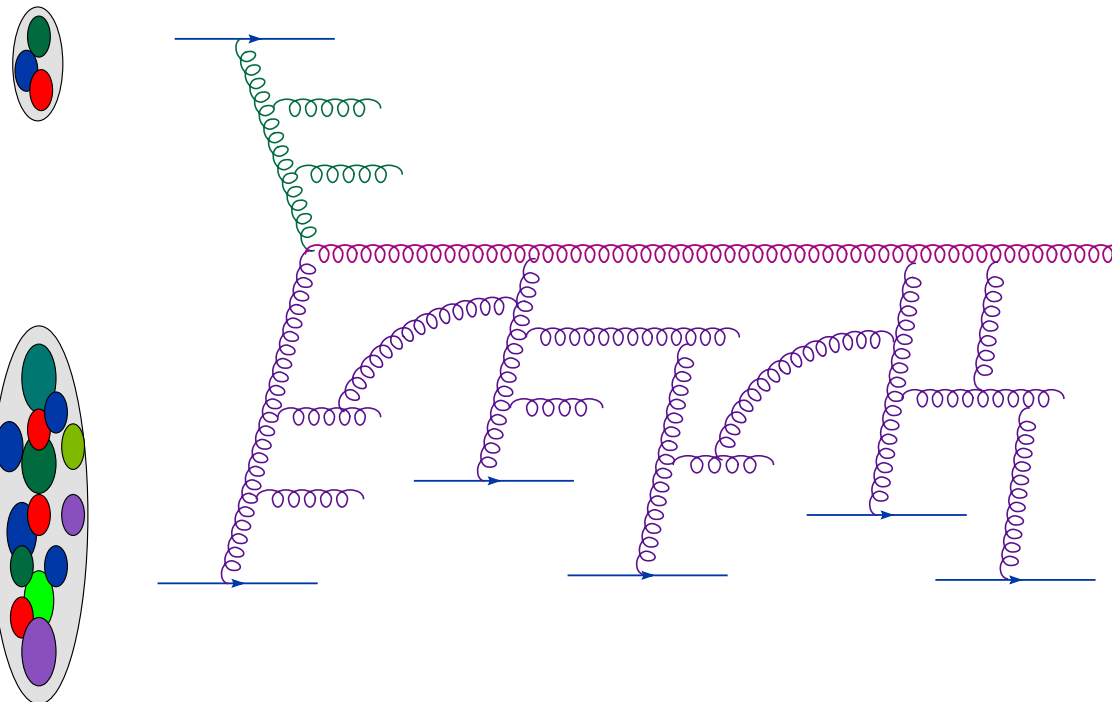
- Assume  $Q_s^2(A) \simeq A^{1/3} Q_s^2(p)$  at  $Y = Y_0$
- Fixed coupling :  $\rho_s(A, Y) - \rho_s(p, Y) \simeq \ln A^{1/3}$  (const. !)
- Running coupling :  $\rho_s(A, Y) - \rho_s(p, Y) \propto (\ln A^{1/3})^2 / \sqrt{Y}$

At very large  $Y$ , a nucleus is not denser than a proton !



# Gluon production in $pp$ or $pA$ collisions

- 'Dense–dilute' scattering
  - ◆  $pA$  collisions (RHIC, LHC)
  - ◆  $pp$  collisions at 'forward rapidity' (LHC)
- Only one parton from the dilute projectile gets involved



- A probe of the gluon distribution inside the dense target !

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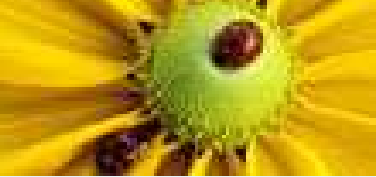
● Gluon production

● d-Au collisions

Forward LHC

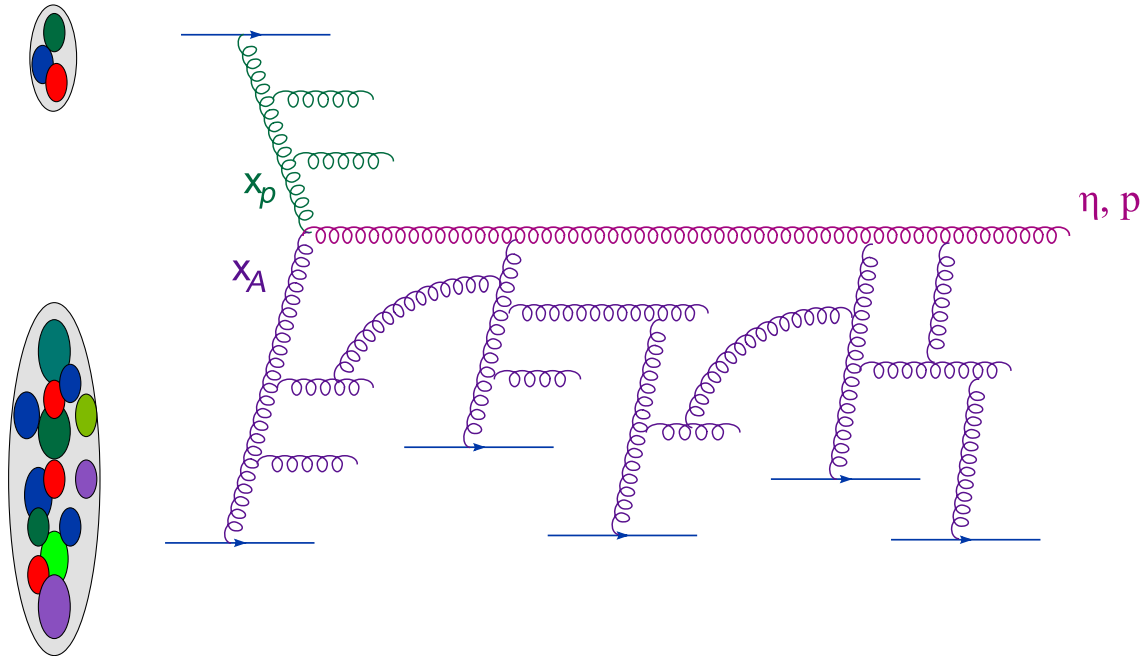
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# Non-linear effects in the target

- Two sources for high-density gluonic matter inside the target:



- Small- $x$  target evolution in the ‘forward kinematics’:

$$x_A = \frac{p_{\perp}}{\sqrt{s}} e^{-\eta}, \quad x_p = \frac{p_{\perp}}{\sqrt{s}} e^{\eta}$$

