## **ECAL Data Compression Study**

## Ph. Busson, A. Karar, G.B. Kim

(LPNHE, Palaiseau, France)

## O Schedule of our Study in 1998

| Our study       | Month                | 1 | 2 | 3 | 4 | 5 | 6 | 7 | ••• | 12 |
|-----------------|----------------------|---|---|---|---|---|---|---|-----|----|
| Time<br>domain  | Signal<br>Modeling   | - |   |   |   |   |   |   |     |    |
|                 | Data compression     |   |   |   |   |   |   |   |     |    |
|                 | DSP card             |   |   |   |   |   |   |   |     |    |
| Space<br>domain | Simulation the CMSIM |   |   |   |   |   |   |   |     | -  |

\* We started studying data compression in space domain by using

the CMSIM with D.W. Kim, S.C. Lee.

(Kangnung National University, South Korea)

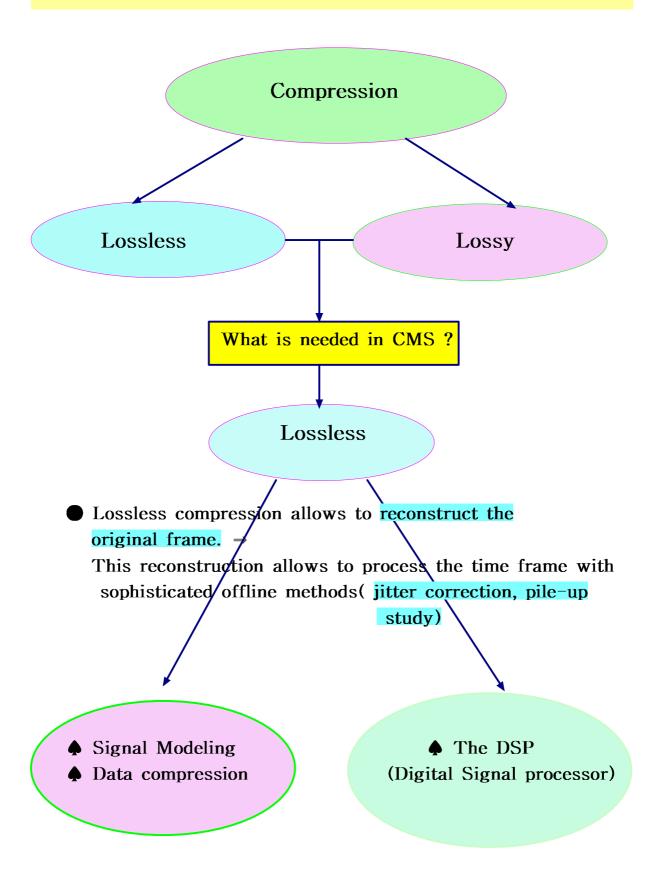
## 1. Introduction

- ① The problem of the ECAL data volume in CMS:
  - The full ECAL event size :

```
80,000 crystals \times 10 pulse samples \times 2bytes = 1.6 Mbytes - FPU+ADC system 80,000 crystals \times 10 pulse samples \times 3bytes = 2.4 Mbytes in ULR
```

- CMS DAQ is ~1 Mbytes in total.
- 2 How to reduce this volume?
  - Select "interesting" crystals:
     "Selective Readout" ⇒ ~100 kbytes/triggered event
     Preliminary studies (CMS Note 97/059 : C.Tully)
  - But the extra reduction is neededWe propose to study data compression methods
    - ↑ Time domain : Ph. Busson, A. Karar, G.B Kim (LPNHE, Palaiseau, France)
    - ♠ Space domain : D.W Kim, S.C Lee, K.S Kang (Kangnung National University, South Korea)

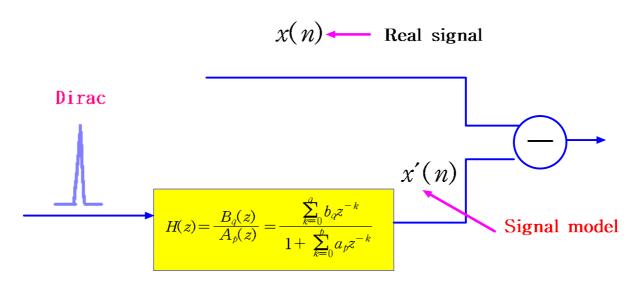
## 2. The lossless compression in the time domain



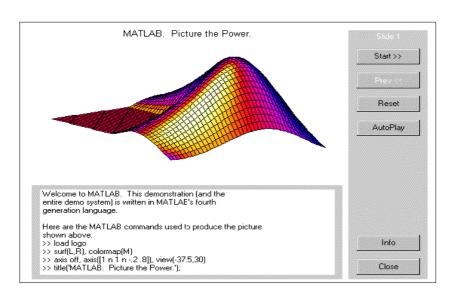
## 3. The signal modeling using the MATLAB

## **The signal modeling**

What is main idea of signal modeling ?



