



天 地

# CP Violation for the Heaven and the Earth — Sighting the 4th Generation ?

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Can *all this* be understood from my vantage?





# Outline



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- II.  $\Delta A_{K\pi}$  Problem — Z Penguin and  $t'$  Loop 14  
 $b \rightarrow s // b \leftrightarrow s$  CPV
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WSH, Nagashima, Soddu,  
PRL'05; PRD'05; PRD'07; PRD'08  
Belle, Nature, 452, 20 (2008)  
WSH, arXiv:0803.1234 [hep/ph]



# I. Intro: the Heavenly Attraction

In the beginning God created **the heaven**  
and **the earth**.

**Matter!**

**Matter** (?)

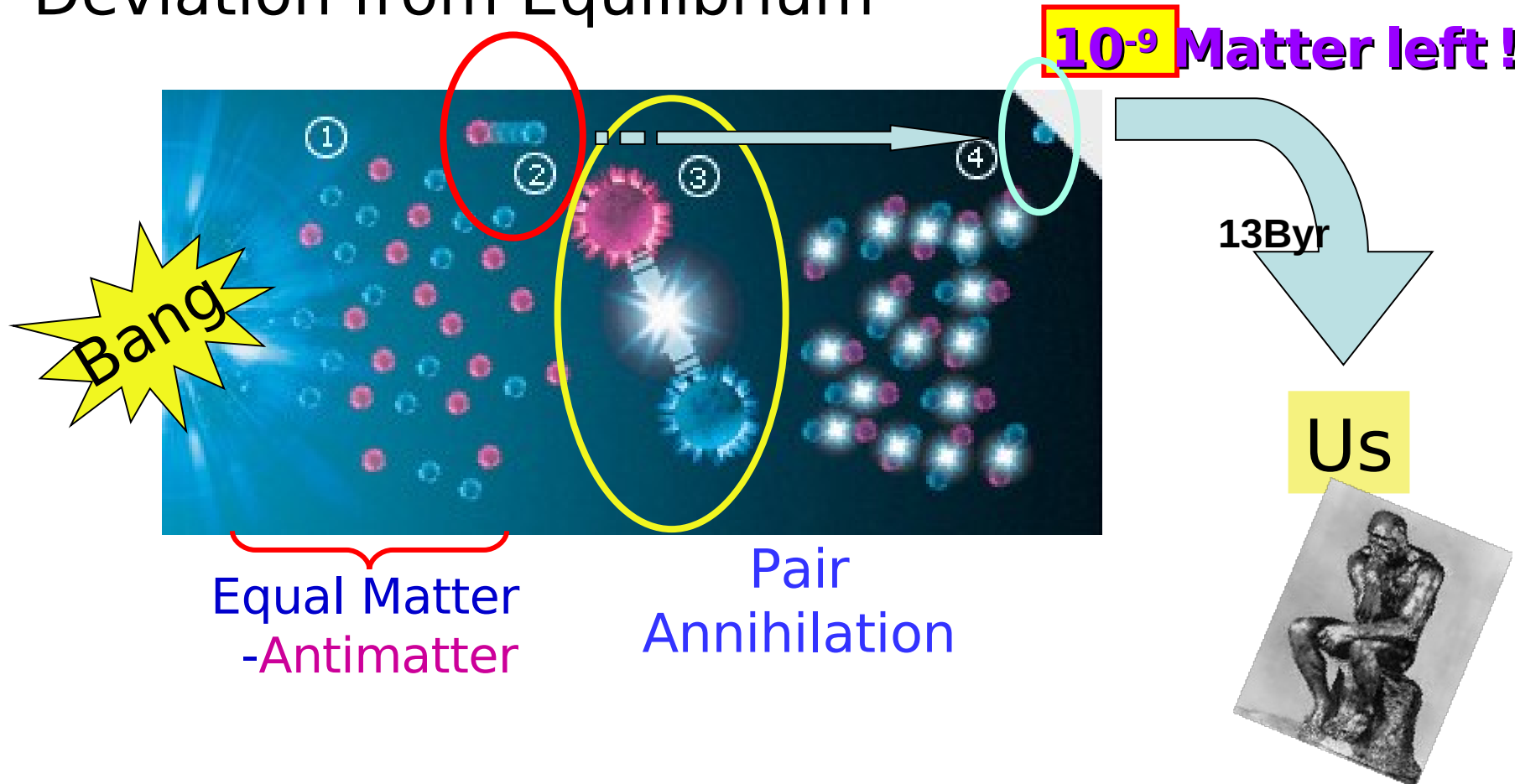
— **Genesis 1:1 (KJV)**

**Antimatter: 0%**

(1967)

# CPV & BAU (& U): The Sakharov View

- **Baryon Number Violation**
- *CP Violation*
- Deviation from Equilibrium



Sakharov Stimulated by ...

# Discovery of CP Violation

- Phys. Rev. Lett. 13, 138 (1964)



27 JULY 1964

VOLUME 13, NUMBER 4

PHYSICAL REVIEW LETTERS

1980 Nobel

## EVIDENCE FOR THE $2\pi$ DECAY OF THE $K_2^0$ MESON\*†

J. H. Christenson, J. W. Cronin,‡ V. L. Fitch,‡ and R. Turlay§

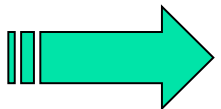
Princeton University, Princeton, New Jersey

(Received 10 July 1964)

This Letter reports the results of experimental studies designed to search for the  $2\pi$  decay of the  $K_2^0$  meson. Several previous experiments have served<sup>1,2</sup> to set an upper limit of 1/300 for the fraction of  $K_2^0$ 's which decay into two charged pions. The present experiment, using spark chamber techniques, proposed to extend this limit.

