Introduction to QCD

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EIC Student Lectures

Workshop on QCD and Physics at a Future Electron-Ion Collider Stony Brook University, January 10, 2010

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Outline

- **Given States of Quantum Chromodynamics (QCD)**
- □ Why should we believe QCD?
- **EIC** as the next QCD machine

Quantum Chromodynamics (QCD)

= A quantum field theory of quarks and gluons =

□ Fields: $\psi_i^f(x)$

- Quark fields:spin-1/2Dirac fermion (like electron)Color triplet: $i = 1, 2, 3 = N_c$ Flavor:f = u, d, s, c, b, t
- $A_{\mu,a}(x)$

Gluon fields: spin-1 vector field (like photon) Color octet: $a = 1, 2, ..., 8 = N_c^2 - 1$

QCD Lagrangian density:

$$\mathcal{L}_{QCD}(\psi, A) = \sum_{f} \overline{\psi}_{i}^{f} \left[(i\partial_{\mu}\delta_{ij} - gA_{\mu,a}(t_{a})_{ij})\gamma^{\mu} - m_{f}\delta_{ij} \right] \psi_{j}^{f} - \frac{1}{4} \left[\partial_{\mu}A_{\nu,a} - \partial_{\nu}A_{\mu,a} - gC_{abc}A_{\mu,b}A_{\nu,c} \right]^{2} + \text{gauge fixing + ghost terms}$$

Color matrices:

$$[t_a, t_b] = i C_{abc} t_c$$

Generators for the fundamental representation of SU3 color

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Physical observables

Cross section:

Scattering amplitude square – Probability – Positive definite A function of in-state and out-state variables: momentum, spin, ...

□ Spin-averaged cross section:

$$\sigma = \frac{1}{2} \left[\sigma(\vec{s}) + \sigma(-\vec{s}) \right] \quad - \text{Positive definite}$$

□ Asymmetries or difference of cross sections:

$$A(\vec{s}) = \frac{\Delta\sigma(\vec{s})}{\sigma} = \frac{\sigma(\vec{s}) - \sigma(-\vec{s})}{\sigma(\vec{s}) + \sigma(-\vec{s})} \qquad \Delta\sigma(\vec{s}) = \frac{1}{2} \left[\sigma(\vec{s}) - \sigma(-\vec{s})\right]$$

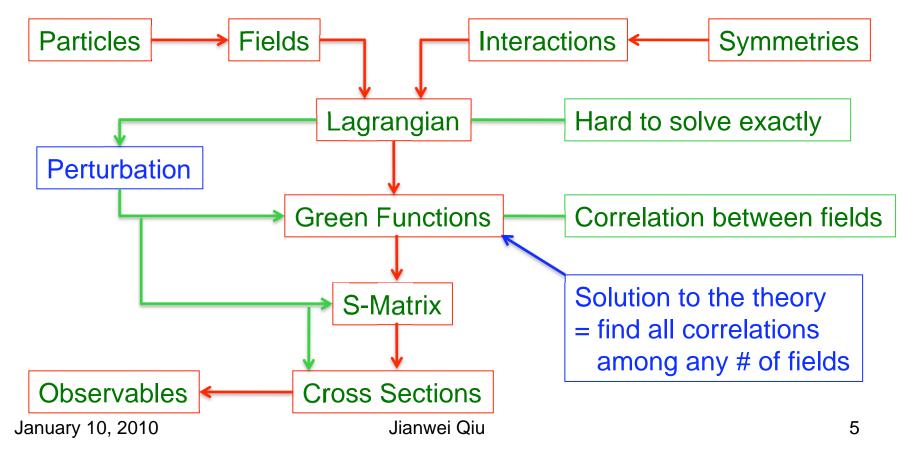
Not necessary positive!

Chance to see quantum interference directly

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From Lagrangian to Cross Section

- □ **Theorists**: Lagrangian = "complete" theory
- □ Experimentalists: Cross Section → Observables
- □ A road map from Lagrangian to Cross Section:



The Question

U We measure:

Cross sections of hadrons and leptons – Observables

□ We believe:

Hadrons are bound states of quarks and gluons

□ But,

We have not been able to solve QCD analytically to understand the confinement

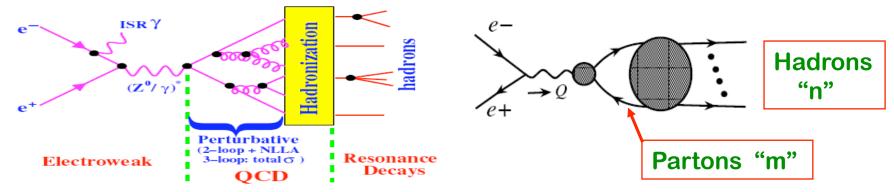
Question:

How to test QCD – a theory of quarks and gluons without seeing the quarks and gluons?

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Observables not sensitive to hadronization

□ e⁺e⁻ → hadron total cross section – no identified hadron!



If there is no quantum interference between partons and hadrons,

Test of QCD dynamics, color = 3, heavy quark mass threshold, ...

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QCD Asymptotic Freedom

QCD is a renormalizable theory **Complexes** Running coupling: $\alpha_s(\mu_2) = \frac{\alpha_s(\mu_1)}{1 - \frac{\beta_1}{4\pi}\alpha_s(\mu_1)\ln\left(\frac{\mu_2^2}{\mu_1^2}\right)} \equiv \frac{4\pi}{-\beta_1\ln\left(\frac{\mu_2^2}{\Lambda_{\text{OCD}}^2}\right)}$ 0.5 **NNLO** Theory attic NLO α_s(Q) Data Deep Inelastic Scattering Δ ٠ μ_2 and μ_1 not independent e⁺e⁻ Annihilation ٥ 0.4Hadron Collisions ۰ Heavy Quarkonia Asymptotic Freedom I antiscreening $\Lambda_{MS}^{(5)}$ QCD: $\frac{\partial \alpha_s(Q^2)}{\partial \ln Q^2} = \beta(\alpha_s) < 0$ $\alpha_{s}(M_{7})$ 275 MeV --- 0.123 0.3 OCD - 0.119 $O(\alpha^4)$ 175 MeV - 0.115 Compare $\text{QED:} \frac{\partial \alpha_{\scriptscriptstyle EM}(Q^{\scriptscriptstyle 2})}{\partial \ln Q^{\scriptscriptstyle 2}} = \beta(\alpha_{\scriptscriptstyle EM}) > 0$ 0.2 D.Gross, F.Willczek, Phys.Rev.Lett 30, (1973) H.Politzer, Phys.Rev.Lett. 30, (1973) 0.1

2004 Nobel Prize in Physics

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Q [GeV]

Effective Quark Mass

Running quark mass:

$$m(\mu_2) = m(\mu_1) \exp\left[-\int_{\mu_1}^{\mu_2} \frac{d\lambda}{\lambda} [1 + \gamma_m(g(\lambda))]\right]$$

Quark mass depend on the renormalization scale!