- \* find the filter H(z) that make x'(n) as close as possible to x(n).
- \*\* The calculation of coefficients  $a_p$  and  $b_q$  is very easy in the program MatLab(Matrix Laboratory) using the toolboxes.

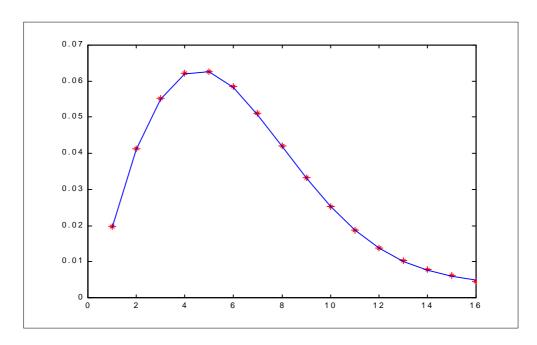


## • What is the signal?

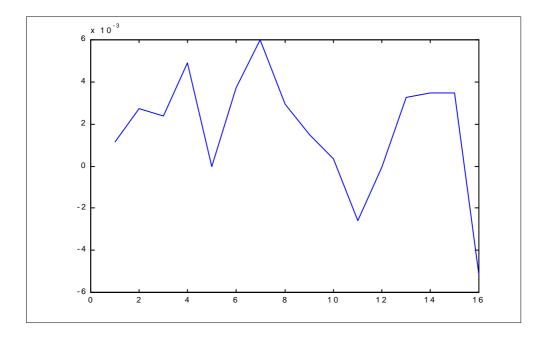
APD Amplifier 1 G samples/s (1 ns) 40 Msamples/s (25ns)

## ♦ The result

1. The signal model (line) and data signal(red \*)



2. The difference d(n) = x(n) - x(n)'



Conclusion: We can make good signal model

## 4. The different methods of data compression

• The methods we have studies can be diveded into:

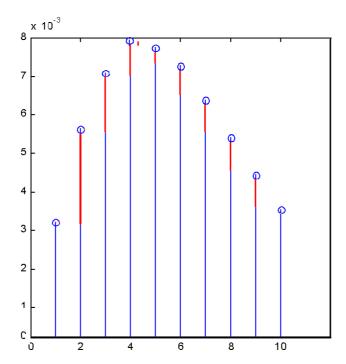
| The differents method of data compression |                                                                                                  |  |  |  |  |
|-------------------------------------------|--------------------------------------------------------------------------------------------------|--|--|--|--|
| Differential coding                       | DPCM (Differential Pulse Code Modulation)  PDPCM (Predictive Differential Pulse Code Modulation) |  |  |  |  |
| Entropy coding                            | Huffuman coding                                                                                  |  |  |  |  |
| Transformation coding                     | Wavelet coding  DCT(Discrete Cosine Transforme) coding                                           |  |  |  |  |

- We propose two methods :
  - **♠**Dynamic coding
  - ♠ Residual parametric coding

## 1. Data compression from the differential coding.

- \* DPCM (Differential Pulse Code Modulation)
- \* PDCPM (Predictive Differential Pulse Code Modulation)
- 1.1 DPCM (Differential Pulse Code Modulation)

The main idea: code the difference between neighbouring samples.



But we found that this method does not allow to reduce the code length significantly because our signal increases fast.

So we changed the method:

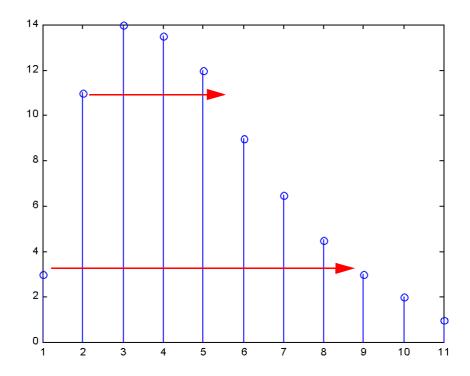
## 1.1.1 Improvement of the differential coding

## O Method of sorting of the samples

The main idea: we can reduce the difference values between two samples by sorting of the samples.

For example,

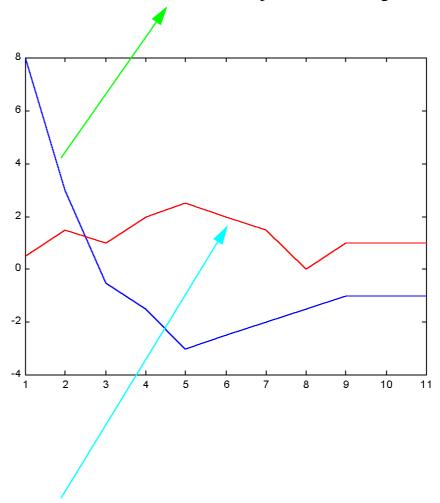
#### 1. we change the order of samples:



#### 2. we compute the difference values between the samples

## O The Result

The difference values between the samples with the original order.



The difference values between the samples with the sorted order.