- Increasing  $\eta \iff$  Decreasing  $x_A$  for the nuclear target

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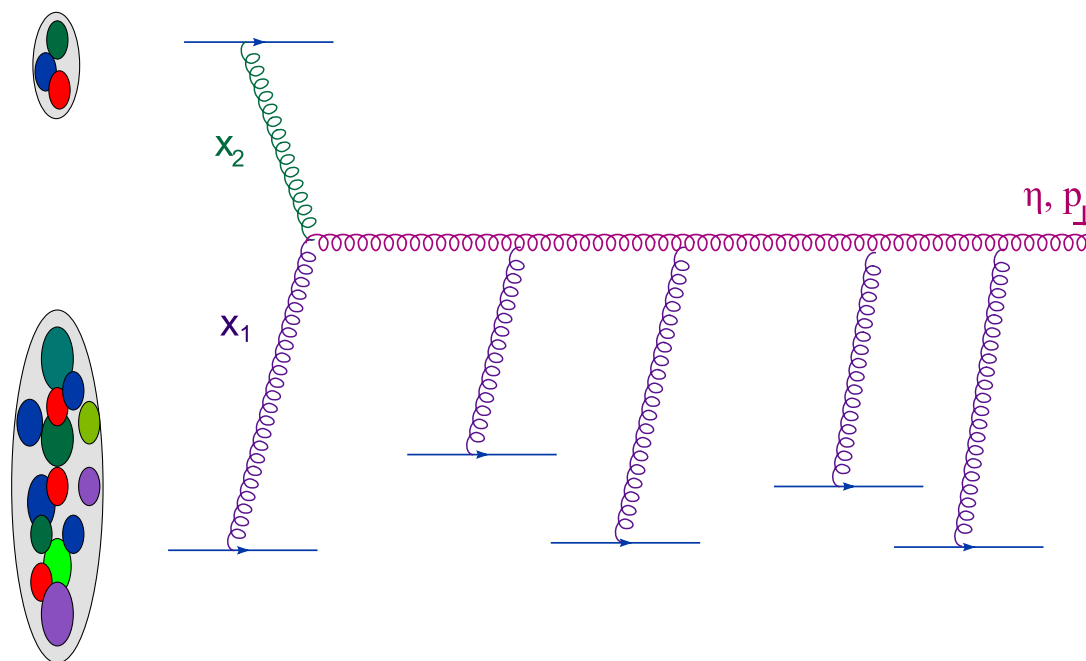
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# Non-linear effects in the target

- Two sources for high-density gluonic matter inside the target:



- **Large nucleus  $A \gg 1$ :** Many ‘color sources’ (the  $3A$  valence quarks) which emit gluons already at ‘tree-level’
- **RHIC physics:** One can disentangle these two mechanisms by varying the pseudo-rapidity  $\eta$

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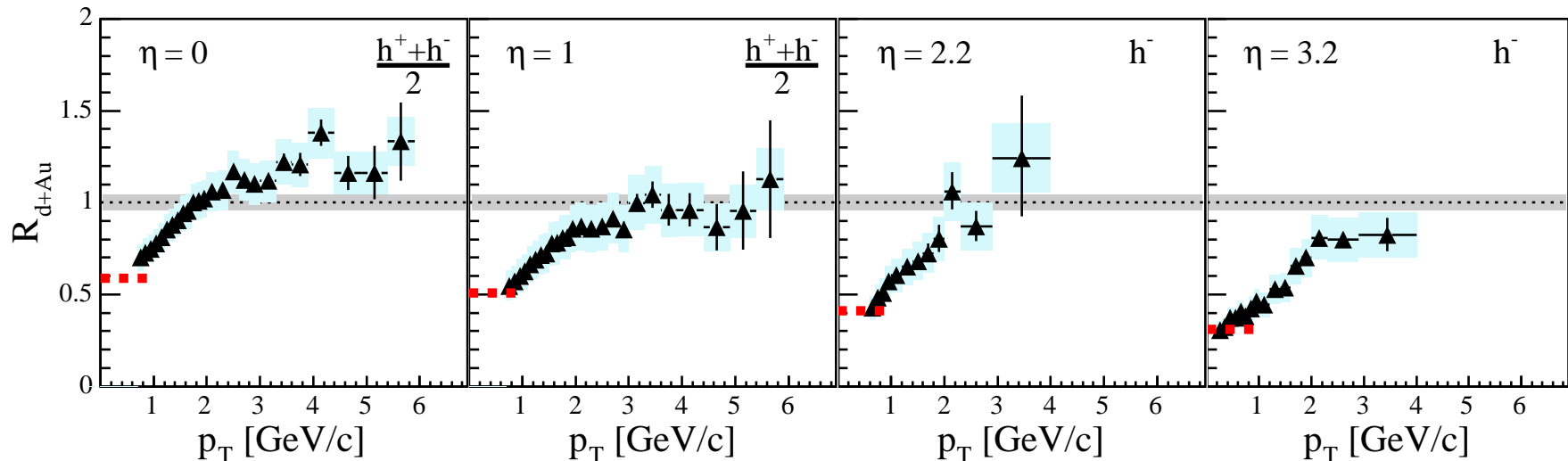
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# High- $p_{\perp}$ suppression in d+Au at RHIC

Nuclear modification factor : 
$$R_{d+Au} \equiv \frac{1}{2A} \frac{dN_{d+Au}/d^2p_{\perp}d\eta}{dN_{pp}/d^2p_{\perp}d\eta}$$



- One finds (*BRAHMS [arXiv:nucl-ex/0403005]*) :
  - ◆  $\eta = 0$  : Cronin peak ( $R_{d+Au} > 1$  for ‘high’  $p_{\perp}$ )
  - ◆  $\eta \simeq 3$  : Suppression ( $R_{d+Au} < 1$  for all  $p_{\perp}$ )
  - ◆ very fast evolution with increasing  $\eta$  !
- Qualitatively consistent with CGC (see the talk by Yacine)

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# Forward physics at LHC

- What should we expect at the LHC ?

Remember:  $x_{\text{target}} = \frac{p_{\perp}}{\sqrt{s}} e^{-\eta}$  where 'target' =  $A$  or  $p$

- **LHC**: Considerably larger values for both  $s$  and  $\eta$

$\iff$  a much larger phase for the evolution of the target !

- ◆ **RHIC**:  $\eta \simeq 3$  &  $\sqrt{s} = 200$  GeV:  $x_1 \sim 10^{-4}$  for  $p_{\perp} = 2$  GeV
- ◆ **LHC** :  $\eta \simeq 6$  &  $\sqrt{s} = 8.8$  TeV :  $x_1 \sim 10^{-6}$  for  $p_{\perp} = 10$  GeV

- Some 'fine details' of the evolution, like, for instance, the differences between 'fixed' and 'running coupling' scenarios should become manifest.
- **Running coupling effects** should progressively wash out any difference between a nucleus and a proton **target** !

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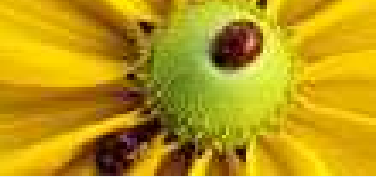
● Qsat at LHC