QCD running quark mass:

 $m(\mu_2) \Rightarrow 0$ as $\mu_2 \to \infty$ since $\gamma_m(g(\lambda)) > 0$

Choice of renormalization scale:

 $\mu \sim Q$ for small logarithms in the perturbative coefficients \Box Light quark mass: $m_f(\mu) \ll \Lambda_{\rm QCD}$ for f = u, d, even s

QCD perturbation theory (Q>>Λ_{QCD}) is effectively a massless theory

Infrared and Collinear Divergence

Consider a general diagram:

$$p^2 = 0, \quad k^2 = 0$$
 for a massless theory

$$\diamond k^{\mu} \to 0 \Rightarrow (p-k)^2 \to p^2 = 0$$

Infrared (IR) divergence

$$p = p^{k}$$

$$\stackrel{\diamond}{} k^{\mu} \mid\mid p^{\mu} \Rightarrow k^{\mu} = \lambda p^{\mu} \quad \text{with} \quad 0 < \lambda < 1$$
$$\Rightarrow \quad (p - k)^2 \rightarrow (1 - \lambda)^2 p^2 = 0$$

Collinear (CO) divergence

IR and CO divergences are generic problems of a massless perturbation theory

Infrared (IR) Safety

□ Infrared safety:

$$\sigma_{\rm Phy}\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2), \frac{m^2(\mu^2)}{\mu^2}\right) \Rightarrow \hat{\sigma}\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) + \mathcal{O}\left[\left(\frac{m^2(\mu^2)}{\mu^2}\right)^{\kappa}\right]$$

Infrared safe =
$$\kappa > 0$$

Asymptotic freedom is useful only for quantities that are infrared safe

$$\sigma_{e^+e^- \to \text{Partons}}^{\text{Total}}(s=Q^2) = \sigma_0 \left[1 + \frac{\alpha_s(Q^2)}{\pi} + \dots \right] \qquad \text{is IR safe}$$

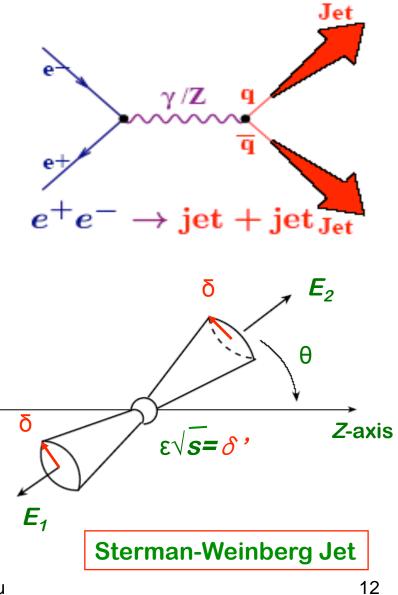
□ Go beyond the inclusive total cross section?

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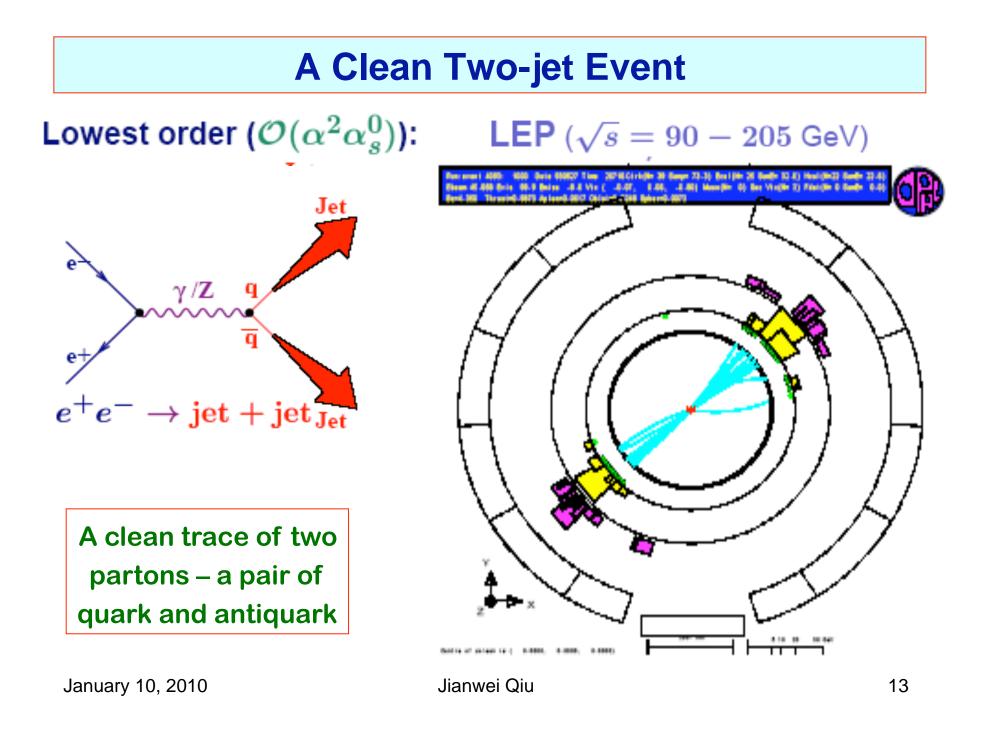
Jets in e⁺e⁻ - Trace of Partons

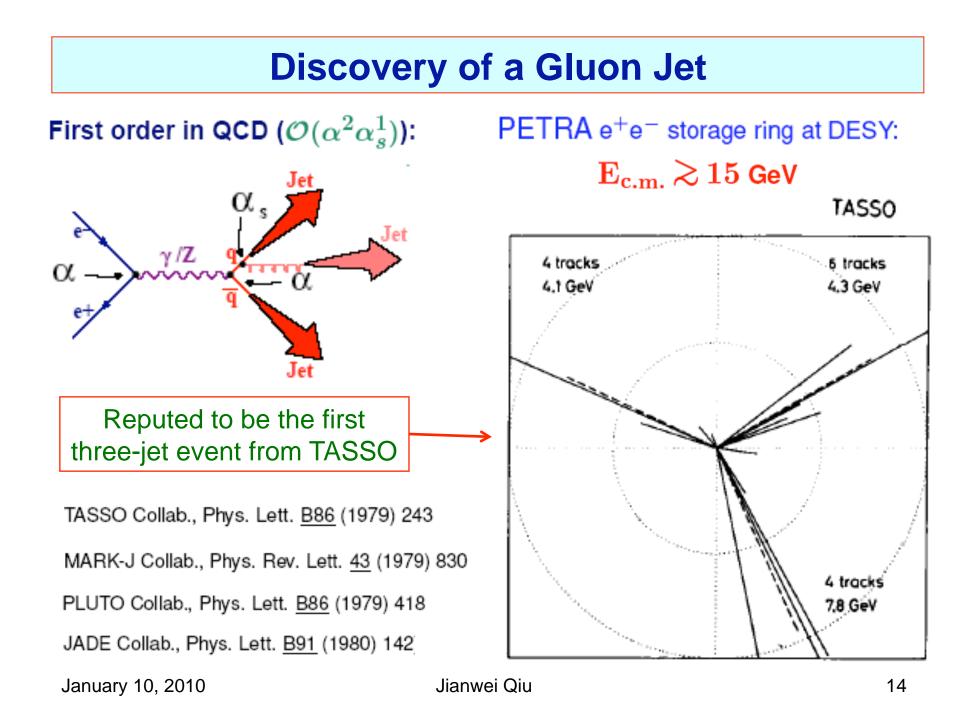
- Jets Inclusive x-section with a limited phase-space
- Q: will IR cancellation be completed?
 - Leading partons are moving away from each other
 - ◇ Soft gluon interactions should not change the direction of an energetic parton → a "jet"
 – "trace" of a parton