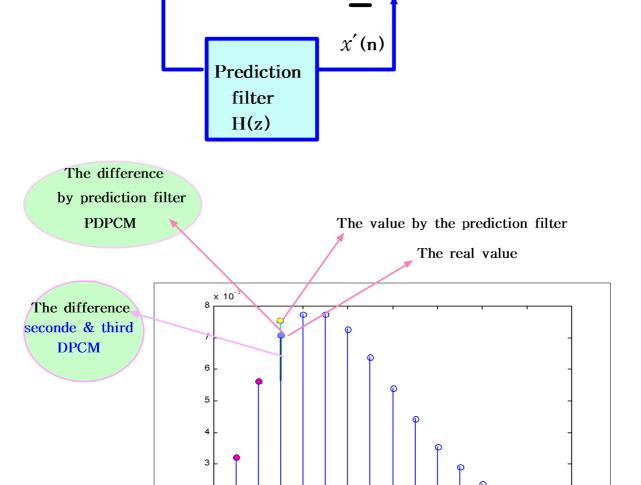
We think that this new method may allow to increase the rate of the compression.

#### PDPCM (Predictive Differential Pulse Code Modulation)

 $\chi(n)$ 

The main idea of PDPCM is the try to predict one sample from the previous samples to improve the differential coding

d(n)



The compression from PDPCM is more effective than DPCM.

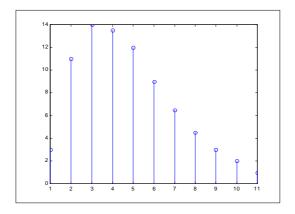
2

But this method also not allows to reduce very much code length because that we have only one sample to prodict the 2nd sample.

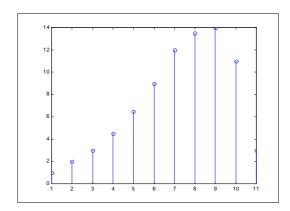
#### ★ Improvement of predictive coding

The main idea: to invert the order of samples

↑ The order of original samples : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10



↑ The order of inverted samples : 10, 9, 8, 7, 6, 5, 4, 3, 2, 1



To predict 1st sample, we have the 9 samples.

- ⇒ It may allow to product the significant predictive value for the 1st sample.
- ⇒ This new method may allow to increse the rate of the compression.

## 2 The data compression from entropy coding

#### ♠ The main idea of entropy coding is

**\*** signal with larger probability ⇒ code with smaller code length **\*** signal with smaller probability ⇒ code with longer code length

↑ The example of Huffman coding for natural image

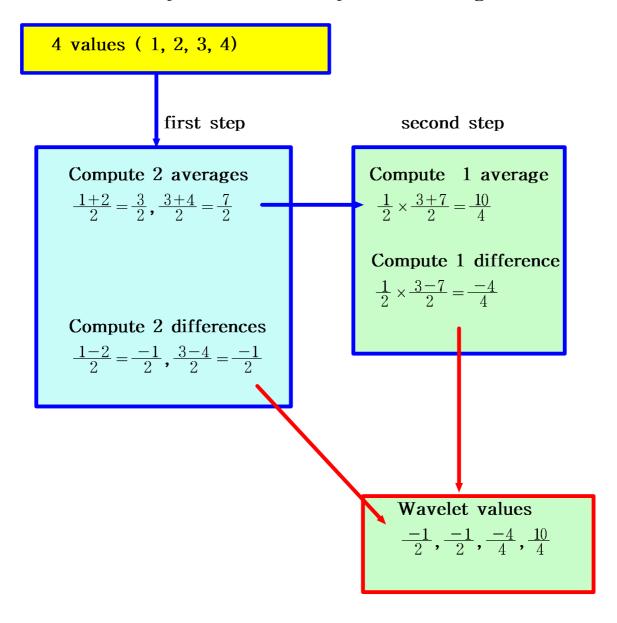
| Value            | Probability | Huffman     | Huffman code length | P × length |  |
|------------------|-------------|-------------|---------------------|------------|--|
|                  |             | code        |                     |            |  |
| 0 (4bit)         | 0.450       | 1           | 1                   | 0.450      |  |
| 1 (4bit)         | 0.313       | 00          | 2                   | 0.625      |  |
| 2 (4bit)         | 0.114       | 011         | 3                   | 0.342      |  |
| 3 (4bit)         | 0.046       | 01000       | 5                   | 0.23       |  |
| 4 (4bit)         | 0.026       | 01010       | 5                   | 0.13       |  |
| 5 (4bit)         | 0.015       | 010010      | 6                   | 0.09       |  |
| 6 (4bit)         | 0.012       | 010110      | 6                   | 0.072      |  |
| 7 (4bit)         | 0.0069      | 0100110     | 7                   | 0.0483     |  |
| 8 (4bit)         | 0.0060      | 0101110     | 7                   | 0.042      |  |
| 9 (4bit)         | 0.0032      | 01001110    | 8                   | 0.0256     |  |
| 10 (4bit)        | 0.0032      | 01001111    | 8                   | 0.0256     |  |
| 11 (4bit)        | 0.0017      | 01011111    | 8                   | 0.0136     |  |
| 12 (4bit)        | 0.0013      | 010111101   | 9                   | 0.0117     |  |
| 13 (4bit)        | 0.0009      | 0101111000  | 10                  | 0.009      |  |
| 14 (4bit)        | 0.0004      | 01011110010 | 11                  | 0.0044     |  |
| 15(4bit)         | 0.0004      | 01011110011 | 11                  | 0.0044     |  |
| average<br>4 bit |             |             |                     | 1.8239     |  |