● RpA: total shadowing

● RpA at the LHC

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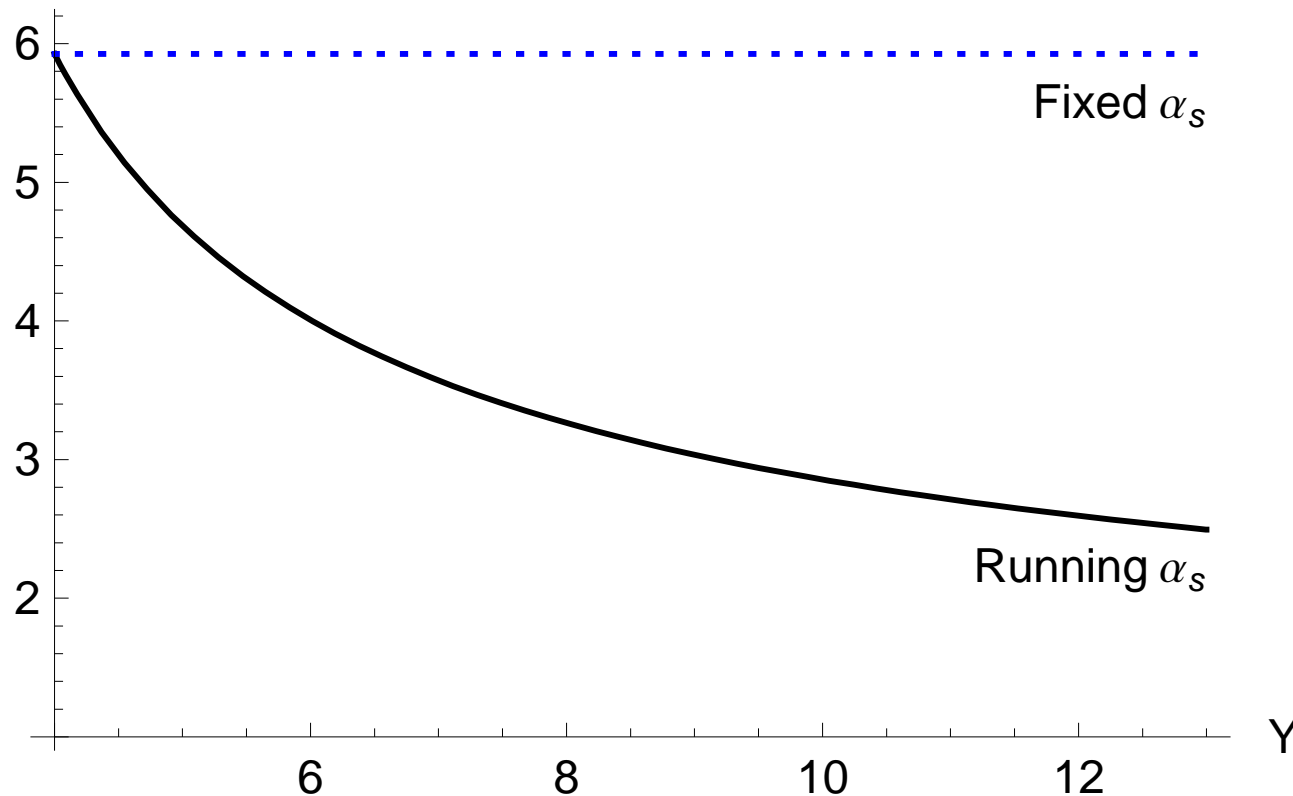
DIS at small x



# Saturation momenta at LHC *(prediction)*

- The ratio of the **nuclear** ( $A = 208$ ,  $A^{1/3} \approx 6$ ) vs. **proton** saturation momenta as a function of  $Y = \ln(1/x)$

$$Q_s^2(A, Y)/Q_s^2(p, Y)$$



- Decrease by a factor of 2 (from 6 to 3) at  $Y = 10$

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# $R_{pA}$ at high energy : Total shadowing

- A simple analytic estimate for  $R_{pA}$  at high  $p_{\perp}$  :

$$R_{pA}(\eta, p_{\perp}) \approx \frac{1}{A^{1/3}} \frac{\varphi_A(x, p_{\perp})}{\varphi_p(x, p_{\perp})}$$

- **RHIC**: even at large  $\eta$ ,  $R_{pA}$  is rather close to one

$$R_{pA}(\eta, p_{\perp}) \gtrsim 0.8 \text{ for } \eta = 3.2 \text{ and } p_{\perp} > 2 \text{ GeV}$$

- With increasing energy ( $\eta$ ), the nucleus and the proton become closer and closer to each other ...

... hence the ratio  $R_{pA}$  must decrease towards its minimal possible value :

$$R_{pA}(\eta, p_{\perp}) \rightarrow \frac{1}{A^{1/3}} \approx 0.5 \text{ when } \eta \rightarrow \infty$$

“Total gluon shadowing”

*E.I., K. Itakura, D. N. Triantafyllopoulos, hep-ph/0403103*

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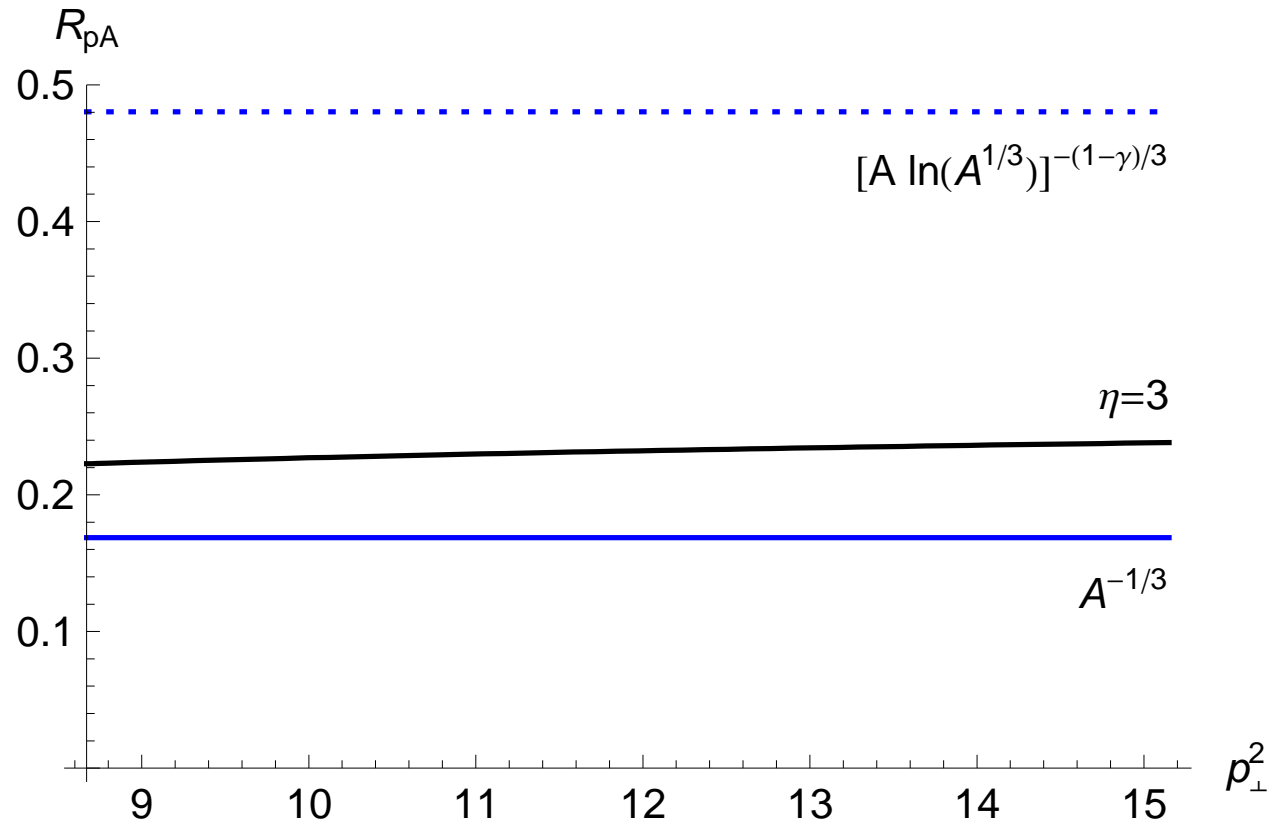
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# $R_{pA}$ at the LHC (prediction)

(E.I. and D. Triantafyllopoulos, "Last call for LHC", CERN, May 2007)



- Significant discrepancy from 'fixed coupling' scenario
- Close to total gluon shadowing already for  $\eta \sim 3$

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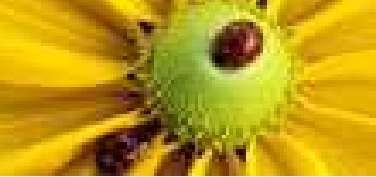
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# $R_{pA}$ at the LHC (*prediction*)

