# $|V_{ub}|$ and $|V_{cb}|$ : theoretical developments

#### Zoltan Ligeti FPCP, 3–6 June 2003, Paris

- Introduction
- $|V_{cb}|$  exclusive, inclusive
- $|V_{ub}|$  exclusive, inclusive
- Conclusions

### Why care about $|V_{ub}|$ and $|V_{cb}|$ ?

 $|V_{ub}|$ : dominant uncertainty of the side opposite to  $\beta \equiv \phi_1$ 

 $|V_{cb}|$ : large part of the uncertainty in  $\epsilon_K$  constraint, and in  $K \to \pi \nu \bar{\nu}$  in the future



Look for New Physics: compare (i) angles with sides; (ii) tree and loop processes ... semileptonic decays crucial for this

 $b \to q\gamma$ ,  $b \to q \ell^+ \ell^-$ , and  $b \to q \nu \bar{\nu}$  (q = s, d) are sensitive probes of the SM theoretical tools same as for  $|V_{xb}|$  — accuracy of theory limits sensitivity to NP



#### Some "extreme" scenarios for $|V_{ub}|$



(Not realistic, by this time  $B_s$  mixing should be measured)

Recent incl. [excl.] measurements of  $|V_{ub}|$  high [low], overlap smaller than before Both fits less good than with average  $|V_{ub}|$ 

Central values: difference of  $\gamma$  above  $25^{\circ}$ ; require  $\Delta m_s$  near min / max

 $\Rightarrow$  Must aim at  $\sigma(|V_{ub}|) \sim 5\%$ 



### Hadronic uncertainties

- To believe that a small discrepancy is due to new physics, need model independent predictions
  - Define: [strong interaction] model independent  $\equiv$  theoretical uncertainty suppressed by small parameters
  - ... so theorists argue about (small parameters)  $\times \mathcal{O}(1)$  instead of  $\mathcal{O}(1)$  effects

Most of the recent progress comes from expanding in  $\Lambda/m_Q$  and  $\alpha_s(m_Q)$ ... a priori not known whether  $\Lambda \sim 200$ MeV or  $\sim 2$ GeV  $(f_{\pi}, m_{\rho}, m_K^2/m_s)$ ... need experimental guidance to see which cases work how well



$$|V_{cb}|$$
 — exclusive

 $|V_{cb}|$  from  $B o D^{(*)} \ell ar{
u}$ 

Heavy Quark Symmetry: brown muck only feels  $v \to v'$  (not  $m_b \to m_c$  or  $\vec{s_b} \to \vec{s_c}$ )  $\frac{\mathrm{d}\Gamma(B \to D^{(*)}\ell\bar{\nu})}{\mathrm{d}w} = (\dots) (w^2 - 1)^{3(1)/2} |V_{cb}|^2 \mathcal{F}^2_{(*)}(w)$   $\swarrow w \equiv v \cdot v' \qquad \text{Isgur-Wise function} + \dots \qquad B$  $\mathcal{F}(1) = \mathbf{1}_{\mathbf{Isgur}-\mathbf{Wise}} + 0.02_{\alpha_s,\alpha_s^2} + \frac{(\text{lattice or models})}{m_{c,b}} + \dots$  $\mathcal{F}_*(1) = 1_{\text{Isgur-Wise}} - 0.04_{\alpha_s, \alpha_s^2} + \frac{0_{\text{Luke}}}{m_{c,b}} + \frac{(\text{lattice or models})}{m_{c,b}^2} + \dots$ Experiments measure:  $|V_{cb}| \times \mathcal{F}_{*}(w)$  $0.05 \neq (c)$ Theory issues: (i)  $\mathcal{F}_*(1)$ , (ii) shape  $D^{*+}\ell \overline{v}$ Theory predicts:  $\mathcal{F}_*(1) = 0.91 \pm 0.04$ ר\*0*נ*⊽ 0.01  $[1 - \mathcal{F}_*(1)]$ : lattice, sum rules, models] (CLEO, PRD **67** 032001, 2003) 0Ł. 1.0 1.3 1.1 1.2 14 15 w