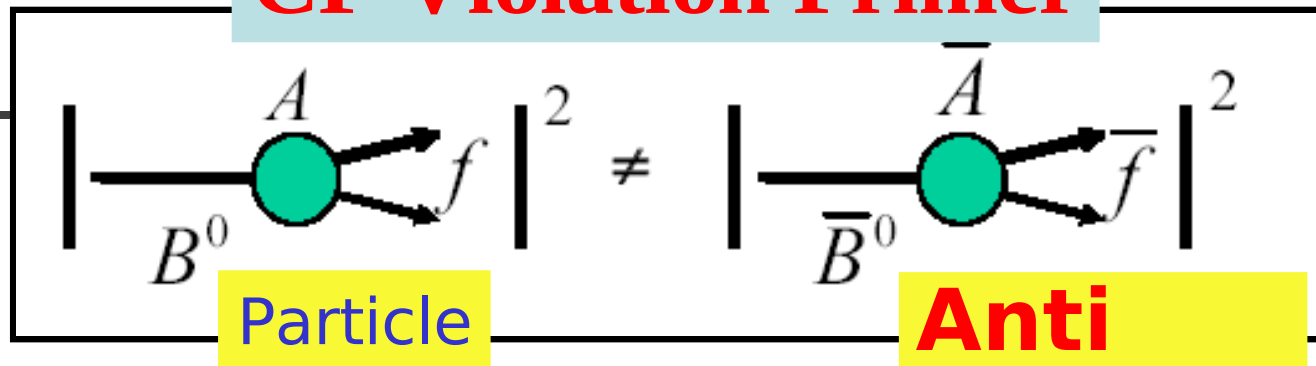
In this measurement,  $K_2^0$  mesons were produced at the Brookhaven AGS in an internal Be target bombarded by 30-BeV protons. A neutral beam was defined at 30 degrees relative to the circulating protons by a  $1\frac{1}{2}$ -in.  $\times$   $1\frac{1}{2}$ -in.  $\times$  48-in. collimator at an average distance of 14.5 ft. from

The analysis program computed the vector momentum of each charged particle observed in the decay and the invariant mass,  $m^*$ , assuming each charged particle had the mass of the charged pion. In this detector the  $K_{e3}$  decay leads to a distribution in  $m^*$  ranging from 280 MeV to ~536 MeV; the  $K_{\mu 3}$ , from 280 to ~516; and the  $K_{\pi 3}$ , from 280 to 363 MeV. We emphasize that  $m^*$  equal to the  $K^0$  mass is not a preferred result when the three-body decays are analyzed in this way. In addition, the vector sum of the two momenta and the angle,  $\theta$ , between it and the direction of the  $K_2^0$  beam were determined. This



**$2 \times 10^{-3}$**  : *Too Small .... for Sakharov!*

# CP Violation Primer

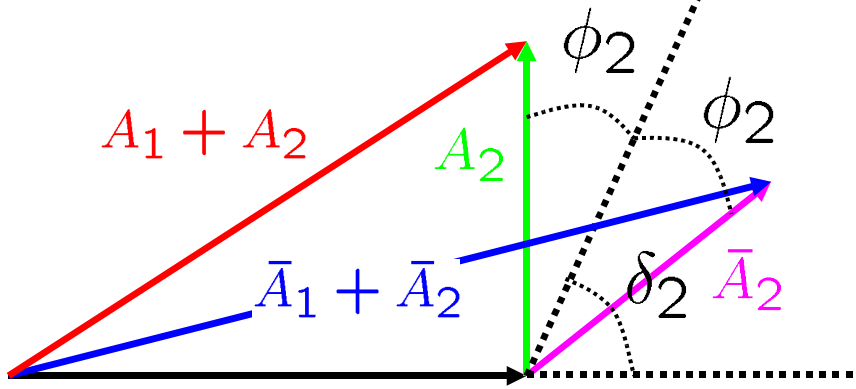


Particle

Anti

Particle

CPV



$$A = A_1 + A_2 = a_1 + a_2 e^{i\delta_2} e^{i\phi_2}$$

$$\bar{A} = \bar{A}_1 + \bar{A}_2 = a_1 + a_2 e^{i\delta_2} e^{-i\phi_2}$$

QM

$$A_1 = \bar{A}_1$$

$$A^{CP} = \frac{\Gamma(\bar{B}^0 \rightarrow \bar{f}) - \Gamma(B^0 \rightarrow f)}{\Gamma(\bar{B}^0 \rightarrow \bar{f}) + \Gamma(B^0 \rightarrow f)} = \frac{2a_1a_2 \sin \phi_2 \sin \delta_2}{a_1^2 + a_2^2 + 2a_1a_2 + 2a_1a_2 \cos \phi_2 \cos \delta_2}$$

CP Asymmetry needs both CP Conserv/Violating Phase





# Kobayashi-Maskawa Model (1973)



Progress of Theoretical Physics, Vol. 49, No. 2, February 1973

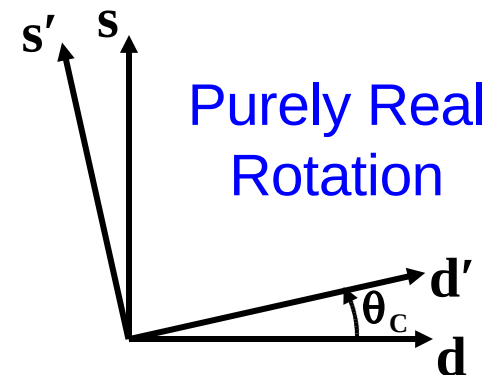
## ***CP*-Violation in the Renormalizable Theory of Weak Interaction**

Makoto KOBAYASHI and Toshihide MASKAWA

*Department of Physics, Kyoto University, Kyoto*

(Received September 1, 1972)

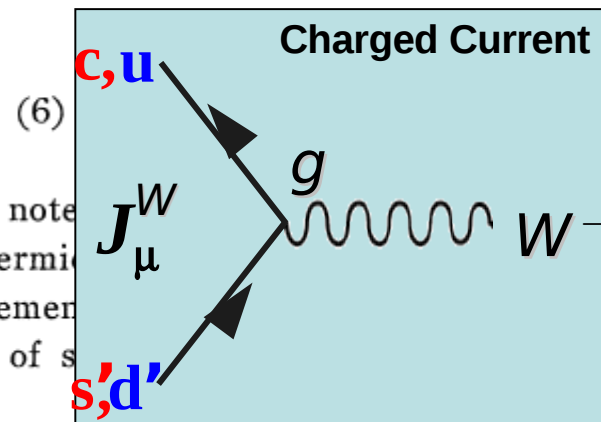
In a framework of the renormalizable theory of weak interaction, problems of *CP*-violation are studied. It is concluded that no realistic models of *CP*-violation exist in the quartet scheme without introducing any other new fields. Some possible models of *CP*-violation are also discussed.



field corresponding to  $U(1)$  which is irrelevant to our discussion. With an appropriate phase convention of the quartet field we can take  $U$  as