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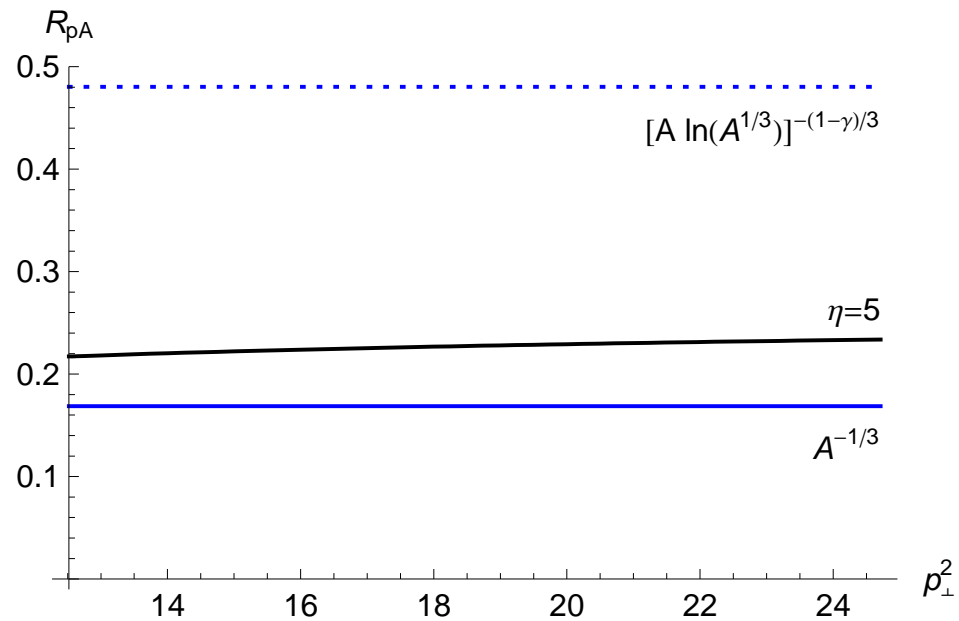
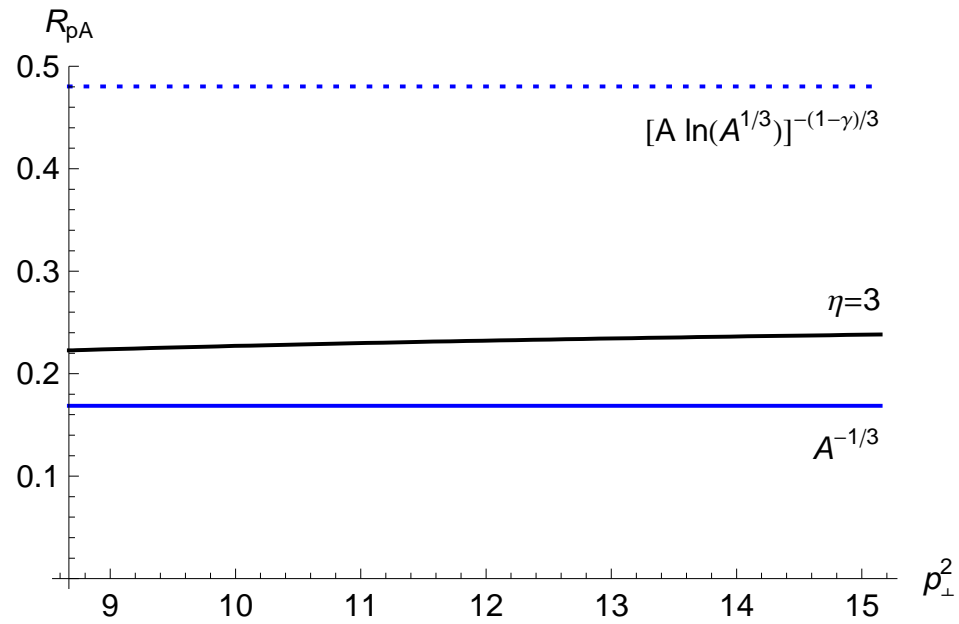
● Qsat at LHC

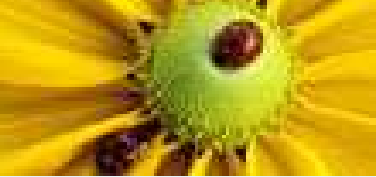
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# Motivations for the CGC

- First indications in favour of a high–density partonic phase at high energy came from theoretical considerations within the framework of perturbative QCD ...
  - ◆ BFKL evolution (*Balitsky, Fadin, Kuraev, Lipatov, 75–78*)
  - ◆ “Gluon saturation” (*A. Gribov, Levin, Ryskin, 82*)
- ... but they have been largely ignored for quite some time ...
  - ◆ the applicability of pQCD at high energy being far from obvious, or widely accepted !
- ... until the advent of the first HERA data (mid 90s) ...
- ... which showed that the gluon distribution rises indeed !

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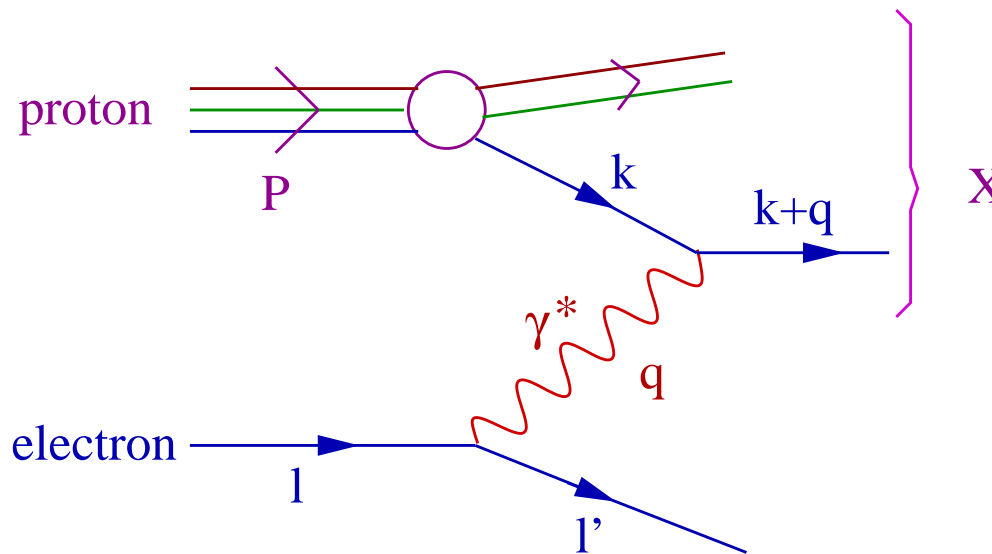
● Qsat at NLO

DIS at small x



# Deep Inelastic Scattering at small- $x$

$$\text{electron (l)} + \text{proton (P)} \longrightarrow \text{electron (l')} + X (P_X)$$