# $|V_{cb}|$ from $B ightarrow D^{(*)} \ell ar{ u}$ (cont.)



$$\begin{split} |V_{cb}| & \text{sensitive to shape of } \mathcal{F}_*(w): \text{ fits use analyt-} \\ & \text{icity constraint (slope vs. curvature at } w = 1) \\ & \text{(Boyd, Grinstein, Lebed; Caprini, Lellouch, Neubert)} \\ & \Rightarrow |V_{cb}| = (42.1 \pm 1.1_{exp} \pm 1.9_{th}) \times 10^{-3} \text{ (hep-ph/0304132)} \\ & \dots \text{ HQS relates } B \to D \text{ and } D^* \text{ shapes} \quad \text{(Grinstein, ZL)} \\ & \dots \text{ Sum rule relations to } B \to D^{**} \ell \bar{\nu} \end{split}$$

• New bounds on derivatives of Isgur-Wise function (Le Yaouanc, Oliver, Raynal, PLB 557 207, 2003)  $(-1)^{n} \xi^{(n)}(1) \ge \frac{2n+1}{4} \left[ (-1)^{n-1} \xi^{(n-1)}(1) \right] \quad \Rightarrow \quad (-1)^{n} \xi^{(n)}(1) \ge \frac{(2n+1)!!}{2^{2n}}$ 

• Questions: (i) how to best use constraints on shape? (ii) if  $0^+$ ,  $1^+ D$  states were  $\sim 2.22, 2.36$  GeV with  $\Gamma \sim 300$  MeV, could it affect  $|V_{cb}|$ ?



$$|V_{cb}|$$
 — inclusive

### Why inclusive decays?

- Sum over hadronic final states, subject to constraints determined by short distance physics
  - Decay: short distance (calculable)Hadronization: long distance (nonperturbative),but probability to hadronize somehow is unity



• Rates calculable in an OPE, expansion in  $\Lambda_{\rm QCD}/m_b$  and  $\alpha_s(m_b)$ :

$$\mathrm{d}\Gamma = \begin{pmatrix} b \text{ quark} \\ \mathrm{decay} \end{pmatrix} \times \left\{ 1 + \frac{0}{m_b} + \frac{f(\lambda_1, \lambda_2)}{m_b^2} + \ldots + \alpha_s(\ldots) + \alpha_s^2(\ldots) + \ldots \right\}$$

In "most" of phase space, details of *b* quark wavefunction unimportant, only averages matter:  $\lambda_1 \sim \langle k^2 \rangle$  not well-known,  $\lambda_2 \sim \langle \sigma_{\mu\nu} G^{\mu\nu} \rangle = (m_{B^*}^2 - m_B^2)/4$ , ...

Interesting quantities computed to order  $\alpha_s$ ,  $\alpha_s^2 \beta_0$ , and  $1/m^3$ 



• Total semileptonic rate precisely calculable:

 $|V_{cb}| \sim \left[42 \pm (\text{error mostly in } m_b \& \lambda_1)\right] \times 10^{-3} \left(\frac{\mathcal{B}(B \to X_c \ell \bar{\nu})}{0.105} \frac{1.6 \,\text{ps}}{\tau_B}\right)^{1/2}$ 

- Values of  $m_b$  and  $\lambda_1$ ?
- Four more nonperturbative parameters at  ${\cal O}(\Lambda_{
  m QCD}^3/m_b^3)$
- Theoretical uncertainties (perturbation theory, masses)
- In restricted regions, OPE can break down (especially relevant for  $|V_{ub}|$ )
- Implicit assumption: quark-hadron duality
- Address these and determine unknown param's and  $|V_{cb}|$  from shape variables:

"Moments:" 
$$\langle X \rangle = \langle X \rangle_{\text{parton}} + \frac{0}{m_b} F_{\Lambda} + \frac{\lambda_i}{m_b^2} F_{\lambda_i} + \frac{\rho_i}{m_b^3} F_{\rho_i} + \dots$$

 $\langle X \rangle_{
m parton}$  and each  $F_i$  has an expansion in  $\alpha_s$  and depends on  $m_c/m_b$ 