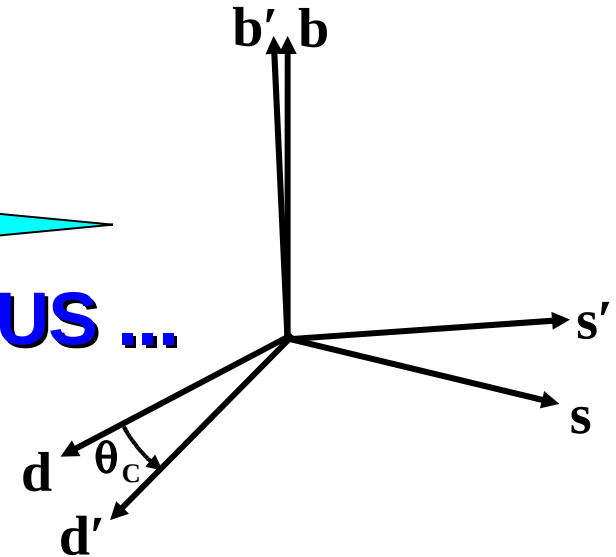
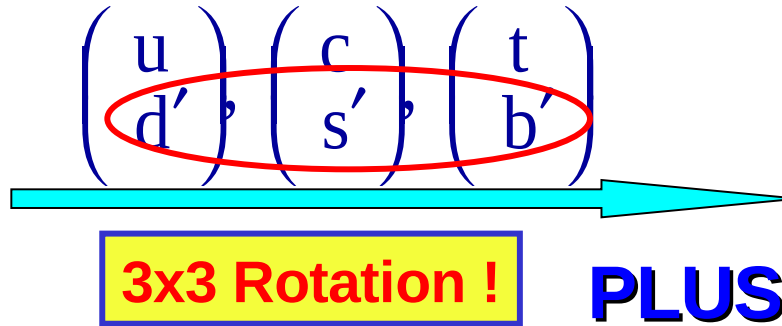
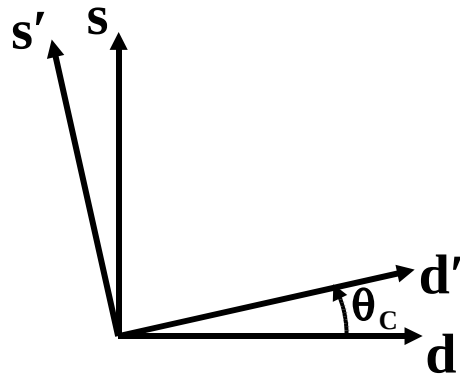
$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}.$$

Therefore, if  $\mathcal{L}' = 0$ , no *CP*-violations occur in this case. It should be noted however, that this argument does not hold when we introduce one more fermion doublet with the same charge assignment. This is because all phases of elements of a  $3 \times 3$  unitary matrix cannot be absorbed into the phase convention of the fields. This possibility of *CP*-violation will be discussed later on.

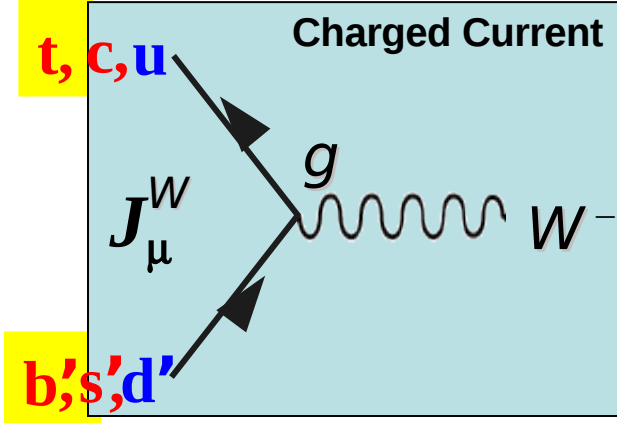




# KM Model: $2 \times 2 \rightarrow 3 \times 3$



## 3 "Generations"



ponents, respectively. Just as the case of (A,C), we have a similar expression for the charged weak current with a  $3 \times 3$  instead of  $2 \times 2$  unitary matrix in Eq. (5). As was pointed out, in this case we cannot absorb all phases of matrix elements into the phase convention and can take, for example, the following expression:

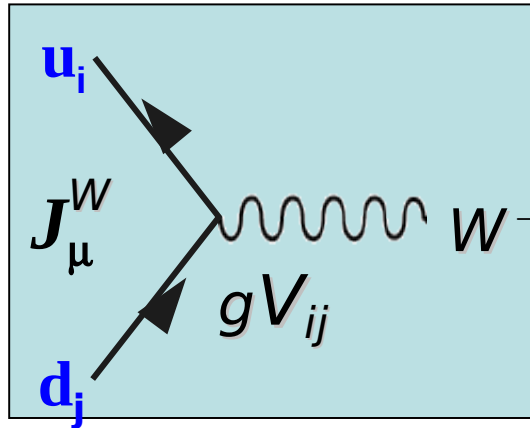
$$\begin{pmatrix} \cos \theta_1 & -\sin \theta_1 \cos \theta_3 & -\sin \theta_1 \sin \theta_3 \\ \sin \theta_1 \cos \theta_2 & \cos \theta_1 \cos \theta_2 \cos \theta_3 - \sin \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \cos \theta_2 \sin \theta_3 + \sin \theta_2 \cos \theta_3 e^{i\delta} \\ \sin \theta_1 \sin \theta_2 & \cos \theta_1 \sin \theta_2 \cos \theta_3 + \cos \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \sin \theta_2 \sin \theta_3 - \cos \theta_2 \sin \theta_3 e^{i\delta} \end{pmatrix} \quad (13)$$

Then, we have CP-violating effects through the interference among these different current components. An interesting feature of this model is that the CP-violating effects of lowest order appear only in  $\Delta S \neq 0$  non-leptonic processes and in the semi-leptonic decay of neutral strange mesons (we are not concerned with higher states with the new quantum number) and not in the other semi-leptonic,  $\Delta S = 0$  non-leptonic and pure-leptonic processes.