## ■ Two independent kinematical invariants :

- ◆  $Q^2 \equiv -q^\mu q_\mu \geq 0$

- ◆  $x \simeq Q^2/s$  with  $s \equiv (P + q)^2 \gg Q^2$

## ■ Virtual photon absorbed by a quark excitation of the proton

- ◆ with transverse size  $\Delta x_\perp \sim 1/Q$

- ◆ and longitudinal momentum  $k_z = xP$

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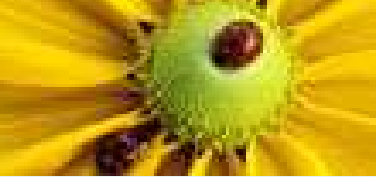
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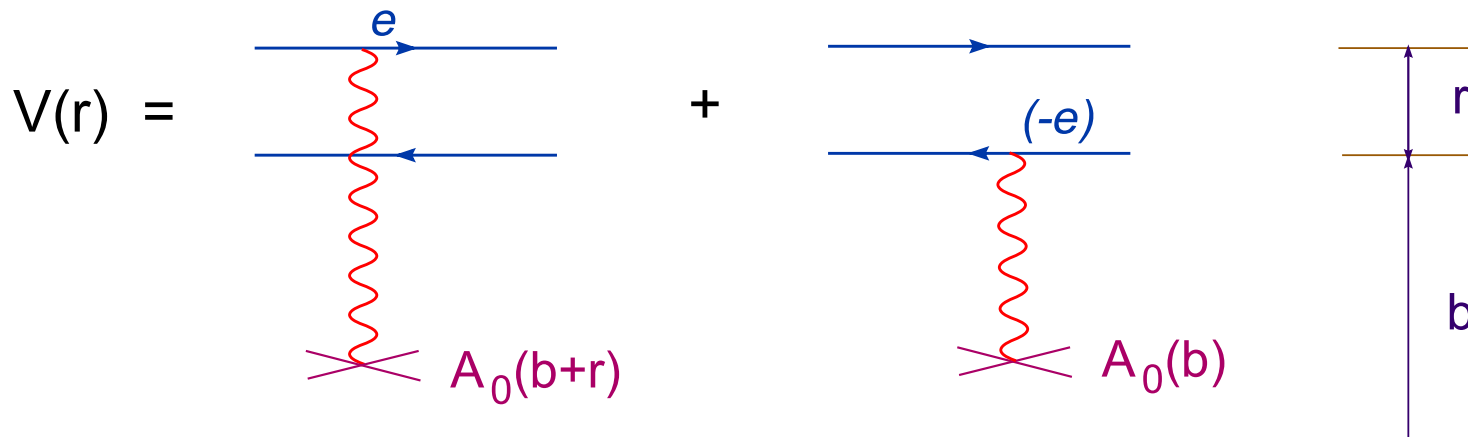


# Dipole in a background field

## ■ Reminder (classical electrodynamics) :

A small dipole ‘feels’ the electric surrounding field:

$$V(\mathbf{r}) = e[A_0(\mathbf{b} + \mathbf{r}) - A_0(\mathbf{b})] \simeq e r^i \partial_i A_0(\mathbf{b}) = -e \mathbf{r} \cdot \mathbf{E}(\mathbf{b})$$



## ■ QCD : ‘Color dipole’ = $q\bar{q}$ pair in a color singlet state

$$e \mathbf{r} \cdot \mathbf{E} \rightarrow g t^a \mathbf{r} \cdot \mathbf{E}_a + \text{average over color: } \frac{1}{N_c} \text{tr}\{\dots\}$$

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# The Saturation Momentum

## ■ Parametrization:

$$Q_s^2(A, Y) = \Lambda^2 \exp \sqrt{B(Y - Y_0) + \rho_A^2}$$

with:  $\Lambda = 0.2\text{GeV}$ ,  $B = 2.25$ ,  $Y_0 = 4$ ,  $Q_s^2(A, Y_0) = 1.5\text{GeV}^2$

## ■ Proton : $\rho_A \rightarrow \rho_p$ such that $Q_s^2(p, Y_0) = 0.25\text{GeV}^2$

## ■ Consistent with ‘geometric scaling’ fits to HERA

*Gelis, Peschanski, Soyez, Schoeffel, hep-ph/0610435*

## ■ Gluon distribution in the geometric scaling window :

$$\Phi(k_\perp, Y) \propto \left[ \frac{Q_s^2(Y)}{k_\perp^2} \right]^\gamma \left( \ln \frac{k_\perp^2}{Q_s^2(Y)} + c \right)$$

with:  $\gamma = 0.63$ ,  $c = 1/\gamma$

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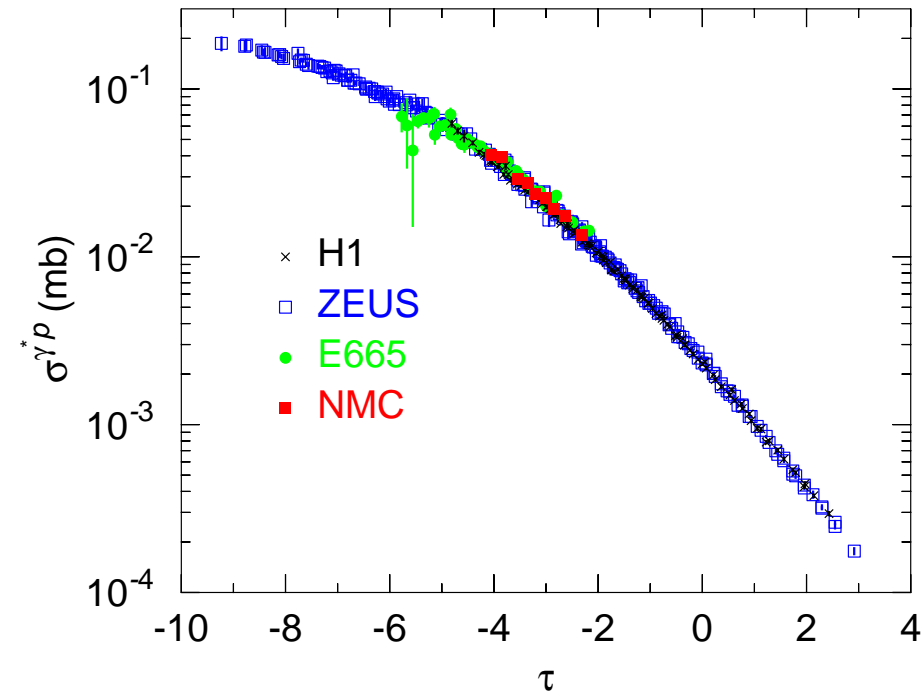
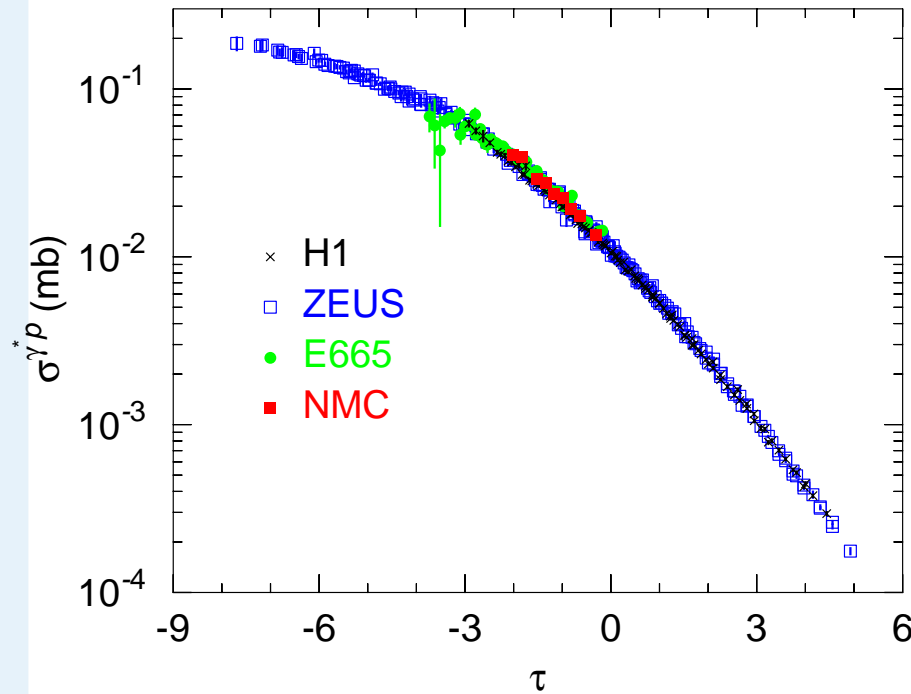
● Geometric scaling