#### Many shape variables measured...



They allow: (i) precision extractions of  $m_b$  and HQET matrix elements (ii) testing validity of the whole approach

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### Global fit as of Fall '02



**Results:** (Bauer, ZL, Luke, Manohar, PRD 67 054012, 2003)  $|V_{cb}| = (40.8 \pm 0.9) \times 10^{-3}$   $m_b^{1S} = (4.74 \pm 0.10) \text{ GeV}$   $\overline{m}_b(\overline{m}_b) = (4.22 \pm 0.09) \text{ GeV}$  **Similar fits:** (Battaglia *et al.*, PLB 556 41, 2003)  $|V_{cb}| = (41.9 \pm 1.1) \times 10^{-3}$   $m_b(1 \text{ GeV}) = (4.59 \pm 0.08) \text{ GeV}$  $\Rightarrow m_b^{1S} \simeq 4.69 \text{ GeV}$ 

Theoretical uncertainties dominate  $\Rightarrow$  their correlations are essential when many observables determine hadronic parameters and  $|V_{cb}|$ 

Theoretical limitations: setting all experimental errors to zero, we would obtain

$$\sigma(|V_{cb}|) = 0.35 \times 10^{-3} \qquad \sigma(m_b^{1S}) = 35 \,\mathrm{MeV}$$



• Constructed to suppress (enhance) sensitivity to certain matrix elements (fractional moments of  $E_{\ell}$  spectrum)

$R_{3a}$	$R_{3b}$	$R_{4a}$	$R_{4b}$	$D_3$	$D_4$			
$0.302 \pm 0.003$	$2.261 \pm 0.013$	$2.127\pm0.013$	$0.684 \pm 0.002$	$0.520 \pm 0.002$	$0.604 \pm 0.002$			
above was our prediction, below is CLEO measurement								
$0.3016 \pm 0.0007$	$2.2621 \pm 0.0031$	$2.1285 \pm 0.0030$	$0.6833 \pm 0.0008$	$0.5193 \pm 0.0008$	$0.6036 \pm 0.0006$			

Data and theory beautifully consistent (for  $E_{\ell} \ge 1.5 \,\text{GeV}$ )

NB: excited D states make small contribution in this region



### Two possible caveats and the $D^*_{sJ}$



#### Difference seems significant

 Eliminate implicit model dependence in measurements "Gremm-Kapustin puzzle" ('97) If no  $X_c$  between  $D^*$  and  $D_1(2420)...$ 

 $\langle m_X^2 \rangle$  implies  $\leq 25\%$  excited charm in  $B \rightarrow X_c \ell \bar{\nu}$  decay, while:

 $\mathcal{B}(B \to X_c \ell \bar{\nu}) - \mathcal{B}(B \to D^{(*)} \ell \bar{\nu}) \sim 35\%$ 

 $\Rightarrow$  assumption / theory / data wrong?

May be a disappearing problem

- BELLE:  $0^+$   $D_0^*$  at 2290 MeV, well below predictions (ICHEP'02)

- BABAR's  $D^*_{sJ}(2317)$ : corresponding non-strange D should be < 2290

 $\Rightarrow$  Precise  $D_{u,d,s}$  spectroscopy crucial



Summary for  $|V_{cb}|$ 

- Current precision is already at the 4-5% level
- Limiting theory errors inclusive:  $m_b$  and matrix elements exclusive:  $\mathcal{F}_{(*)}(1)$  and shape
- "Duality" hard to quantify cross-checks are important
- Inclusive and exclusive determinations both important
- If all caveats resolved,  $\sigma(|V_{cb}|)$  may be reduced to 1-2% level

Possible improvements:

- better consistenty and precision of shape variables ( $B \rightarrow X_c \ell \bar{\nu}$  and  $X_s \gamma$ )
- full  $\alpha_s^2$  calculation of spectra (surprises unlikely)
- better understanding of  $B \rightarrow D^{(*)} \ell \bar{\nu}$  shapes; unquenched lattice form factors



$$|V_{ub}|$$
 — exclusive

- Less constraints from heavy quark symmetry than in  $b \rightarrow c$ 
  - $\Rightarrow B \rightarrow \ell \bar{\nu}$  measures  $f_B \times |V_{ub}|$  need to rely on lattice  $f_B$
  - $\Rightarrow$  Useful constraints from unitarity/analyticity
  - $\Rightarrow$  Ratios = 1 in heavy quark or chiral symmetry limit (+ study corrections)