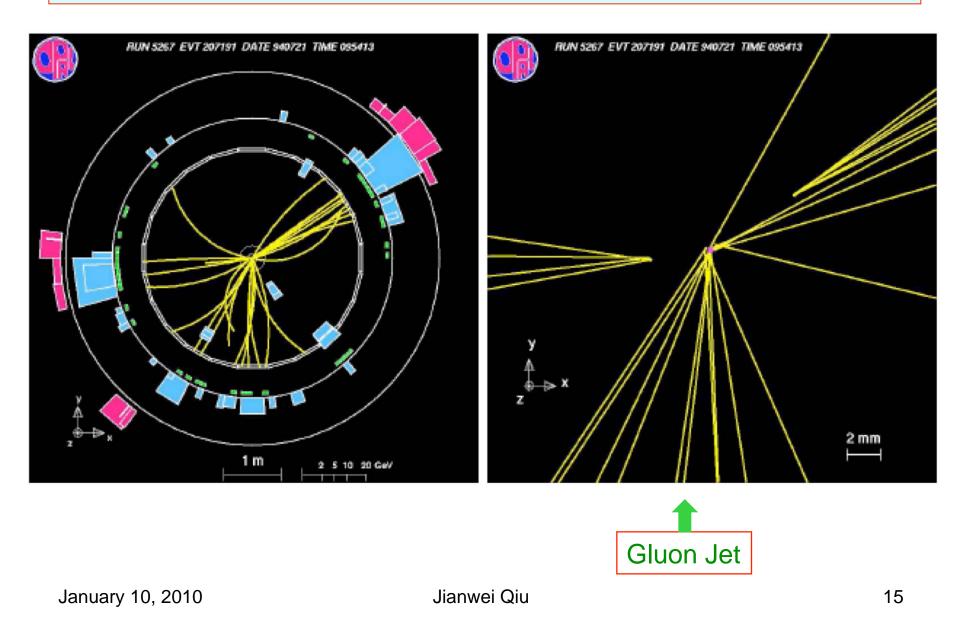


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Tagged Three-jet Event from LEP



The harder Question

Question:

How to test QCD in a reaction with identified hadron(s)? - to probe the quark-gluon structure of the hadron

Given Facts:

Hadronic scale ~ 1/fm ~ Λ_{QCD} is non-perturbative

Cross section involving identified hadron(s) is not IR safe and is not perturbatively calculable!

□ Solution – Factorization:

- \diamond Isolate the calculable dynamics of quarks and gluons
- Connect quarks and gluons to hadrons via non-perturbative but universal distribution functions
 - provide information on the partonic structure of the hadron

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Connecting the partons to the hadrons

Effective field theories + models:

Integrate out some degrees of freedom, express QCD in some effective degrees of freedom:

HQEF, SCEF, ...

- approximation in field operators, still need the matrix elements to connect to the hadron states
- \diamond effective theory in hadron degrees of freedom, ...
- \diamond models Quark Models, ...

PQCD factorization:

♦ Connect partons to hadrons via matrix elements (PDFs, FFs, ...) $\langle H(p,s) | \mathcal{O}(\phi, F_{\mu\nu}) | H(p,s) \rangle$

□ Lattice QCD – cannot calculate hadronic cross sections

 \diamond can calculate matrix elements and partonic properties, ...

Inclusive lepton-hadron DIS – one hadron

Cross section:

$$E'\frac{d\sigma^{\text{DIS}}}{d^{3}k'} = \frac{1}{2s} \left(\frac{1}{Q^{2}}\right)^{2} L^{\mu\nu}\left(k,k'\right) W_{\mu\nu}\left(q,p\right)$$

□ Hadronic tensor:

$$e(k), \lambda$$

$$e(k'), \lambda'$$

$$p, \sigma$$

$$X$$

$$W_{\mu\nu}(q, p, S) = \frac{1}{4\pi} \int d^{4}z \ e^{iq \cdot z} \ \left\langle p, S \left| J_{\mu}^{\dagger}(z) J_{\nu}(0) \right| p, S \right\rangle$$
$$W_{\mu\nu} = -\left(g_{\mu\nu} - \frac{q_{\mu}q_{\nu}}{q^{2}}\right) F_{1}\left(x_{B}, Q^{2}\right) + \frac{1}{p \cdot q} \left(p_{\mu} - q_{\mu} \frac{p \cdot q}{q^{2}}\right) \left(p_{\nu} - q_{\nu} \frac{p \cdot q}{q^{2}}\right) F_{2}\left(x_{B}, Q^{2}\right)$$
$$+ iM_{p} \varepsilon^{\mu\nu\rho\sigma} q_{\rho} \left[\frac{S_{\sigma}}{p \cdot q} g_{1}\left(x_{B}, Q^{2}\right) + \frac{(p \cdot q)S_{\sigma} - (S \cdot q)p_{\sigma}}{(p \cdot q)^{2}} g_{2}\left(x_{B}, Q^{2}\right)\right]$$

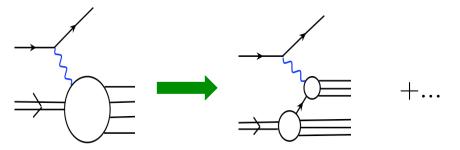
□ Structure functions – infrared sensitive:

$$F_1(x_B,Q^2), F_2(x_B,Q^2), g_1(x_B,Q^2), g_2(x_B,Q^2)$$

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Perturbative QCD Factorization

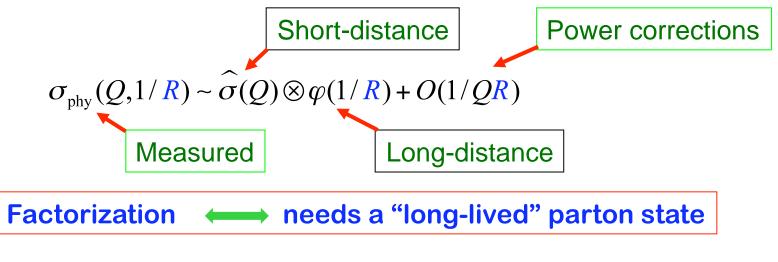
□ Factorization – an approximation:



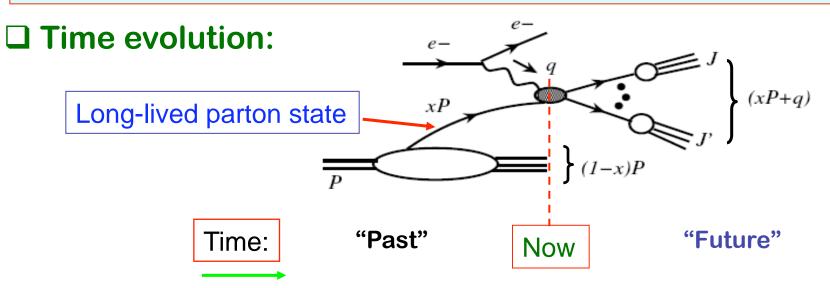
(Diagrams with more active partons from each hadron!)

Leading Power:

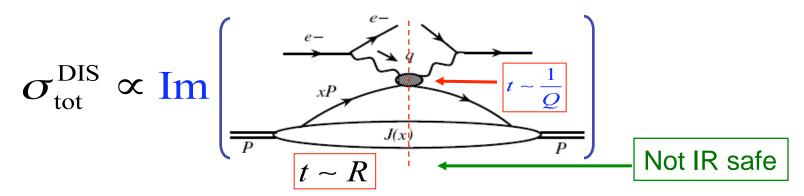
Single active parton from each hadron!



Picture of factorization for DIS



□ Unitarity – summing over all hard jets:

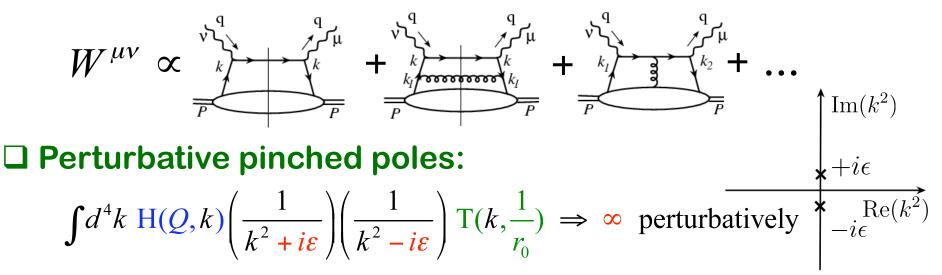


Interaction between the "past" and "now" are suppressed!

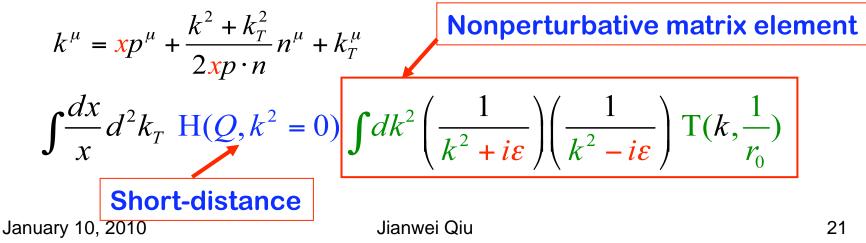
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Long-lived Parton States

□ Feynman diagram representation:



Perturbative factorization:



Scheme dependence

Parton's transverse momentum is integrated into parton distributions, and provides a scale of power corrections

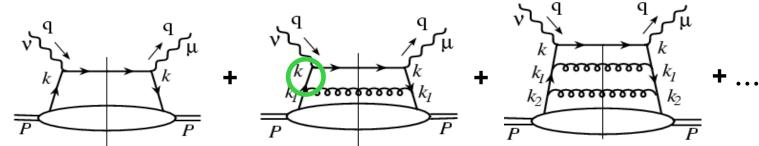
 \Box DIS limit: $v, Q^2 \rightarrow \infty$, while x_B fixed

Same as elastic x-section

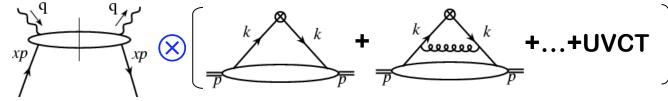
Feynman's parton model and Bjorken scaling $F_2(x_B, Q^2) = x_B \sum_f e_f^2 \phi_f(x_B) = 2x_B F_1(x_B, Q^2)$ Spin-½ parton! **Corrections:** $\mathcal{O}(\alpha_s)^f + \mathcal{O}(\langle k^2 \rangle / Q^2)$ January 10, 2010 Jianwei Qiu 22

Leading Power QCD Formalism

QCD corrections: pinch singularities in $\int d^4k_i$



□ Logarithmic contributions into parton distributions:



$$\implies F_2(x_B, Q^2) = \sum_f C_f\left(\frac{x_B}{x}, \frac{Q^2}{\mu_F^2}, \alpha_s\right) \otimes \varphi_f\left(x, \mu_F^2\right) + O\left(\frac{\Lambda_{\text{QCD}}^2}{Q^2}\right)$$

\Box Factorization scale: μ_F^2

To separate collinear from non-collinear contribution
Recall: renormalization scale to separate local from non-local contribution
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Dependence on factorization scale

□ Physical cross sections should not depend on the factorization scale $u^2 = d = E(x + Q^2) = 0$

$$\mu_{F}^{2} \frac{d}{d\mu_{F}^{2}} F_{2}(x_{B}, Q^{2}) = 0$$

Evolution (differential-integral) equation for PDFs

$$\sum_{f} \left[\mu_F^2 \frac{d}{d\mu_F^2} C_f\left(\frac{x_B}{x}, \frac{Q^2}{\mu_F^2}, \alpha_s\right) \right] \otimes \varphi_f\left(x, \mu_F^2\right) + \sum_{f} C_f\left(\frac{x_B}{x}, \frac{Q^2}{\mu_F^2}, \alpha_s\right) \otimes \mu_F^2 \frac{d}{d\mu_F^2} \varphi_f\left(x, \mu_F^2\right) = 0$$

PDFs and coefficient functions share the same logarithms

PDFs: $\log(\mu_F^2/\mu_0^2)$ or $\log(\mu_F^2/\Lambda_{QCD}^2)$ Coefficient functions: $\log(Q^2/\mu_F^2)$ or $\log(Q^2/\mu^2)$

 \rightarrow

DGLAP evolution equation:

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \varphi_i(x, \mu_F^2) = \sum_j P_{i/j}\left(\frac{x}{x'}, \alpha_s\right) \otimes \varphi_j(x', \mu_F^2)$$

NLO is necessary for testing QCD calculation

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Global QCD analysis of PDFs

□ PDFs are extracted by using:

* DGLAP
$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \varphi_i(x, \mu_F^2) = \sum_j P_{i/j}\left(\frac{x}{x'}, \alpha_s\right) \otimes \varphi_j(x', \mu_F^2)$$

✤ Factorized hard cross sections, e.g.

$$F_{2h}(x_B, Q^2) = \sum_{q} C_{q/f}\left(\frac{x_B}{x}, \frac{Q^2}{\mu_F^2}, \alpha_s\right) \otimes \varphi_{f/h}\left(x, \mu_F^2\right) + O\left(\frac{\Lambda_{\text{QCD}}^2}{Q^2}\right)$$

Data: to fix the boundary condition of DGLAP

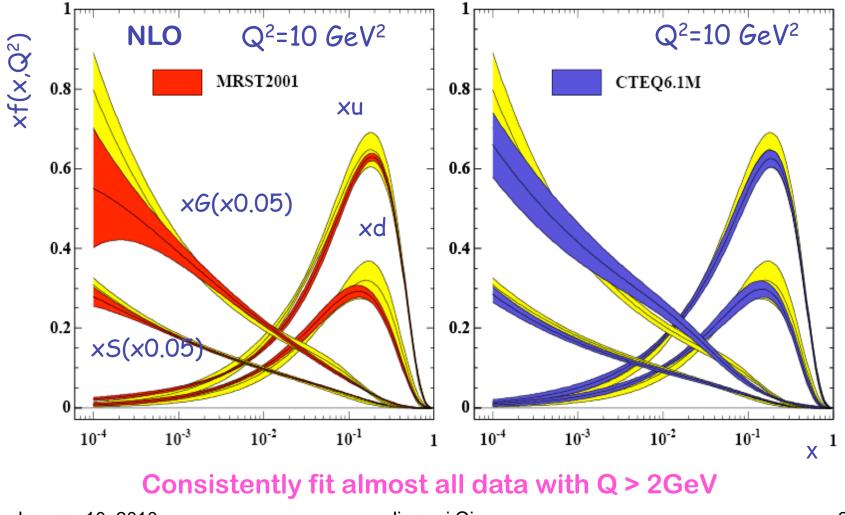
□ The order and scheme dependence of PDFs:

★ Leading order (tree-level) C_q ★ Next-to-Leading order C_q ★ Calculation of C_q at NLO and beyond depends on the UVCT
 ★ the scheme dependence of C_q ★ the scheme dependence of PDFs

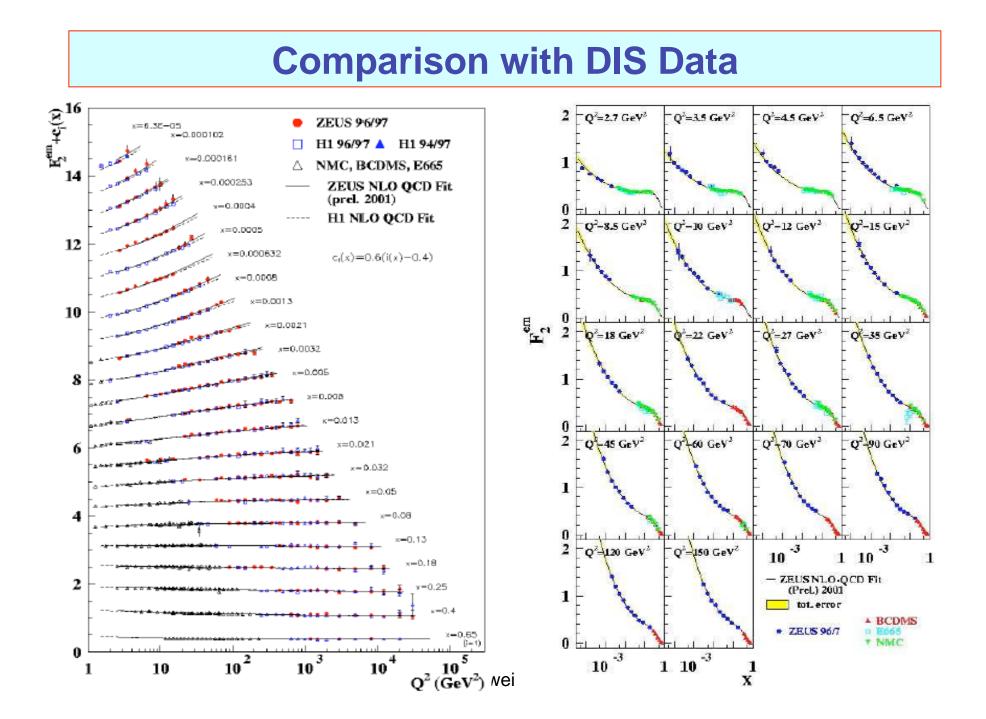
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PDFs of a spin-averaged proton

□ Modern sets of PDFs with uncertainties:

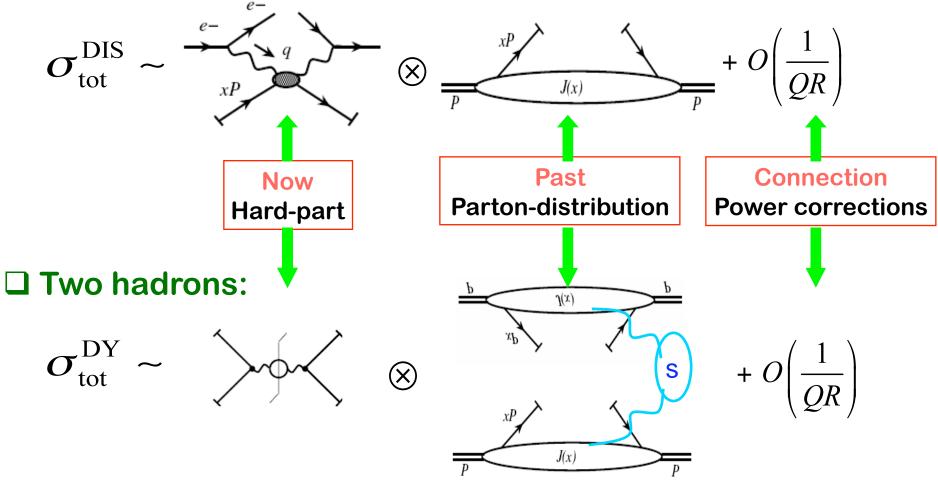


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Cross Section with TWO Identified Hadrons

One hadron:



Soft interactions between incoming hadrons break the universality of PDFs

"Drell-Yan" Cross Section

Drell-Yan process:

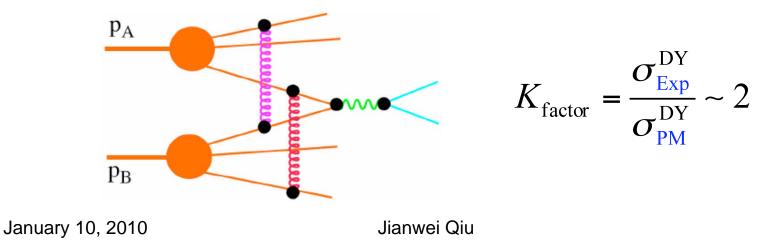
via a heavy colorless particle

$$h(p_A) + h'(p_B) \rightarrow \ell^+ \ell^-(q) + X \quad \text{with } Q^2 = q^2$$