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DIS at small x

# Geometric Scaling in DIS at small $x$

*Gelis, Peschanski, Soyez, Schoeffel, hep-ph/0610435*



■ Left:  $\tau \equiv \log Q^2 - \lambda Y$ , with  $\lambda = 0.32$

■ Right:  $\tau \equiv \log Q^2 - \lambda \sqrt{Y}$ , with  $\lambda = 1.62$

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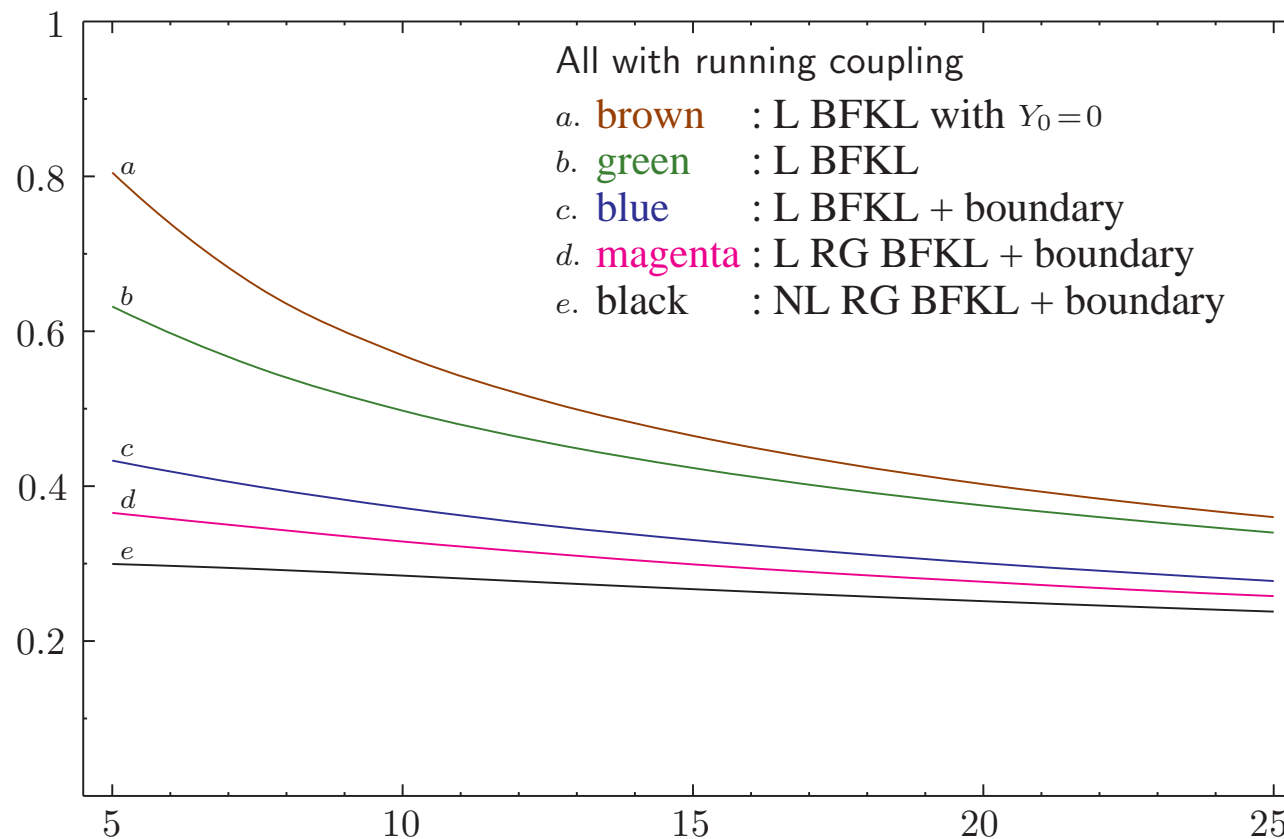
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DIS at small  $x$

# The energy dependence of $Q_s$

*D.N. Triantafyllopoulos, 2002*



$$\lambda(Y) \equiv \frac{d \ln Q_s^2(Y)}{dY}$$

- NLO corrections dramatically slow down the evolution !

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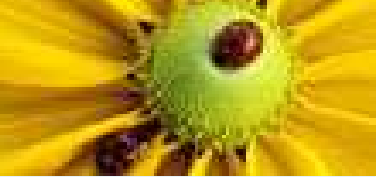
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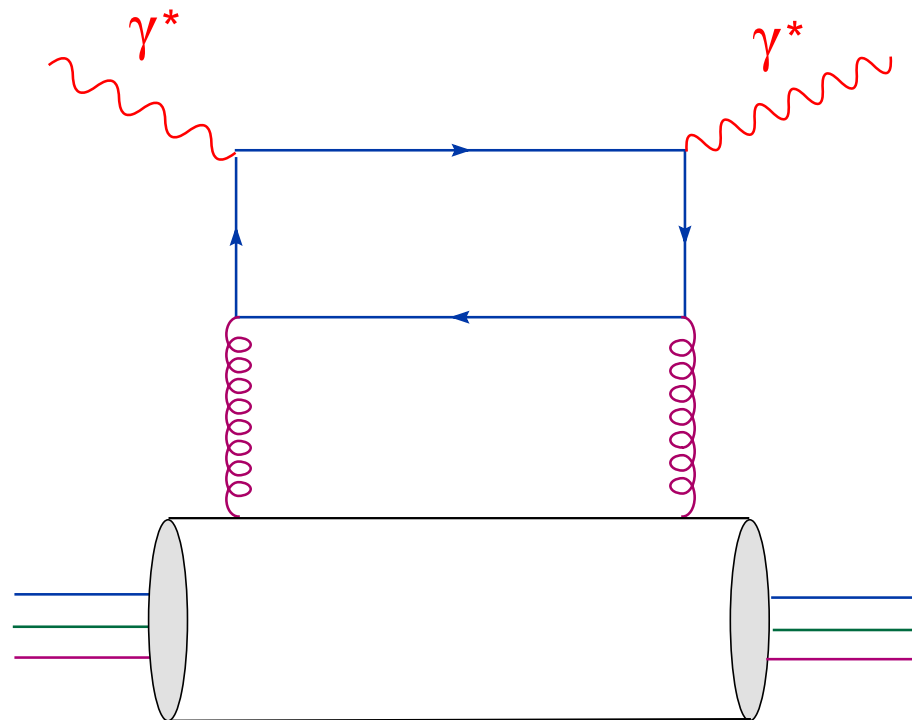
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# DIS at small $x$

- At small  $x$ , the struck quark is typically a sea quark, emitted off the gluon distribution :



- The quark loop can be alternatively associated with the virtual photon wavefunction