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Deviations of "Grinstein-type double ratios" from unity are more suppressed:

 $\frac{f_B}{f_{B_s}} \times \frac{f_{D_s}}{f_D} \quad - \text{lattice: double ratio} = 1 \text{ within few \%}$  (Grinstein, '93)

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$$\frac{B \to \rho \ell \bar{\nu}}{B \to K^* \ell^+ \ell^-} \times \frac{D \to K^* \ell \bar{\nu}}{D \to \rho \ell \bar{\nu}} \quad \text{-accessible soon?} \tag{ZL & Wise, '96}$$



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$$\frac{B \to \ell \bar{\nu}}{B_s \to \ell^+ \ell^-} \times \frac{D_s \to \ell \bar{\nu}}{D \to \ell \bar{\nu}} \quad - \text{very clean... in a decade}$$
(Ringberg workshop, lots of beer, '03)



#### **Soft-collinear effective theory**

(Talks by Fleming & Pirjol)

• A new EFT to describe the interactions of energetic but low invariant mass particles with soft quanta ["the" connection between heavy quarks and jet physics?] ... Operator formulation instead of studying regions of Feynman diagrams ... Simplified and new proofs ( $B \rightarrow D\pi$ ) of factorization theorems (Bauer, Pirjol, Stewart)

• E.g.,  $B \rightarrow \pi \ell \bar{\nu}$  form factor: Issues: tails of wave fn's, Sudakov suppression, etc.



Hope to understand accuracy of form factor relations in low  $q^2$  region (Charles et al.)



#### $B ightarrow \pi \ell ar{ u}$ from lattice QCD

(Talks by Becirevic & Davies)



Present calculations are quenched Need unquenched to be model independent Few – 10% errors seem to be achievable Calculations in larger/full  $q^2$  range may become possible (presently low  $p_{\pi}$ )  $B \rightarrow \rho$  harder due to sizable  $\Gamma_{\rho}/m_{\rho}$ 



$$|V_{ub}|$$
 — inclusive

#### The problem for $B o X_u \ell ar{ u}$

• Total rate known at ~ 5% level, similar to  $\Gamma(B \to X_c \ell \bar{\nu})$  (Hoang, ZL, Manohar)  $|V_{ub}| \sim \left[3.04 \pm 0.08_{m_b} \pm 0.08_{pert}\right] \times 10^{-3} \left(\frac{\mathcal{B}(B \to X_u \ell \bar{\nu})}{0.001} \frac{1.6 \text{ ps}}{\tau_{P}}\right)^{1/2}$ 

Can huge charm background ( $|V_{cb}/V_{ub}| \sim 10$ ) be removed w/o phase space cuts?

 If cuts needed, life gets more complicated: perturbative and nonperturbative corrections can get a lot larger

E.g.: purely nonperturbative effects shift endpoint from  $m_b/2$  to  $m_B/2$ 



#### Back to the OPE: when should it converge?

• Can think of the OPE as expansion of forward scattering amplitude in  $k \sim \Lambda_{\rm QCD}$ 



Time ordered product short distance dominated if expansion in k converges:

$$\frac{1}{(m_b v - q + k)^2} = \frac{1}{(m_b v - q)^2 + 2k \cdot (m_b v - q) + k^2}$$
  
Need to allow:  
$$m_X^2 \gg E_X \Lambda_{\rm QCD} \gg \Lambda_{\rm QCD}^2$$

OPE breaks down:  $m_X$  restricted to few  $\times \Lambda_{QCD}$  (trivial — resonances)  $m_X^2 \sim E_X \Lambda_{QCD}$  but  $E_X \gg \Lambda_{QCD}$  (nontrivial — many states)