- ① The average of Huffman code length = 1.8239 bit
- ② The average of code length= 4 bit
  - ⇒ Efficiency of compression =  $\frac{4}{1.823}$  = 2.2

#### 3 The data compression from the transformation coding

Main idea:

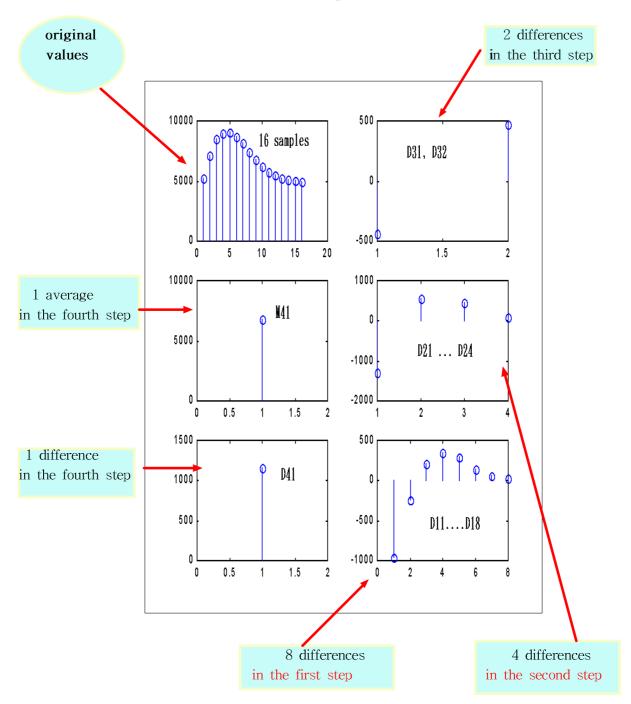
• The example of wavelet compression of images



Using the differences, the wavelet transform has numbers smaller than the original value

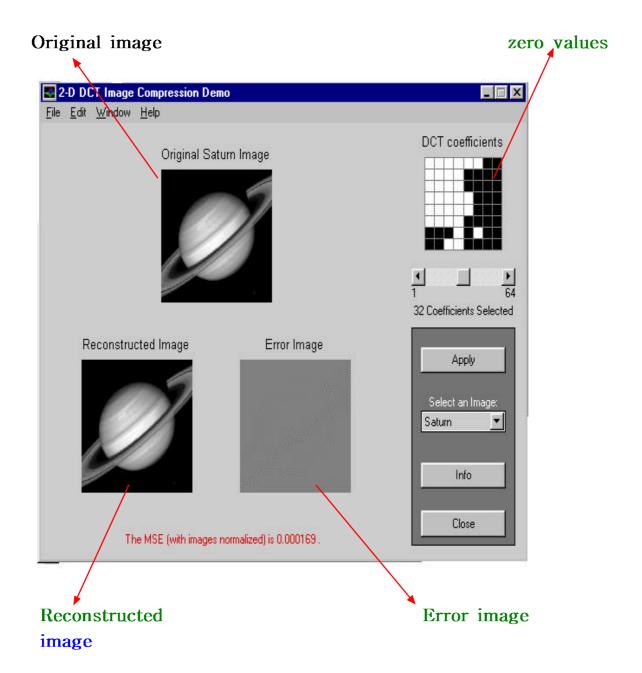
#### ♦ The result

#### In case of 16 samples of our signal



• The original values were compressed significantly by this method.

- If we change the differences to zero ⇒ Lossy compression
  - ♠ Lossy compression from DCT(Discrete Cosine Transform)
    - ♦ Lossy compression with 32 zero values/ 64 values

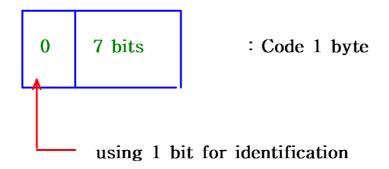


S. Mallat: We want to work with specialist for the lossy compression of image and telecommunication

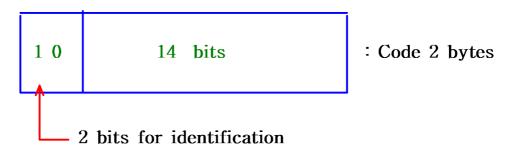
- 4 The data compression from the "dynamic" coding
- The main idea :