Parton model formula:

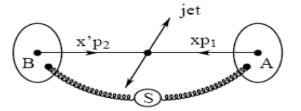
$$\frac{d\sigma_{hh'}^{\rm DY}(p_A, p_B, q)}{dQ^2} = \sum_{f, f'} \int_0^1 dx \int_0^1 dx' \phi_f(x) \frac{d\hat{\sigma}_{ff}^{\rm el}(xp_A, x'p_B, q)}{dQ^2} \phi_{f'}(x')$$

Long-range soft interactions before the hard collision could break PDF's universality – loss of predictive power



Long-range Soft Gluon Interactions

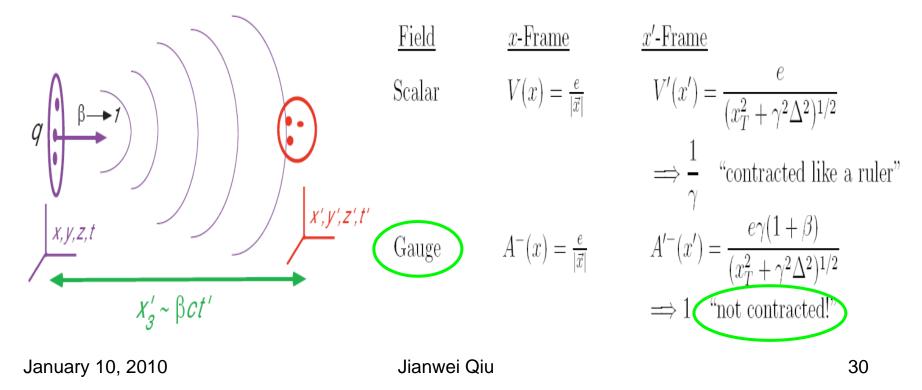
□ Soft-gluon interaction takes place all the time:



Question:

What is its effect on a physical observable?

□ Factorization = soft-gluon interactions are suppressed:



Field Strength is Strongly Contracted

Field	\underline{x} -Frame	$\underline{x'}$ -Frame
Field Strength	$E_3(x) = \frac{e}{ \vec{x} ^2}$	$E_3(x') = \frac{-e\gamma\Delta}{(x_T^2 + \gamma^2\Delta^2)^{3/2}}$
		$\implies \frac{1}{\gamma^2}$ "strongly contracted!"

Lorentz contracted fields of incident particles do not overlap until the moment of the scattering!

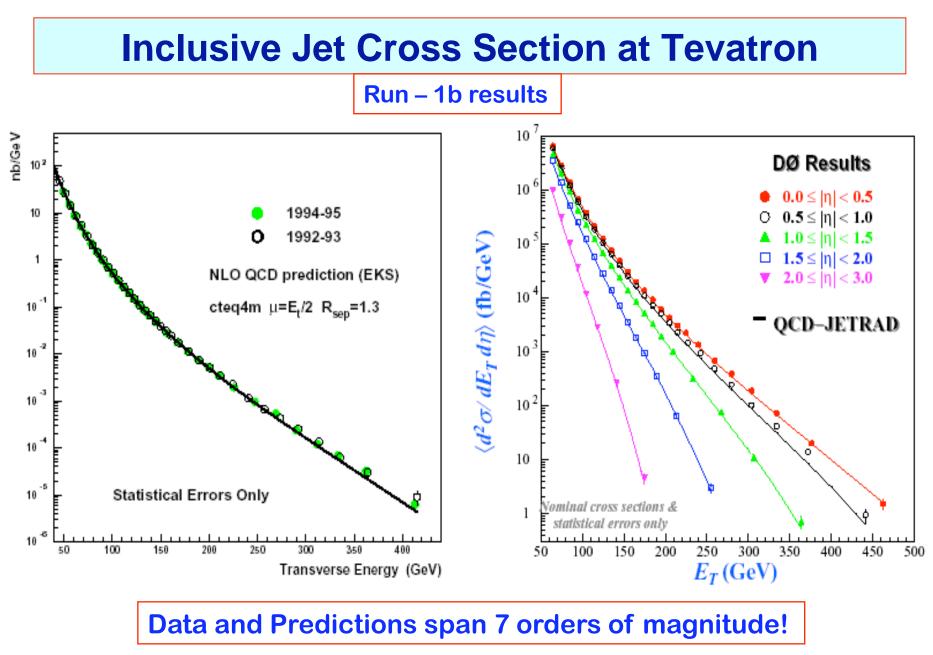
the $1/\gamma^2$ translates into a suppression factor of $1/Q^4$

Initial-state interaction disappear at high enough energies!

$$\sigma(Q) = \sigma_0(Q) + \sigma_2(Q)\frac{1}{Q^2} + \sigma_4(Q)\frac{1}{Q^4} + \dots$$

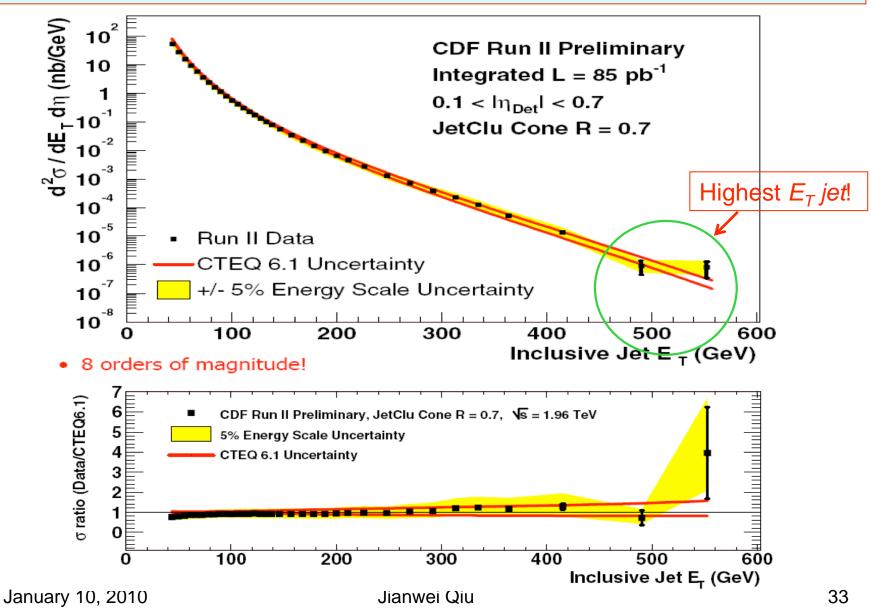
the factorization should be valid at the order of 1/Q²
 Leading power (twist): Collins, Soper, and Sterman; Bodwin
 Next leading power: Qiu and Sterman
 Factorization is violated at 1/Q⁴ via explicit calculation: Taylor et al.