# Complex Dynamics: KM Sector of SM

only charged current interactions change flavor



Wolfenstein parametrization

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \simeq \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

3x3 “Rotation”  
Unitary

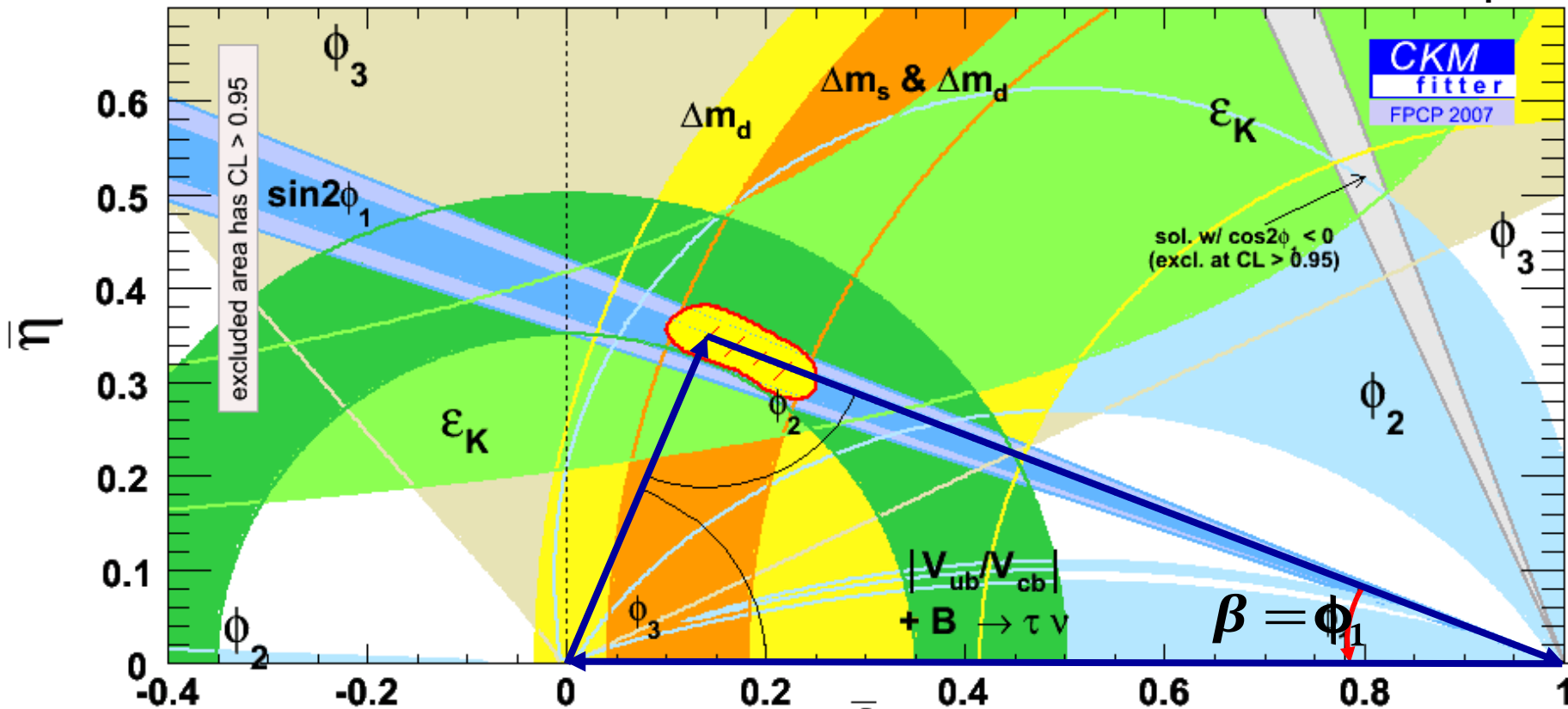
Need presence of all 3 generations  
to exhibit CPV in Standard Model



# KM CPV Confirmed ~ 2001



the MOMA plot



“Nontrivial”

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$



# The Nobel Prize in Physics 2008



"for the discovery of the mechanism of spontaneous broken symmetry in subatomic physics"



Photo: University of Chicago

**Yoichiro Nambu**

🏆 1/2 of the prize

USA

Enrico Fermi Institute,  
University of Chicago  
Chicago, IL, USA

b. 1921  
(in Tokyo, Japan)

"for the **discover**y of the **origin** of the broken symmetry which **predicts** the existence of **at least three families of quarks in nature**"



Photo: KEK

**Makoto Kobayashi**

🏆 1/4 of the prize

Japan

High Energy Accelerator  
Research Organization  
(KEK)  
Tsukuba, Japan

b. 1944



Photo: Kyoto University

**Toshihide Maskawa**

🏆 1/4 of the prize

Japan

Kyoto Sangyo University;  
Yukawa Institute for  
Theoretical Physics (YITP),  
Kyoto University  
Kyoto, Japan

b. 1940

## CP Violation in SM



**nature**news  
7 October 2008



The Belle detector in Japan helped to confirm the symmetry breaking effects predicted by theoretical physicists.

KEK

## B Factories (BaBar & Belle)





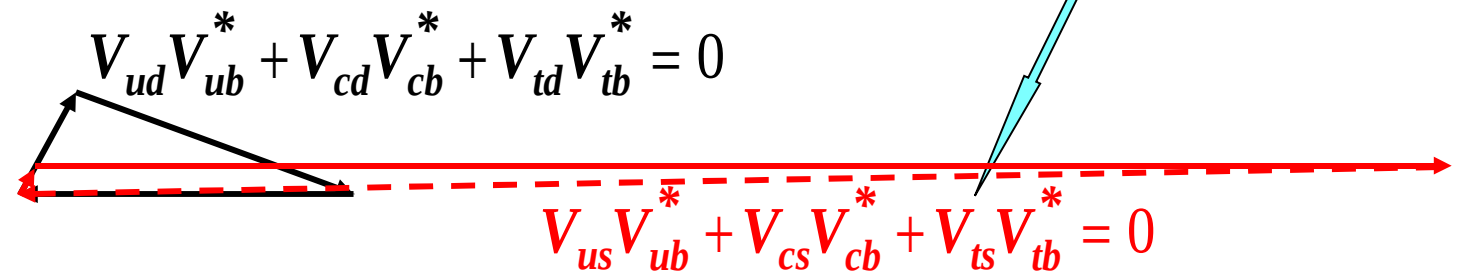
# Wolfenstein Parametrization to $O(\lambda^5)$



$V \approx$

$$\begin{pmatrix} 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda + A^2\lambda^5(\frac{1}{2} - \rho - i\eta) & 1 - \frac{1}{2}\lambda^2 - (\frac{1}{8} + \frac{1}{2}A^2)\lambda^4 & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 + A\lambda^4(\frac{1}{2} - \rho - i\eta) & 1 - \frac{1}{2}A^2\lambda^4 \end{pmatrix}$$

Unique CPV Phase: Common Area of Triangle



N.B. geometric picture



## CPV so far only observed in KM ...

- Nontrivial CPV Phase:  $A$  (area)

$$\text{Nontrivial } V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

- All like-charge quark pairs nondegenerate,  
Otherwise  $\rightarrow$  Back to 2-gen. and CPV vanish

$$J = (m_t^2 - m_u^2)(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_b^2 - m_d^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2) A$$