Code the samples with 1, 2, 3, bytes according to their amplitude

 $\blacktriangle$  If amplitude of sample  $< 128 \implies$  Code with 8 bits



 $\blacktriangle$  If 128 < amplitude < 16384  $\Rightarrow$  Code with 16 bits



 $\spadesuit$  If 16384 < amplitude < 4194304  $\Rightarrow$  Code with 24 bits

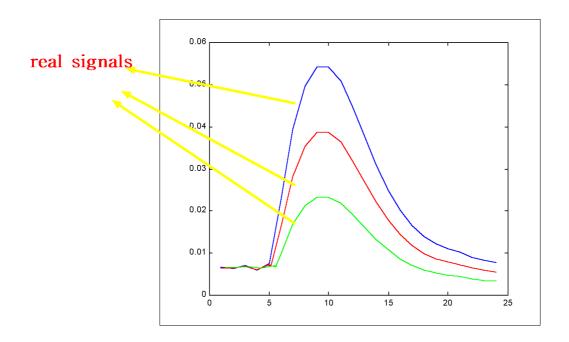


We suppose that this method is simple.

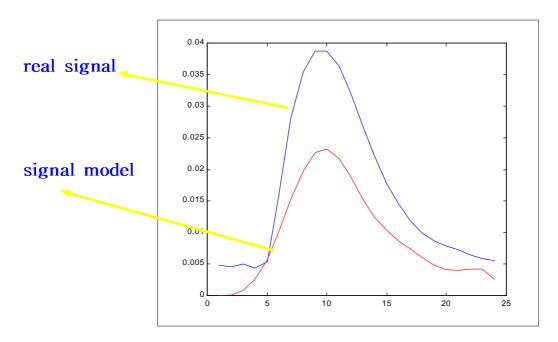
# **(5)** The data compression from the Residual parametric coding

#### ● The main idea:

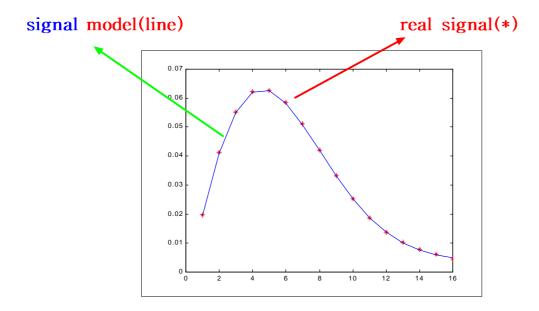
① If time jitter of the CMS signals is small.



2 Generation of the signal model.



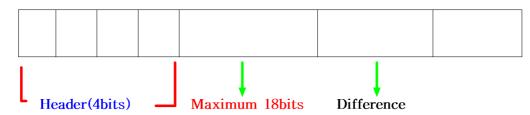
③ Normalize the signal model to the maximum value of the real signal.



- **4** The calculation:
  - ① The maximum value.
  - ② The difference between the real signal and our signal model normalized to the maximum value of the real signal.

#### **(5)** The coding:

◆ We make the frame



★Header depends on the bit length of the difference values.

It has 16 possibilities, for example:

0000: Does not code the diffrence values ( case : pile-up)

Code the original values

0001 : The bit length of the difference value is 3 bit.

0010 : The bit length of the difference value is 4 bit.

:

#### • How much the number of bits is reduced?

If we can take 10 smples and code each sample by 18 bits

- ⇒ the total number of bits in case of no data compression is 180 bits.
  - ⇒ If bit length of the difference is 3, the total number of bit in case of data compression from redidual parametric coding is

$$4+18+9\times3=49$$
 bits.

In this example, we have the compression of  $4 \approx \frac{180}{49}$  factors .

#### **♠** Calculation of compression factor

| bit length (difference) | Total number of bits |     | number of<br>out compres |     |
|-------------------------|----------------------|-----|--------------------------|-----|
|                         | (with compression)   | 180 | 160                      | 140 |
| 3                       | 49                   | 3.6 | 3.2                      | 2.8 |
| 4                       | 58                   | 3.1 | 2.7                      | 2.4 |
| 5                       | 67                   | 2.6 | 2.3                      | 2.0 |
| 6                       | 76                   | 2.3 | 2.1                      | 1.8 |
| 7                       | 85                   | 2.1 | 1.8                      | 1.6 |
| 8                       | 94                   | 1.9 | 1.7                      | 1.4 |

compression factor

| Different methods of d | Status   | Our opinion<br>(for CMS) |               |
|------------------------|----------|--------------------------|---------------|
|                        | DPCM     | (not                     |               |
| Differntial coding     |          | efficient)               | Not efficient |
|                        | ADPCM    |                          |               |
|                        |          | Being                    |               |
|                        |          | continue                 |               |
|                        |          | Being                    |               |
| Entropy coding         | Huffuman | continue                 | Complicate    |
|                        | ***      | - n ·                    |               |
|                        | Wavelet  | Being                    |               |
| Transformation coding  |          | continue                 | Complicate    |
| DCT                    |          | with                     |               |
|                        |          | S. Mallat                |               |
|                        |          |                          |               |