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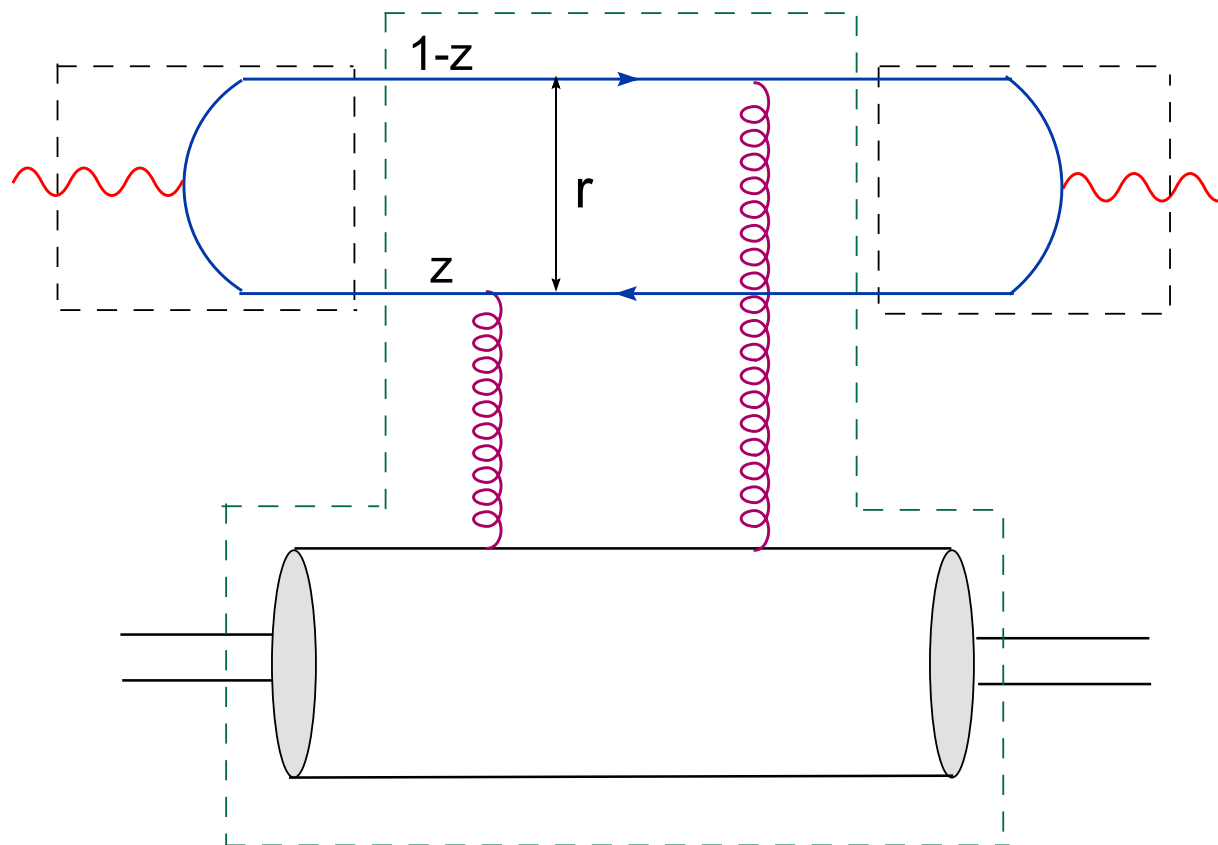
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DIS at small  $x$

- Dipole factorization
- Dipole scattering
- pA: kinematics
- Why CGC ?

# Dipole factorization for DIS

$$\frac{d\sigma_{\gamma^*p}}{d^2b}(x, Q^2) = 2 \int_0^1 dz \int d^2\mathbf{r} |\Psi_\gamma(z, \mathbf{r}; Q^2)|^2 T_{\text{dipole}}(x, \mathbf{r})$$



- Unitarity bound on the dipole amplitude:  $T(x, \mathbf{r}, \mathbf{b}) \leq 1$

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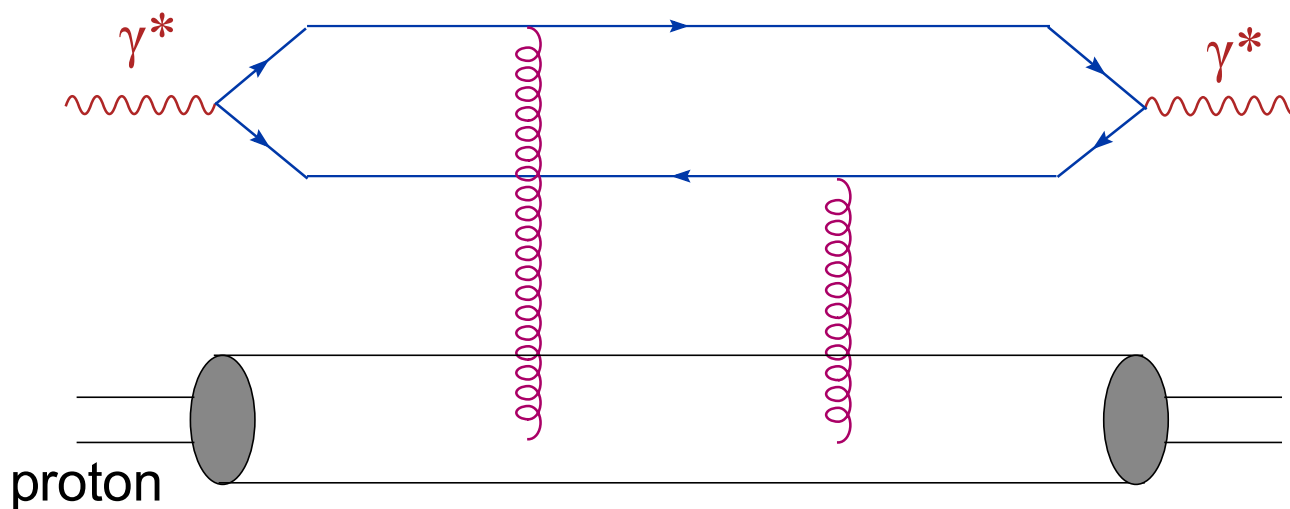
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# Dipole scattering

- A small color dipole scatters off the electric field in the target

$$V(\mathbf{r}) \simeq g t^a \mathbf{r} \cdot \mathbf{E}_a \implies T(x, r) \propto \frac{g^2}{N_c} r^2 \langle \mathbf{E}_a \cdot \mathbf{E}_a \rangle_x$$



$$T(x, r) \simeq \frac{\alpha_s}{N_c} r^2 \frac{xG(x, 1/r^2)}{\pi R^2} \propto \alpha_s^2 \frac{r^2}{R^2} \frac{1}{x^\lambda}$$

- When decreasing  $x$  and/or increasing  $r$  :  $T(x, r) \sim \mathcal{O}(1)$

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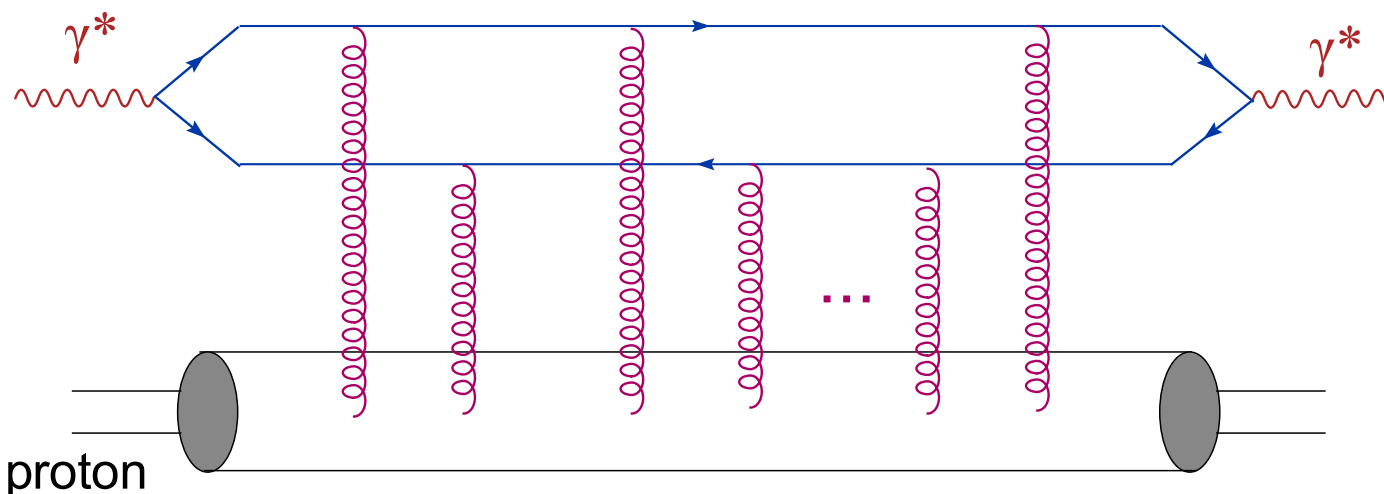
● Why CGC ?