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Prediction vs CDF RUN-II Data



Are there anything left to do?

- □ QCD has only been tested for the dynamics at a distance scale less than 1/10 fm!
- **Connection between parton dynamics and the hadrons:**

Parton distribution functions grow fast as $x \rightarrow 0$

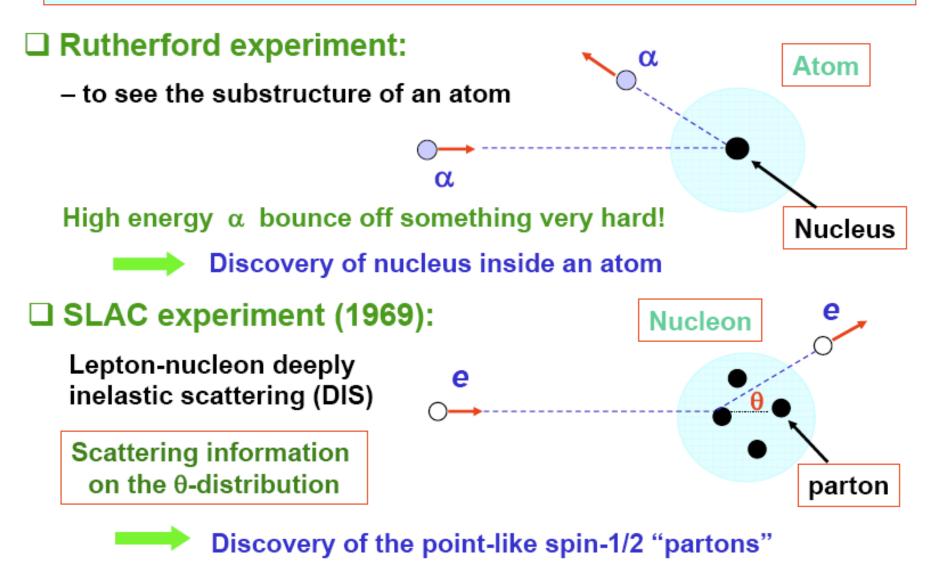
- Large phase space for gluon radiation BFKL evolution → violation of unitarity
- Large parton density system is no longer dilute
 - → Parton recombination saturation CGC

Active parton's virtuality \rightarrow Q

- \rightarrow Parton k_T is important power correction: $<k_T>/Q$
- □ Novel phenomena in spin asymmtries:
 - Single transverse spin asymmetries parton transverse motion, ...

 $\frac{Q^2}{-} \ll 0$

"See" the substructure of a Nucleon?



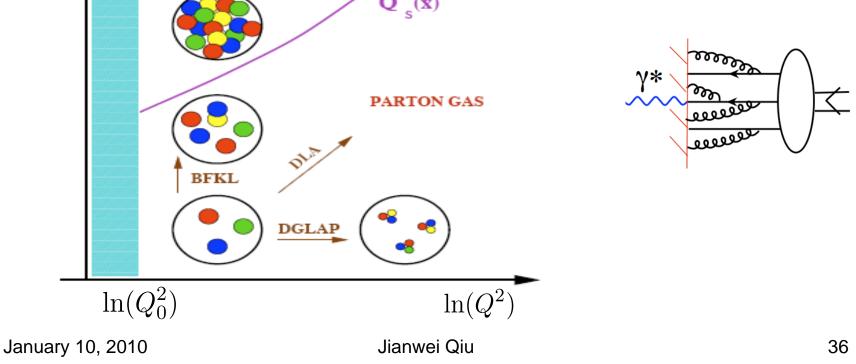
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QCD in transition – from a dilute to a dense regime:

Parton k_T , parton correlation, QCD power expansion, ...

QCD at high parton density:

Parton recombination – saturation – CGC $\ln \frac{1}{x}$ SATURATION $\mathbf{Q}_{s}(\mathbf{x})$



Parton density grows at small x

DGLAP evolution when $x \rightarrow 0$

* Gluon to gluon splitting function is singular as $x \rightarrow 0$

$$P_{gg}(x) \rightarrow 2N_c \left(\frac{\alpha_s}{2\pi}\right) \frac{1}{x} + \dots$$

***** Corresponding moment:

$$\gamma(n) = \int_0^1 dx \ x^{n-1} \ P(x)$$

$$\gamma_{gg}(n) \rightarrow 2N_c \left(\frac{\alpha_s}{2\pi}\right) \frac{1}{n-1} + \dots \text{ with pole at } n=1$$

***** Moments of gluon distribution:

$$G(n,\mu^2) = G(n,\mu_0^2) \exp\left[\left(\frac{C}{n-1}\right) \ell n\left(\frac{\ell n(\mu^2 / \Lambda_{\text{QCD}}^2)}{\ell n(\mu_0^2 / \Lambda_{\text{QCD}}^2)}\right)\right]$$

$$C = 4N_c / (-\beta_1) > 0$$

Resummation of $ln^n(1/x)$

\$ gluon at small x:

$$xg(x,\mu^2) \approx \frac{G(n_0,\mu_0^2)}{\sqrt{2\pi a \ell n \left(1/x\right)}} e^{2\sqrt{C\ell n(t) \ell n(1/x)}}$$

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BFKL Kinematics

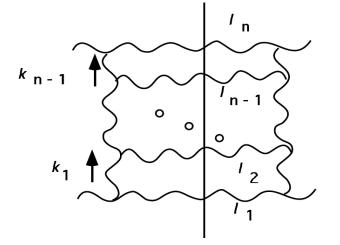
 \Box Wthout k_T ordering, $\alpha_s^n \ell n^n (1/x)$, leading log approximation

Consider n-gluon ladder at α_s^n

 $x \ll 1$, $Q^2 \ll W^2 = (1-x)Q^2 / x$,

so approximate

 $q^{\mu} \sim q^{-} \delta^{\mu-} + q^{+} \delta^{\mu+}, q^{2} \sim 2q^{+}q^{-}$ with $q^{+} \ll q^{-}$



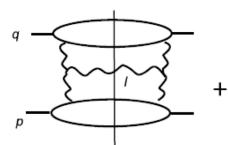
 \Box "Sudakov" parameterization: $k_i = \alpha_i p + \beta_i q + k_{iT}$

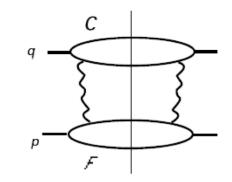
Generalized ladder diagrams

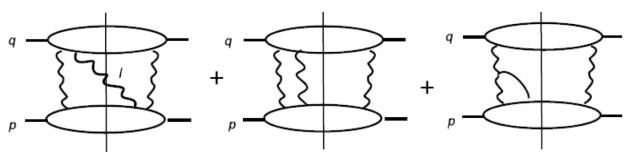
 \Box K_T factorization:

$$F(x,Q^2) = \int d^2k_T \int_x^1 \frac{d\xi}{\xi} C\left(\frac{x}{\xi},Q,k_T\right) \mathcal{F}(\xi,k_T)$$