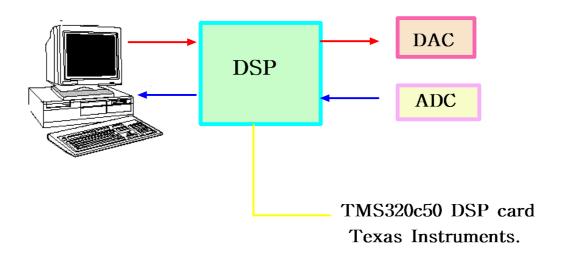
## • We propose two methods:

- **♠**Dynamic coding
- ♠ Residual parametric coding

## 5. Study of the DSP (Digital Signal Processor)

## ① About the DSP card

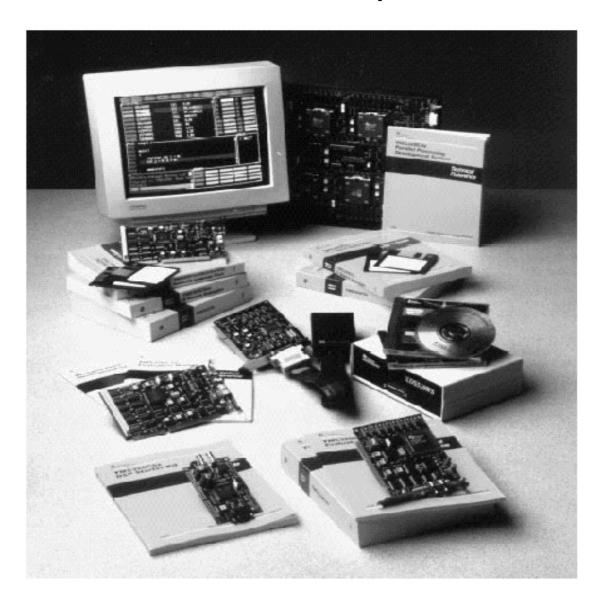
♦ Why do we need the DSP card : faster processing



#### ♠ Characteristics of the TMS320c5x DSP Processors

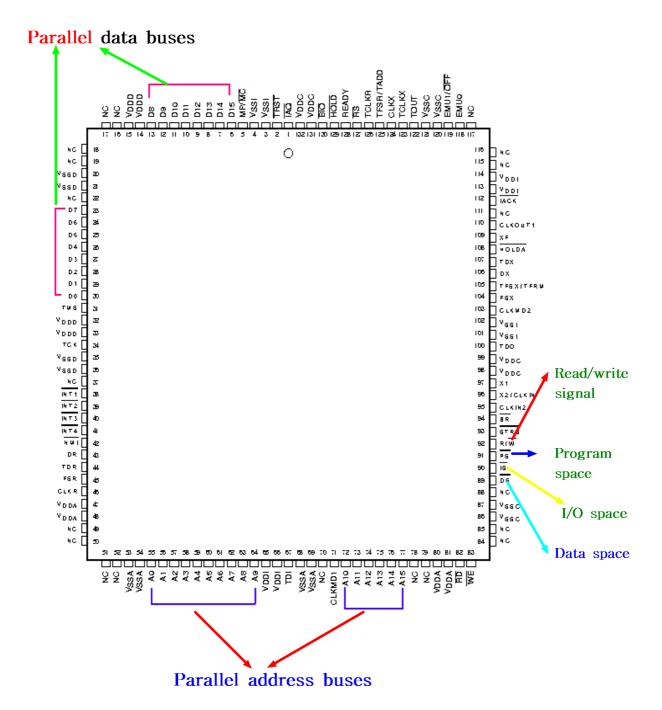
| TMS320    | On-chip Memory |           |      | I/O Ports |          | Cycle | Package        |
|-----------|----------------|-----------|------|-----------|----------|-------|----------------|
| Device    | RAM            |           | ROM  | Serial    | Parallel | Time  | Туре           |
|           | Data           | Data-Prog | Prog |           |          | (ns)  | V 1            |
| TMS320c50 | 1K             | 9K        | 2K   | 2         | 64K      | 50/35 | 132pin ceramic |
| TMS320c51 | 1K             | 1K        | 8K   | 2         | 64K      | 50/35 | 132pin plastic |
| TMS320c53 | 1K             | ЗК        | 16K  | 2         | 64K      | 50/35 | 132pin plastic |

The TMS320c5x family

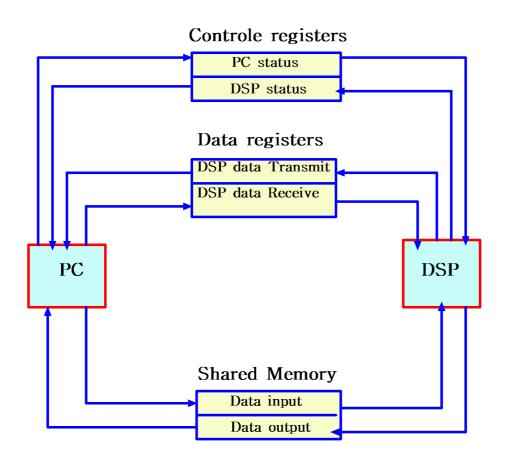


#### 2 About the Hardware

● The signal assignments for TMS320c50 132-pin



- The communication between DSP and Host (Pc)
- ♠ The Pc communicates with DSP through 16-bit data, status, and control registers.
- ♠ The diagram of the communication
  - ① The Pc and DSP communicated by the Control registers and Data registers.