#### $\Rightarrow$ Design cuts to avoid these regions



#### Inclusive $B o X_u \ell ar{ u}$ phase space

Possible cuts to eliminate  $B \to X_c \ell \bar{\nu}$  background:

- Lepton spectrum:  $E_{\ell} > (m_B^2 m_D^2)/2m_B$
- Hadronic mass spectrum:  $m_X < m_D$
- Dilepton mass spectrum:  $q^2 > (m_B m_D)^2$
- Combinations of cuts





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#### $B o X_u \ell ar{ u}$ spectra

• Troubles come from the coincidence:  $m_c^2 \approx m_b \times 400 \text{ MeV}$  $E_\ell > (m_B^2 - m_D^2)/2m_B \text{ or } m_X < m_D \text{ include } E_X \sim m_b/2 \implies m_X^2 \gg E_X \Lambda_{\text{QCD}}$ 



#### Large $E_\ell$ and small $m_X$ regions

Bad: infinite set of terms in OPE equally important (shape function)Good: Fermi motion effects universal at leading order in  $\Lambda_{QCD}/m_b$ <br/>related to  $B \to X_s \gamma$  photon spectrum(Neubert; Bigi, Shifman, Uraltsev, Vainshtein)•  $E_{\ell} > \frac{m_B^2 - m_D^2}{2m_B}$ : NLO Sudakov logs resummed<br/>Operators other than  $O_7$  in  $B \to X_s \gamma$ <br/>Terms unrelated to  $B \to X_s \gamma$  sizable(Leibovich, ZL, Wise; Bauer, Luke, Mannel)

•  $m_X < m_D$ : lot more rate, but nonperturbative input formally still O(1)corrections smaller and inclusive description should be valid, but model dependence increases rapidly as  $m_X^{\text{cut}}$  lowered (Barger *et al.*; Falk, ZL, Wise; Bigi, Dikeman, Uraltsev)

NB:  $\overline{\Lambda} \& \lambda_1$  (HQET)  $\neq \overline{\Lambda} \& \lambda_1$  (shape function models), e.g., De Fazio & Neubert best would be to use  $B \to X_s \gamma$  spectrum directly



#### Lepton endpoint vs. $B o X_s \gamma$















![](_page_31_Picture_3.jpeg)

![](_page_32_Figure_0.jpeg)

![](_page_32_Picture_3.jpeg)

#### Sizable subleading twist effects

$$\frac{\mathrm{d}\Gamma}{\mathrm{d}y} = \frac{G_F^2 m_b^5 |V_{ub}|^2}{192 \,\pi^3} \left\{ y^2 (3-2y) \, 2\theta(1-y) - \frac{\lambda_2}{m_b^2} \left[ 11 \,\delta(1-y) - 2y^2 (6+5y)\theta(1-y) \right] - \frac{\lambda_1}{m_b^2} \left[ \frac{1}{3} \,\delta'(1-y) + \frac{1}{3} \,\delta(1-y) - \frac{10}{3} \, y^3 \theta(1-y) \right] + \dots \right\}$$

Coefficient corresponding to **11** is **3** in  $B \rightarrow X_s \gamma$ 

(Leibovich, ZL, Wise, PLB 539 242, 2002)

![](_page_33_Figure_4.jpeg)

![](_page_33_Picture_7.jpeg)

#### Weak annihilation (sub-subleading)

- Bad news:  $\mathcal{O}(\Lambda_{\text{QCD}}^3/m_b^3)$  in rate, enhanced by  $16\pi^2$  ... concentrated at large  $E_\ell$ ,  $q^2$ , and small  $m_X^2$ 
  - $\Rightarrow$  enters all  $|V_{ub}|$  extractions

Cancellation between:  $\langle B | (\bar{b}\gamma^{\mu}P_L u) (\bar{u}\gamma_{\mu}P_L b) | B \rangle$  $\langle B | (\bar{b}P_L u) (\bar{u}P_L b) | B \rangle$ 

![](_page_34_Figure_4.jpeg)

(Bigi & Uraltsev; Voloshin; Leibovich, ZL, Wise)