□ Add a gluon:







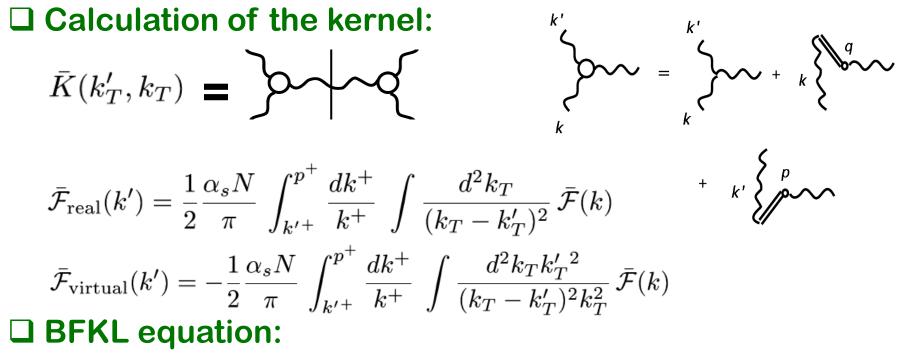
□ Strong ordering leads to a generalized ladder:



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BFKL equation in **DIS**



$$\alpha \frac{\partial}{\partial \alpha} \bar{\mathcal{F}}(\alpha, k_T') = -\frac{\alpha_s N}{\pi^2} \int \frac{d^2 k_T}{(k_T - k_T')^2} \left\{ \bar{\mathcal{F}}(\alpha, k_T) - \frac{k_T'^2}{2k_T^2} \bar{\mathcal{F}}(\alpha, k_T') \right\}$$

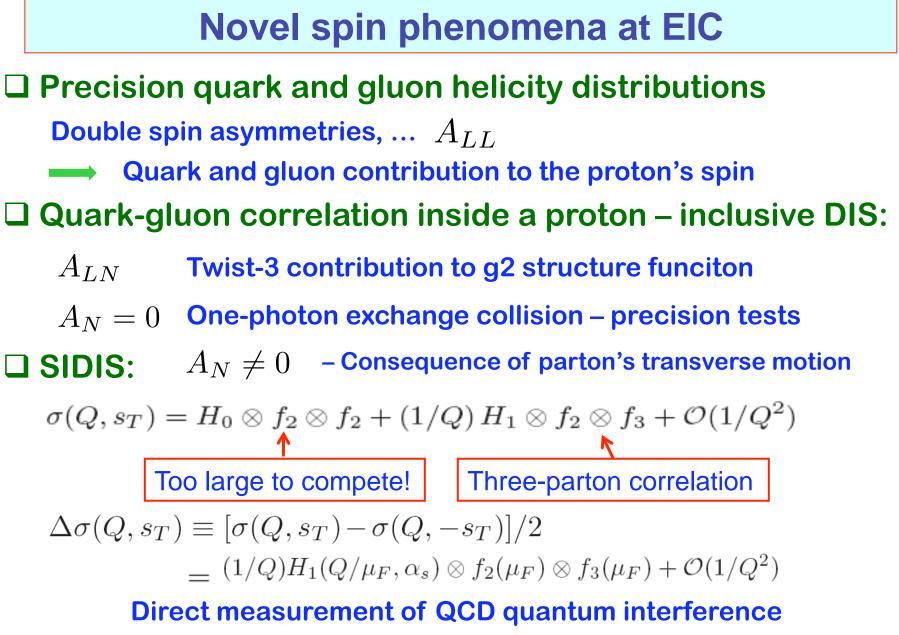
□ Solution of BFKL equation:

$$\mathcal{F}(x, q_T) \sim x^{-4N \ln 2(\alpha_s/\pi)} (q_T^2)^{-1/2}$$

Much more singular than finite-order perturbative calculation!

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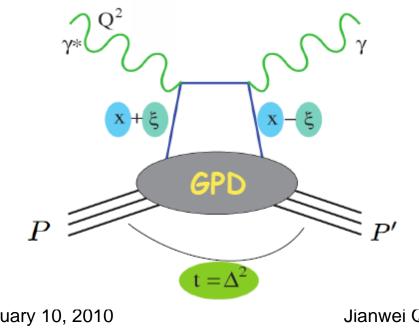


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GPD – 3D parton distribution

Over the last decade, theory has understood that parton distributions and form factors are special cases of a much more powerful representation of nucleon structure: "Generalized Parton Distributions"

Müller, Robaschik; Ji; Radyushkin



- \boldsymbol{x} : average quark momentum fracⁿ
- ξ : "skewing parameter" = $x_1 x_2$
- t: 4-momentum transfer²

Generalized quark distribution

Definition:

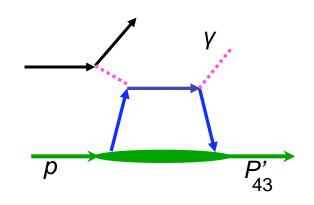
$$\begin{split} F_q(x,\xi,t,\mu^2) &= \int \frac{d\lambda}{2\pi} \mathrm{e}^{-ix} \left\langle P' | \bar{\psi}_q(\lambda/2) \frac{\gamma \cdot n}{2P \cdot n} \psi_q(-\lambda/2) | P \right\rangle \\ &\equiv H_q(x,\xi,t,\mu^2) \left[\bar{\mathcal{U}}(P') \gamma^{\mu} \mathcal{U}(P) \right] \frac{n_{\mu}}{2P \cdot n} \\ &+ E_q(x,\xi,t,\mu^2) \left[\bar{\mathcal{U}}(P') \frac{i\sigma^{\mu\nu}(P'-P)_{\nu}}{2M} \mathcal{U}(P) \right] \frac{n_{\mu}}{2P \cdot n} \\ &\text{with} \quad \xi = (P'-P) \cdot n/2 \text{ and } t = (P'-P)^2 \end{split}$$

Connection to normal quark distribution: $H_q(x, 0, 0, \mu^2) = q(x, \mu^2)$

□ Parton's orbital motion:

$$J_q = rac{1}{2} \lim_{t o 0} \int dx \, x \, \left[H_q(x,\xi,t) + E_q(x,\xi,t)
ight]$$

 $= rac{1}{2} \Delta q + L_q \qquad ext{Ji, PRL78, 1997}$



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Summary

□ QCD is very rich in dynamics, much more than QED, while QED is the underline theory of all excitements of CMP, ...

After 35 years, we have learned only a very small part of QCD dynamics: less than 0.1 fm, although we have been successful

EIC provides an unique opportunity to probe the partonic structure of hadron in many different kinematic regimes

Let's work and hope that we will have this QCD machine!

Thank you!