## ② About the Software

The DSP is well supported by:

♠ C Language :

♠ Assembler

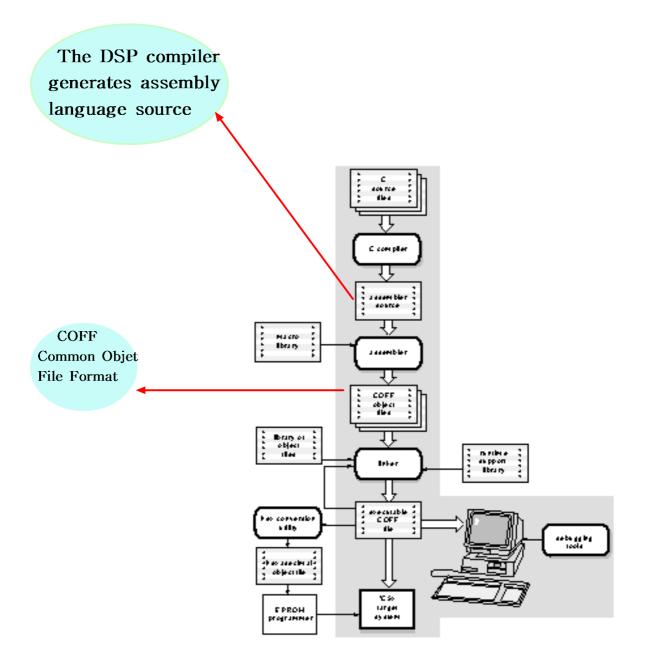
The PC is well supportes by:

♠ C Language :

No standard C

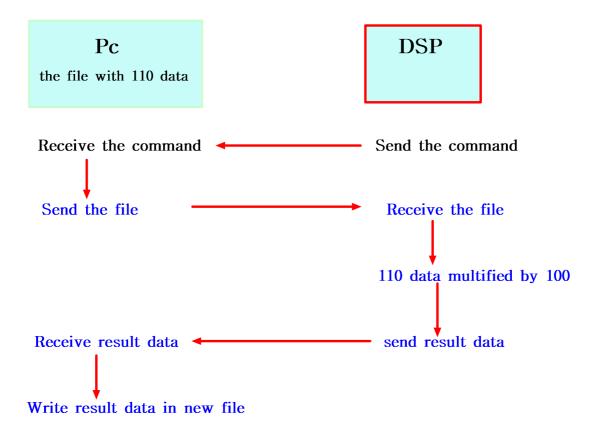
★ They are different.

Standard C



## The example of program

↑ The main idea of program

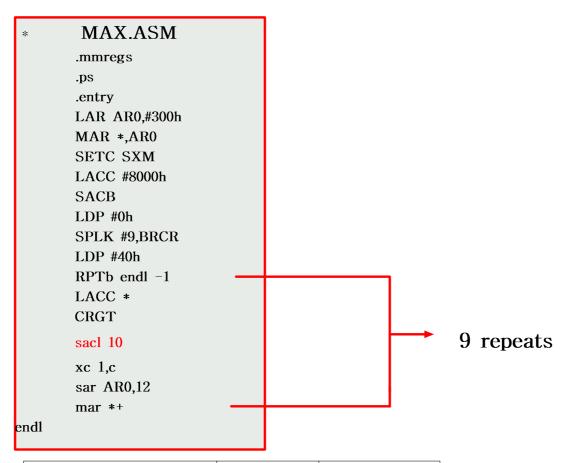


• The communication is perfect

- 3 Execution time for the generation of maximum value.
- ① The program of Lanuage C for the generation of maximum value in 9 values.

```
1. Maxk.c
/* % Le 02 04 1989 par M. Akili Karra et G.B KIM */
int a[9] ={2, 2, 3, 4, 5, 4, 3, 12, 1};
int i,ma,s,j;
int *adm;
void main()
                           /* Pour l'initialisation, on prend la permière valeur */
        s=a[0];
        j=0;
                           /* j correspond de l'adresse de la valeur maximale */
       for (i=0;i<=8;i++)
        if(s \le a[i])
        \{s=a[i];
         j=i; }
        else
         s=s;
     adm=&a[j];
```

## The program of Assembler for the generation of maximum value.



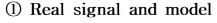
| The name of instrument | Cycle<br>Timing | Time execution (Cycle×35ns) |
|------------------------|-----------------|-----------------------------|
| LAR                    | 2               | 70                          |
| MAR                    | 1               | 35<br>35                    |
| SETC<br>LACC           | 2               | 70                          |
| SACB                   | 1               | 35                          |
| LDP                    | 2               | 70                          |
| SPLK                   | 1               | 35                          |
| LDP                    | 1               | 35                          |
| RPTb                   | 2×9             | 630                         |
| LACC<br>CRGT           | 1×9<br>1×9      | 315<br>315                  |
| sacl                   | 1×9             | 315                         |
| xc                     | 1×9             | 315                         |
| sar                    | 1×9             | 315                         |
| mar                    | 1×9             | 315                         |
|                        |                 | total<br>= 3 μs             |