# Dipole scattering

- A small color dipole scatters off the electric field in the target

$$V(\mathbf{r}) \simeq g t^a \mathbf{r} \cdot \mathbf{E}_a \implies T(x, r) \propto \frac{g^2}{N_c} r^2 \langle \mathbf{E}_a \cdot \mathbf{E}_a \rangle_x$$



- Multiple scattering becomes important and restores unitarity

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# Gluon production: Kinematics



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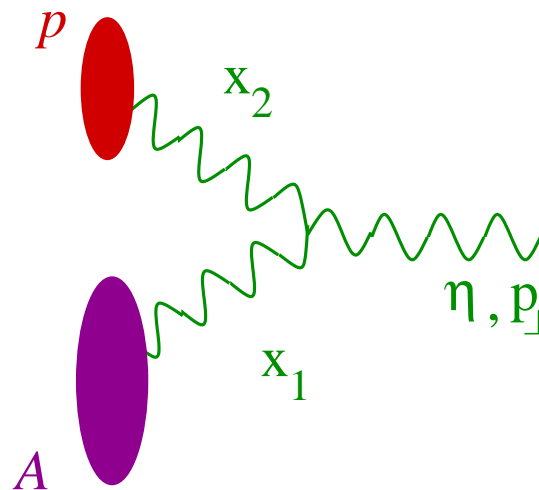
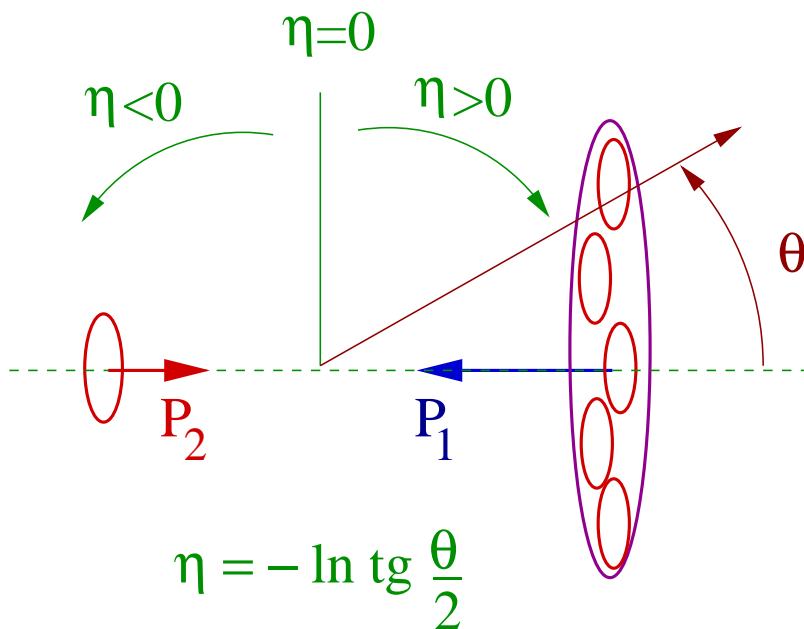
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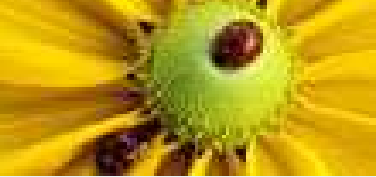
● Why CGC ?



$$x_1 = \frac{p_{\perp}}{\sqrt{s}} e^{-\eta}, \quad x_2 = \frac{p_{\perp}}{\sqrt{s}} e^{\eta}$$

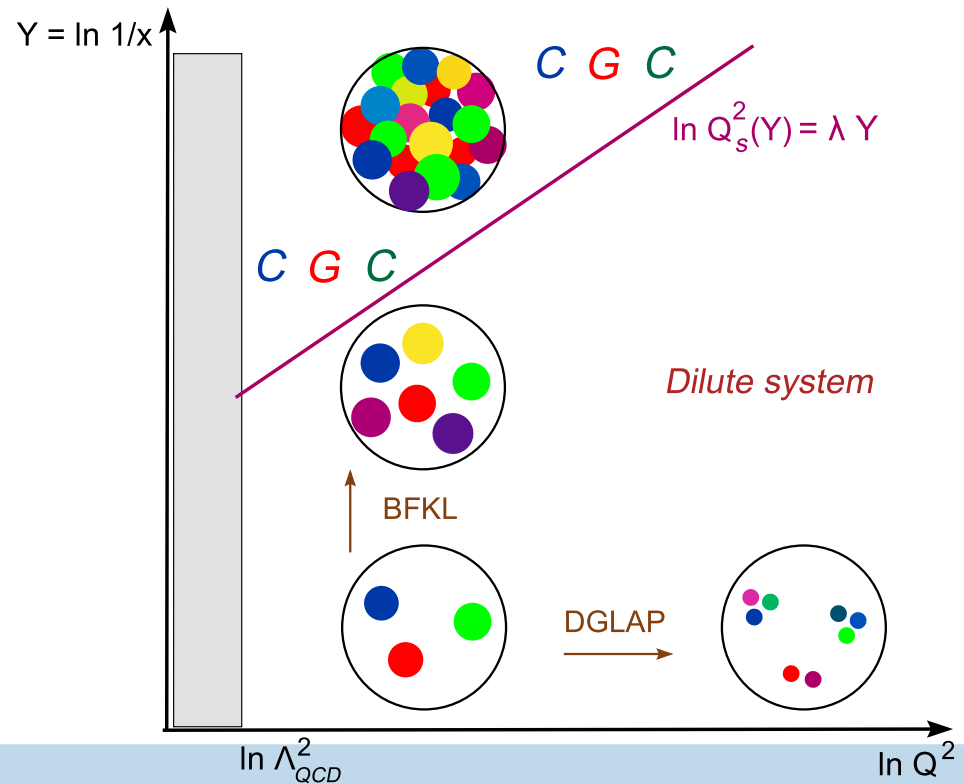
## ■ Increasing $\eta \iff$ Decreasing $x_1$ for the nucleus

- ◆ **RHIC:**  $\eta \simeq 3$  &  $\sqrt{s} = 200 \text{ GeV}$ :  $x_1 \sim 10^{-4}$  for  $p_{\perp} = 2 \text{ GeV}$
- ◆ **LHC :**  $\eta \simeq 6$  &  $\sqrt{s} = 8.8 \text{ TeV}$  :  $x_1 \sim 10^{-6}$  for  $p_{\perp} = 10 \text{ GeV}$



# The Color Glass Condensate

- At saturation, gluons have large occupation numbers  $n \sim 1/\alpha_s \gg 1$  : 'Bose condensate' (strong field)
- The small- $x$  gluons are emitted from 'color sources' (partons) with larger values of  $x$ , which are frozen in some random configuration : 'Glass' (frozen disorder)
- Gluons carry color !



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