Jarlskog Invariant (1985) for CPV

$$\text{Im det} [m_u m_u^\dagger, m_d m_d^\dagger]$$

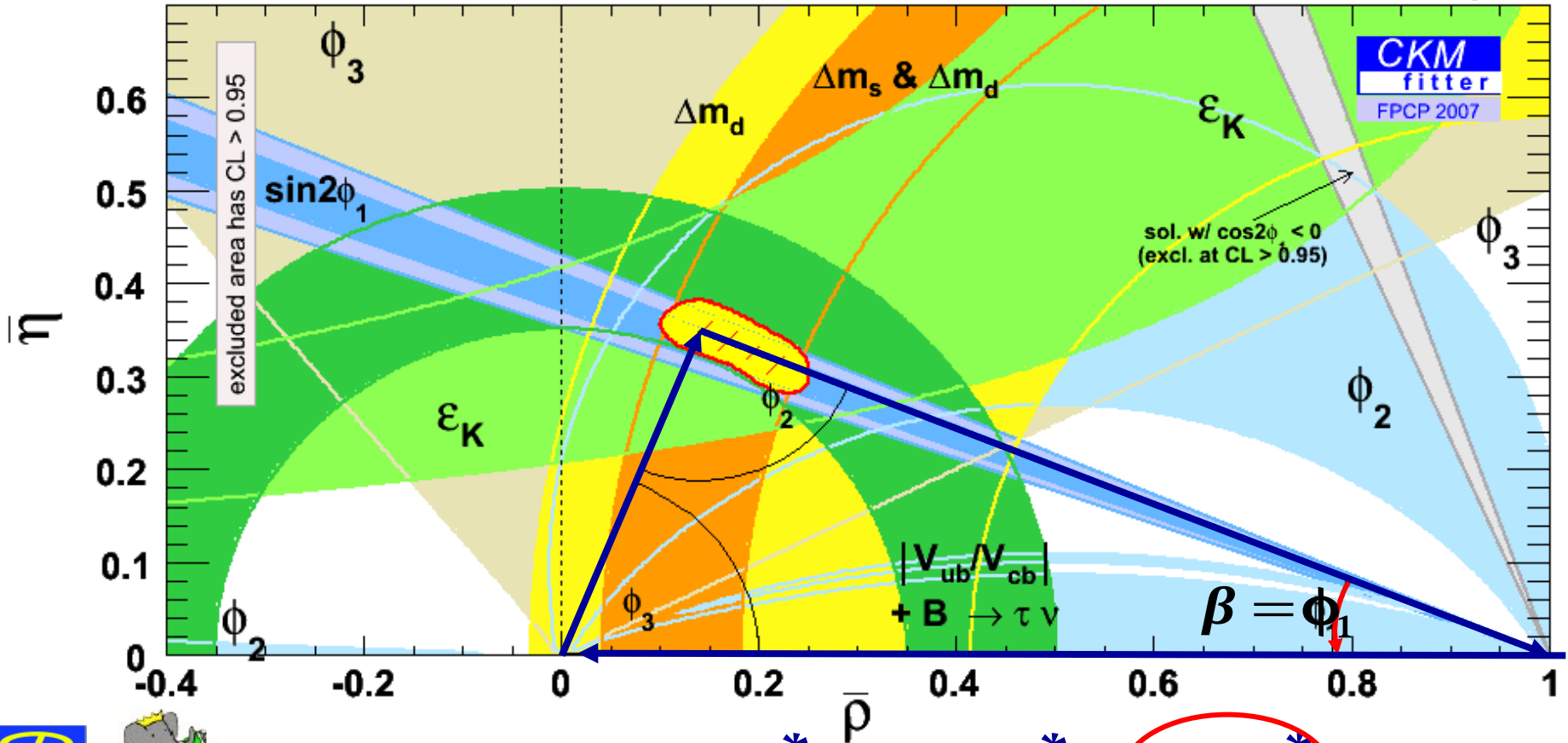


$b \rightarrow d$  transitions consistent with SM



$b \rightarrow s$ : the Current Frontier

the MOMA plot



“Nontrivial”

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$





A Real Hin, ... or Not !?