Estimated, with large uncertainty, as:

$$\mathcal{O}\left[16\pi^2 \times \left(\frac{\Lambda_{\rm QCD}}{m_b}\right)^3 \times \left(\begin{array}{c} \text{factorization} \\ \text{violation} \end{array}\right)\right] \sim 0.03 \left(\frac{f_B}{200 \,\text{MeV}}\right)^2 \frac{B_2 - B_1}{0.1}$$

If  $\sim 3\%$  uncertainty in total rate, then  $\sim 15\%$  in  $|V_{ub}|$  from lepton endpoint,  $\leq 10\%$  in  $|V_{ub}|$  from large  $q^2$  region, less for  $m_X < m_D$  (more rate included)

• Constrain WA: compare  $D^0$  vs.  $D_s$  SL widths, or  $V_{ub}$  from  $B^{\pm}$  vs.  $B^0$  decay

![](_page_34_Picture_12.jpeg)

Good: first few terms in OPE can be trusted full  $\mathcal{O}(\alpha_s^2)$  result known

Bad: expansion is more like in  $\Lambda_{
m QCD}/m_c$  and  $lpha_s(m_c)$  than at scale  $m_b$  (Neubert '00)

• Combined  $q^2 \& m_X$  cuts: more rate, scale goes up  $m_c \Rightarrow \frac{m_b^2 - q_{cut}^2}{m_b \Lambda_{OCD}}$ 

Cuts on  $(q^2, m_X)$ included fraction<br/>of  $b \rightarrow u \ell \bar{\nu}$  rateerror of  $|V_{ub}|$ <br/> $\delta m_b = 80/30 \,\text{MeV}$  $6 \,\text{GeV}^2, m_D$ 46%8%/5% $8 \,\text{GeV}^2, 1.7 \,\text{GeV}$ 33%9%/6% $(m_B - m_D)^2, m_D$ 17%15%/12%

Strategy: (i) reconstruct  $p_{\nu} \Rightarrow q^2, m_X$ ; make cut on  $m_X$  as large as possible (ii) for a given  $m_X$  cut, reduce  $q^2$  cut to minimize overall uncertainty

Can get 30 - 40% of events, even with cuts away from  $b \rightarrow c$  region

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![](_page_35_Picture_9.jpeg)

(Bauer, ZL, Luke '00)

(Bauer, ZL, Luke '01)

# Summary for $|V_{ub}|$

- Total  $B \to X_u \ell \bar{\nu}$  rate known precisely; phase space cuts seem unavoidable
- $E_{\ell} > (m_B^2 m_D^2)/2m_B$ : simplest experimentally ... even using  $B \to X_s \gamma$  spectrum, corrections are  $\mathcal{O}(\Lambda_{\rm QCD}/m_b)$ ... only ~ 10% of phase space — inclusive enough?
- $m_X^2 < m_D^2$ : lots of rate but still sensitive to shape function ... uncertainties increase rapidly if cut is significantly below  $m_D$
- $q^2 > (m_B m_D)^2$ : no (leading) shape function, expansion formally in  $\Lambda_{\rm QCD}/m_c$
- combined  $q^2$  and  $m_X$  cuts: less rate than pure  $m_X$  cut, good theoretical control
- $\Rightarrow$  Tricky business, need to measure  $|V_{ub}|$  in as many clean ways as possible, confidence will be gained by convergence of extractions

Wishlist for  $|V_{ub}|$ 

Experiment:

- get the cuts as close to the charm threshold as possible
- improve measurement of  $B \to X_s \gamma$  photon spectrum (lower cut) and try to use it directly instead of through parameterizations
- constrain WA by comparing  $|V_{ub}|$  from  $B^{\pm}$  vs.  $B^0$ , or  $D^0$  vs.  $D_s$  SL widths

#### Theory:

• full  $\alpha_s^2$  corrections (beyond  $\alpha_s^2\beta_0$ ) known only for total rate and  $q^2$  spectrum, not for other distributions

Both:

• precise determination of  $m_b$  — rate  $\propto m_b^5$ , even stronger sensitivity with cuts

![](_page_37_Picture_9.jpeg)