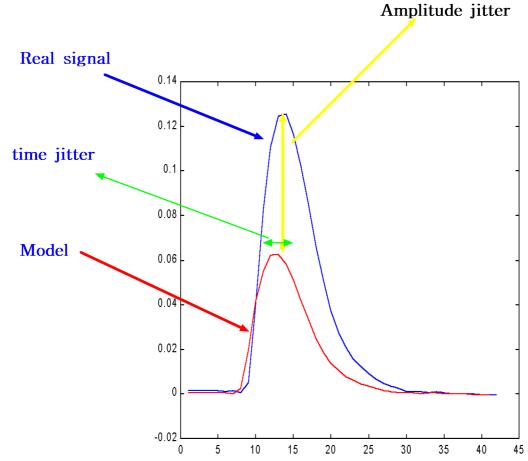
# Study of FPGA (File Progamable Gate Away)

We have a lot of information of FPGA

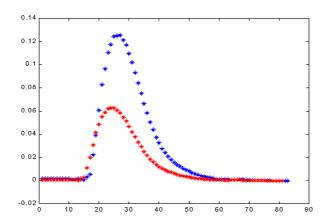
→ Nicolas Sornin, Chritophe Decker, Ecole Polytechnique, France

Main idea: Use the residual parametric coding considering the amplitude and time jitter.

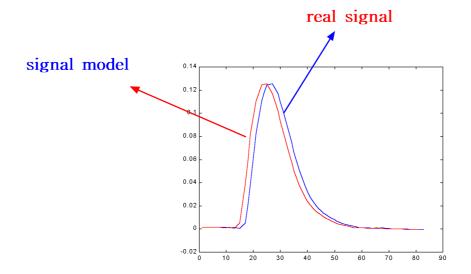




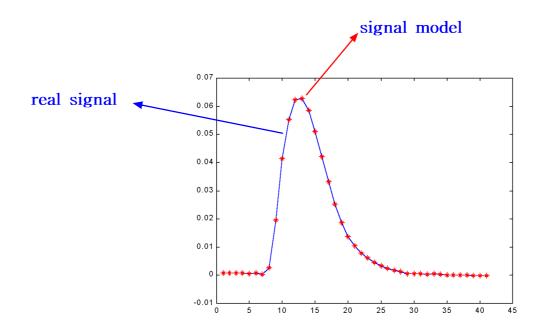
## 2 Addition of interploation to real signal and model



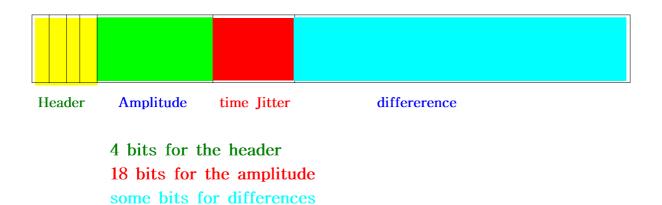
#### 3 Normalization of Amplitude



#### 4 Normalization of time



#### How we code FPGA?



★ If the difference values are small enough,
 we send only the thier amplitude and time jitter
 → FPGA is also a filter.

some bits for time jitter

## Difference & Noise

#### Result by Nicolas Sornin & Christophe Decker

| Code for difference values (bit) | 1 bit<br>noise | 2 bit<br>noise | 3 bit noise | 4 bit noise | 5 bit<br>noise | 6 bit<br>noise | 7 bit noise | 8 bit<br>noise |
|----------------------------------|----------------|----------------|-------------|-------------|----------------|----------------|-------------|----------------|
| 0 bit                            | 0.02           |                |             |             |                |                |             |                |
| 2 bit                            | 1.77           | 0.01           |             |             |                |                |             |                |
| 3 bit                            | 35.94          | 16.97          | 0.28        |             |                |                |             |                |
| 4 bit                            | 57.53          | 75.54          | 58.64       | 1.20        | 0.01           |                |             |                |
| 5 bit                            | 4.60           | 7.23           | 40.62       | 86.74       | 2.21           |                |             |                |
| 6 bit                            | 0.14           | 0.25           | 0.74        | 11.95       | 95.11          | 3.02           | 0.02        |                |
| 7 bit                            |                |                |             | 0.11        | 2.61           | 96.14          | 3.44        | 0.03           |
| 8 bit                            |                |                |             |             | 0.06           | 0.82           | 95.92       | 3.74           |
| 9 bit                            |                |                |             |             |                | 0.01           | 0.59        | 95.85          |
| 10 bit                           |                |                |             |             |                |                | 0.02        | 0.35           |

For example, 3 bits for difference values with 1bits for noise, We have 2.8 compression factors

## Conclusion

## Future of our study

- Test our methods with the data of beam test and of simulation using the CMSIM
- Continue to study the DSP
- try to study the FPGA