# Belle 2008 Nature: Simple Bean Count

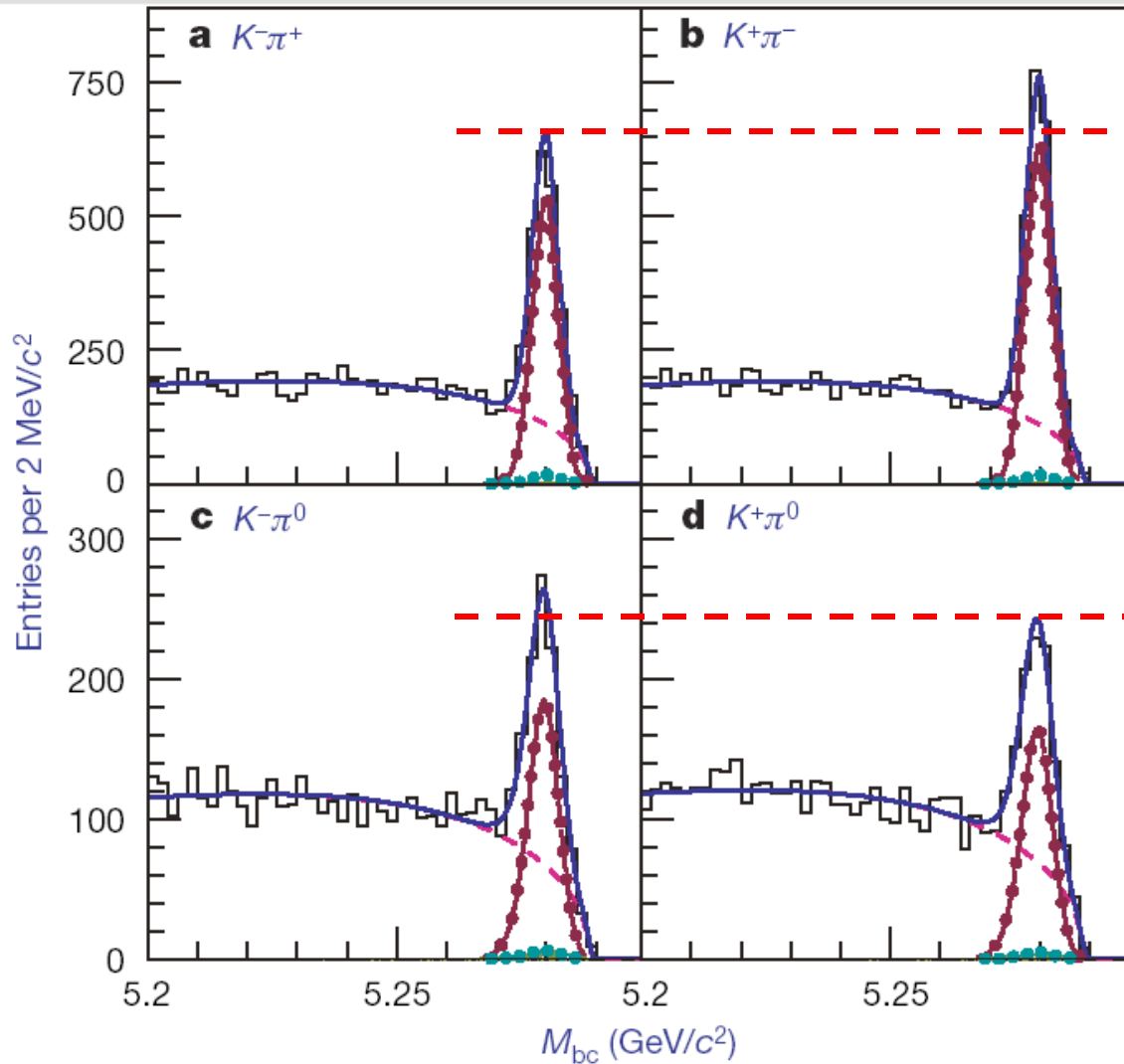


$$\Delta A_{K\pi} = A_{K^+\pi^0} - A_{K^+\pi^-} = +0.164 \pm 0.037 \quad 4.4\sigma$$

$$+0.07 \pm 0.03 \quad \text{vs} \quad -0.094 \pm 0.020$$

NATURE | Vol 452 | 20 March 2008

LETTERS



$b \rightarrow s$  CPV

**Difference  
Is  
Large !**

**And Established**

Belle + BaBar (+ CDF)

## LETTERS



# Difference in direct charge-parity violation between charged and neutral $B$ meson decays

The Belle Collaboration: S.-Y. Aihara<sup>4</sup>, K. Akai<sup>3</sup>, K. Arinstein<sup>1</sup>, Balagura<sup>7</sup>, E. Barberio<sup>10</sup>, A. Ba

Equal amounts of matter and antimatter have been produced in the Big Bang, but our observable Universe is clearly matter-dominated. One of the prerequisites for understanding this elimination of antimatter is the nonconservation of charge-parity (CP) symmetry. So far, two types of CP violation have been observed in the neutral  $K$  meson ( $K^0$ ) and  $B$  meson ( $B^0$ ) systems: CP violation involving the mixing<sup>2</sup> between  $K^0$  and its antiparticle  $\bar{K}^0$  (and likewise<sup>3,4</sup> for  $B^0$  and  $\bar{B}^0$ ), and direct CP violation in the decay of each meson<sup>5-8</sup>. The observed effects for both types of CP violation are substantially larger for the  $B^0$  meson system. However, they are still consistent with the standard model of particle physics, which has a unique source<sup>9</sup> of CP violation that is known to be too small<sup>10</sup> to account for the matter-dominated Universe. Here we report that the direct CP violation in charged  $B^\pm \rightarrow K^\pm \pi^0$  decay is different from that in the neutral  $B^0$  counterpart. The direct CP-violating decay rate asymmetry,  $\mathcal{A}_{K^\pm \pi^0}$  (that is, the difference between the number of observed  $B^- \rightarrow K^- \pi^0$  event versus  $B^+ \rightarrow K^+ \pi^0$  events, normalized to the sum of these events) is measured to be about +7%, with a uncertainty that is reduced by a factor of 1.7 from a previous measurement<sup>7</sup>. However, the asymmetry  $\mathcal{A}_{K^\pm \pi^\mp}$  for  $B^0 \rightarrow K^\pm \pi^\mp$  versus  $B^0 \rightarrow K^\mp \pi^\pm$  is at the -10% level<sup>7,8</sup>. Although it is still possible to have strong interaction effects that need further clarification, a large deviation in direct CP violation between charged and neutral  $B$  meson decays could be an indication of new sources of CP violation—which would help to explain the dominance of matter in the Universe.

Equal amounts of matter and antimatter are predicted to have been produced in the Big Bang, but our observable Universe is clearly matter-dominated. One of the prerequisites<sup>1</sup> for understanding this elimination of antimatter is the nonconservation of charge-parity (CP) symmetry. So far, two types of CP violation have been observed in the neutral  $K$  meson ( $K^0$ ) and  $B$  meson ( $B^0$ ) systems: CP violation involving the mixing<sup>2</sup> between  $K^0$  and its antiparticle  $\bar{K}^0$  (and likewise<sup>3,4</sup> for  $B^0$  and  $\bar{B}^0$ ), and direct CP violation in the decay of each meson<sup>5-8</sup>. The observed effects for both types of CP violation are substantially larger for the  $B^0$  meson system. However, they are still consistent with the standard model of particle physics, which has a unique source<sup>9</sup> of CP violation that is known to be too small<sup>10</sup> to account for the matter-dominated Universe. Here we report that the direct CP violation in charged  $B^\pm \rightarrow K^\pm \pi^0$  decay is different from that in the neutral  $B^0$  counterpart. The direct CP-violating decay rate asymmetry,  $\mathcal{A}_{K^\pm \pi^0}$  (that is, the difference between the number of observed  $B^- \rightarrow K^- \pi^0$  event versus  $B^+ \rightarrow K^+ \pi^0$  events, normalized to the sum of these events) is measured to be about +7%, with a uncertainty that is reduced by a factor of 1.7 from a previous measurement<sup>7</sup>. However, the asymmetry  $\mathcal{A}_{K^\pm \pi^\mp}$  for  $B^0 \rightarrow K^\pm \pi^\mp$  versus  $B^0 \rightarrow K^\mp \pi^\pm$  is at the -10% level<sup>7,8</sup>. Although it is still possible to have strong interaction effects that need further clarification, a large deviation in direct CP violation between charged and neutral  $B$  meson decays could be an indication of new sources of CP violation—which would help to explain the dominance of matter in the Universe.

Obligé

Dispair

Unexpected!



VIEWS

It would seem that we are well on the way to understanding the basis of particle–antiparticle asymmetry in the early Universe.