Conclusions

## Conclusions

- $|V_{cb}|$  is known at the  $\sim 5\%$  level, error may soon become half of this inclusive: consistency of moments; exclusive:  $\mathcal{F}_*(1)$  from unquenched lattice
- Model independent  $\sim 10\% |V_{ub}|$  seems posible, ultimately similar to present  $|V_{cb}|$  inclusive: neutrino reconstruction crucial; exclusive: needs unquenched lattice
- For both  $|V_{cb}|$  and  $|V_{ub}|$ , important to pursue both inclusive and exclusive
- Progress in understanding exclusive heavy  $\rightarrow$  light form factors for  $q^2 \ll m_B^2$  $B \rightarrow \pi/\rho \, \ell \bar{\nu}, \ K^* \gamma, \ K^{(*)} \ell^+ \ell^-$  below the  $\psi \Rightarrow$  increase sensitivity to new physics ... related to issues in factorization in charmless decays
- Theoretical limit for inclusive  $|V_{cb}|$  and  $|V_{ub}|$  appear to be about  $\sim 1\%$  and  $\sim 5\%$

![](_page_39_Picture_6.jpeg)

![](_page_40_Picture_0.jpeg)

![](_page_40_Picture_1.jpeg)

#### "Moments" — theoretical uncertainties

Define theoretical uncertainties, so it is not judged case-by-case and a posteriori Avoid large weight to an accurate measurement that cannot be computed reliably

• Unknown  $1/m_b^3$  matrix elements —  $\mathcal{O}(\Lambda_{\text{QCD}}^3)$  but no preferred value  $\Rightarrow$  add in fit:

$$\Delta \chi^{2}(m_{\chi}, M_{\chi}) = \begin{cases} 0, & |\langle \mathcal{O} \rangle| \le m_{\chi}^{3} \\ [|\langle \mathcal{O} \rangle| - m_{\chi}^{3}]^{2} / M_{\chi}^{6}, & |\langle \mathcal{O} \rangle| > m_{\chi}^{3} \end{cases}$$

Take  $M_{\chi} = 0.5 \, {\rm GeV}$ , and vary  $0.5 \, {\rm GeV} < m_{\chi} < 1 \, {\rm GeV}$  \_

- Uncomputed higher order terms estimate using naive dimensional analysis:
  - $(\alpha_s/4\pi)^2\sim 0.0003$
  - $(\alpha_s/4\pi)(\Lambda_{\rm QCD}^2/m_b^2) \sim 0.0002$
  - $\Lambda_{\rm QCD}^4/(m_b^2 m_c^2)\sim 0.001$

Use relative error:  $\sqrt{(0.001)^2 + (\text{last-computed/2})^2}$ 

0.8

0.4

0.2

• Do fits both excluding (top) and including (bottom) BABAR data

$m_{\chi} \; [{\rm GeV}]$	$\chi^2$	$ V_{cb}  \times 10^3$	$m_b^{1S}\left[{ m GeV} ight]$
0.5	5.0	$40.8\pm0.9$	$4.74 \pm 0.10$
1.0	3.5	$41.1 \pm 0.9$	$4.74 \pm 0.11$
0.5	12.9	$40.8 \pm 0.7$	$4.74 \pm 0.10$
1.0	8.5	$40.9 \pm 0.8$	$4.76 \pm 0.11$

Sensitivity to  $m_{\chi}$  is small ( $1/m^3$  errors significant, but so are their correlations) BABAR data increases  $\chi^2$ /d.o.f. significantly — more later

Theoretical uncertainties important — neglecting them gives  $\chi^2 = 81$  for 9 d.o.f. Including only  $1/m^3$  terms gives  $\chi^2 = 21$  for 5 d.o.f.; much better (but still bad) fit

![](_page_42_Picture_7.jpeg)

#### **Results in different mass schemes**

tree level,  $\mathcal{O}(\alpha_s)$ ,  $\mathcal{O}(\alpha_s^2\beta_0)$ 

better convergence in 1S and PS schemes than in pole or  $\overline{\rm MS}$ 

![](_page_43_Figure_3.jpeg)