In fact, we are not. The KM predictions depend crucially on the masses of the intermediate-mass s and c quarks. But the high temperature of the Universe just after the Big Bang makes these masses irrelevant in calculations of the cosmic-matter excess. The degree of asymmetry predicted by the KM model is ten orders of magnitude too small.

reveal exotic  
the Universe.

of quark were known: strange (s). But in the 1970s more were discovered: the heavy bottom (b) quark. This astounding success came from specific experiments at SLAC that produced c–anti-quark pairings in the form of a b quark or b anti-quark. Kobayashi–Maskawa's theory of CP violation proposed by these experiments could be tested by two beams of different particles (one of positrons and one of electrons), motivated the accelerators at KEK and SLAC. BaBar and Belle reported a KM asymmetry in a

the experimentalist



elementary particles of matter — has an antimatter counterpart with exactly the same mass, and exactly the opposite electric charge. Over the past 20 years, the theories of the weak and strong nuclear forces that have been built up on this basis have passed numerous rigorous experimental tests. The mathematical form of these theories allows little space for interactions that treat particles and antiparticles differently.

And yet the Universe, as far out as we can see, is made of matter, not of antimatter. We see no signals of the matter–antimatter annihilation that would happen on the edge of our local region if only this region were dominated by matter. So did the initial conditions of the Big Bang perhaps contain more matter than antimatter? It is possible. But in inflationary cosmology, the model that has successfully

process (shown here from left to right): a, in a standard box diagram of weak quark-mixing interactions, quarks change type by exchanging a pair of particles, for example a heavy top (t) quark and a W boson, the intermediary of the weak force. Here, a B<sup>0</sup> meson (quark content db) converts into a B<sup>+</sup> (bd). b, In a penguin process, the change of quark type occurs via a particle loop, which connects via a boson (wavy line; a gluon, g, gives a 'strong penguin'; a Z<sup>0</sup> an 'electroweak penguin'; γ is a photon) to a further particle. Here, for example, a B<sup>0</sup> or B<sup>+</sup> could be decaying into a K<sup>0</sup> (ns) or K<sup>+</sup> (ds), plus an additional u or d quark that combines with the u or d anti-quark in the B meson. The other end product is a s<sup>+</sup> particle, which can have quark content ub or db. In both penguin and box processes, the particles represented by the heavy lines (square in a, circle in b) could be as-yet-undiscovered exotic particles. Recent results from the Belle and BaBar<sup>1,2</sup> collaborations indicate

Since then, evidence accumulated by BaBar and Belle, in a data set of more than 1.2 billion B-meson decays, has been used to fix the two crucial parameters of the KM theory to an accuracy of about 5%. Complementary measurements from other processes involving B mesons<sup>10–12</sup> have confirmed these parameters to accuracies of between 10% and 20%.

It would seem that we are well on the way to understanding the basis of particle–antiparticle asymmetry in the early Universe.

In fact, we are not. The KM predictions depend crucially on the masses of the intermediate-mass s and c quarks. But the high temperature of the Universe just after the Big Bang makes these masses irrelevant in calculations of the cosmic-matter excess. The degree of asymmetry predicted by the KM model is ten orders of magnitude too small.



## The Lore/Lure that Despairs the Experimenter





# The Abyss: CPV in KM and B.A.U. The Lore

$$\frac{n_B}{n_\gamma} \cong 0$$

$$\frac{n_B}{n_\gamma} = (6.2 \pm 0.2) \times 10^{-10}$$

WMAP

$$\text{KM} \sim 10^{-20}$$

**Too Small in SM**

Jarlskog Invariant in SM3 (need 3 generation in KM)

$$J = (m_t^2 - m_u^2)(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_b^2 - m_d^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2) A$$

Normalize by  $T \sim 100 \text{ GeV}$   $\rightarrow$

$$J/T^{12} \sim 10^{-20}$$

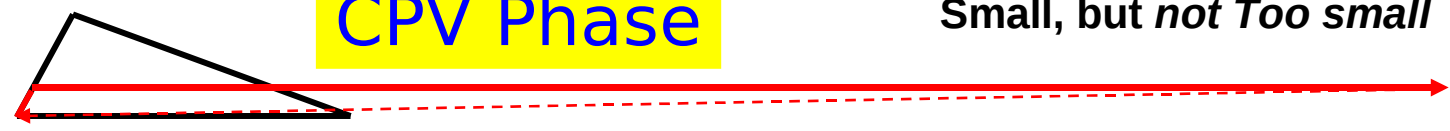
EW Phase Transition Temperature  
 $\sim v.e.v.$

**Masses too Small !**

$A \sim 3 \times 10^{-5}$  is common (unique) area of triangle in SM

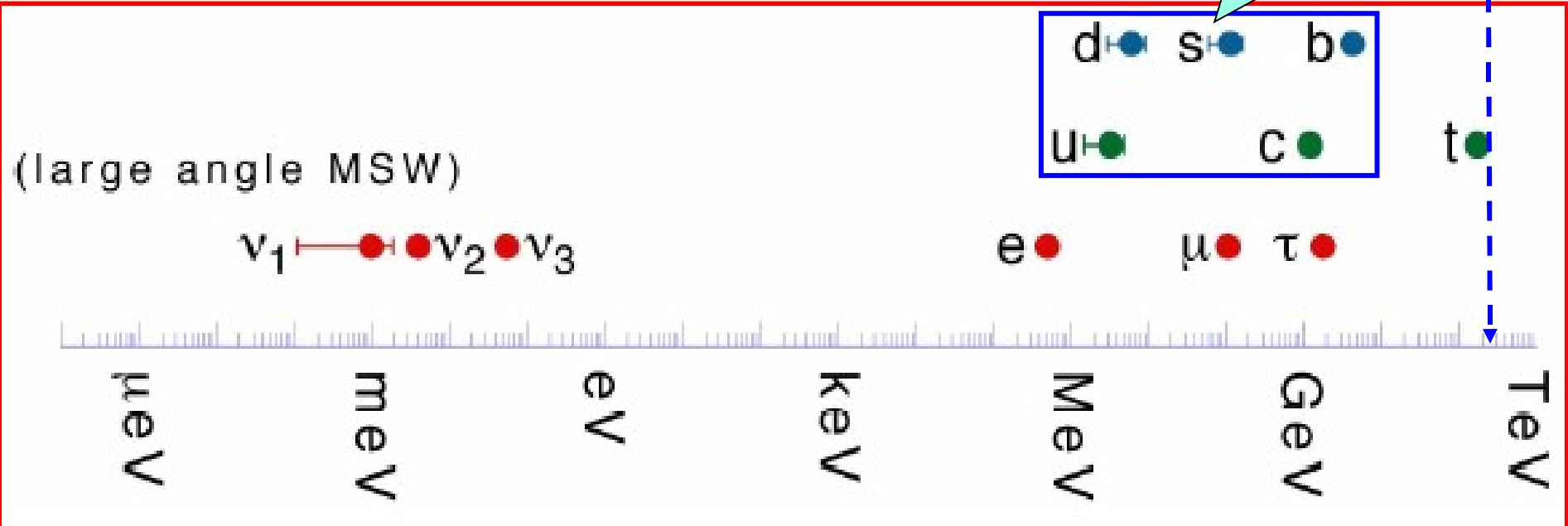
**CPV Phase**

Small, but *not Too small*





(u, d,) s, c, b  
quarks too light





# Wisdom from Peskin on $\Delta A_{K\pi}$



C

b quark or its antiparticle. The lighter d or  $\bar{d}$  does not participate. Given this fact, one would expect that replacing the d or  $\bar{d}$  in the B meson by the similarly light u or  $\bar{u}$  would produce the same asymmetry. But Belle observes that the equivalent decays of the mesons corresponding to those quark compositions,  $B^+ \rightarrow K^+ \pi^0$  and  $\bar{B}^- \rightarrow K^- \pi^0$ , have an asymmetry of the opposite sign. Together with the same asymmetries recently announced by BaBar<sup>2,3</sup>, the effect has a statistical significance greater than five standard deviations — the ‘gold standard’ of particle physicists for proof that an effect is real.

Unlike the decays of the neutral B mesons  $B^0$  and  $\bar{B}^0$ , the decays of the charged B mesons  $B^+$  and  $\bar{B}^-$  produce two u quarks or antiquarks. This means that other processes that preferentially produce u quarks rather than d quarks might affect the asymmetry. The electroweak penguin is just such an effect — but to alter the asymmetry, this process must differ from the standard electroweak penguin, which affects the decay rates symmetrically. A contribution from an exotic loop is required. There

## “hadronic”

are admittedly other possibilities that might explain the anomaly in the asymmetry: a direct weak-interaction decay process, the so-called colour-suppressed contribution, also has the required properties. The size of this contribution depends on the quarks involved. In decays of mesons containing the c quark, it is substantial. For the heavier B mesons, however, it is indeed expected to be suppressed.

The new results<sup>1-3</sup> are not conclusive, but they are tantalizing. They might be due to properties of standard b-quark weak interactions that we cannot quite yet estimate precisely, but it is equally possible that this is the first hint of an entirely new mechanism for particle-antiparticle asymmetry. In the next few years, these ideas will be tested, both through the analysis of the huge Belle and BaBar data set, and from the hunt for exotic particles at the LHC. We do not yet know whether it is penguins or even more unusual creatures that produce our Universe made of matter and not antimatter. ■

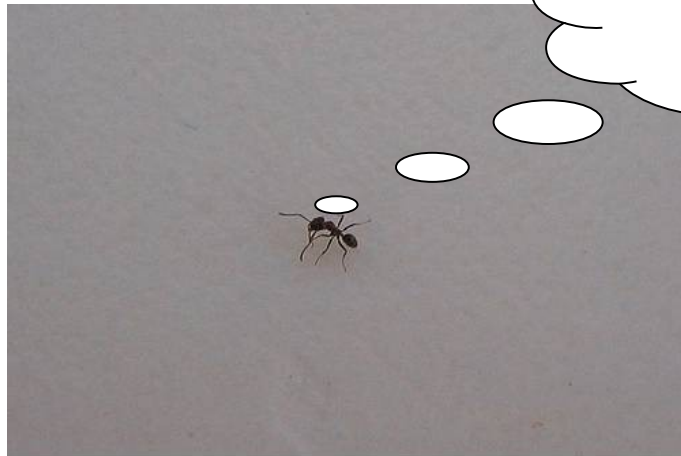
Michael E. Peskin is in the Theoretical Physics Group, Particle Physics and Astrophysics, SLAC





M. Peskin (private communication)

“I must say that I am very skeptical that the new Belle result is new physics -- a larger than expected color suppressed amplitude is an explanation that is ready at hand. On the other hand, I felt that it was necessary to push the new physics interpretation when writing for the Nature audience, people outside of high energy physics, because this is why the result is potentially newsworthy.”



Ya, ya!  
Need  $10^{10}$   
anyway!  
Me keep  
cawlin' ...

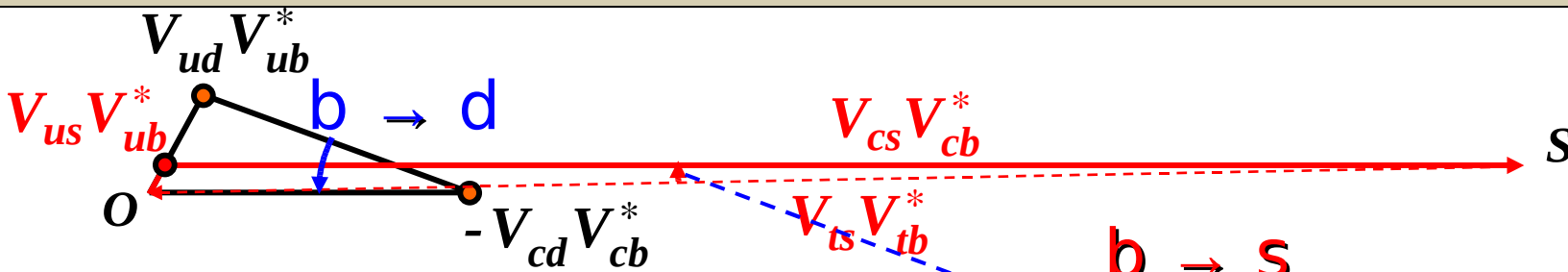


color suppressed

attention  
Tree !!!



# Mixing-dep. CPV in $B_d$ and $B_s$ in SM



$$\sin 2\phi_1 = \sin 2\beta$$

Measured by Belle/BaBar  
in  $B_d \rightarrow J/\psi K_S$

**$\sin 2\Phi_{B_s} \approx -0.04$  in SM**  
Measure in  $B_s \rightarrow J/\psi \phi$

“possible only at LHCb”

- Recent Hint @ Tevatron

$$\sin 2\Phi_{B_s} < 0 !! \quad (\leq 3\sigma)$$

- Consistent with 4th generation Prediction from  $\Delta A_{K\pi}$
- BSM w/o hadronic uncertainty iff true.
- So what!?! The  $10^{-10}$  Abyss ...





## II. $\Delta A_{K\pi}$ Problem — Z Penguin and $t'$ Loop

the Experimentalist



Just when  $\Delta S_{\phi K}$  “disappeared”...

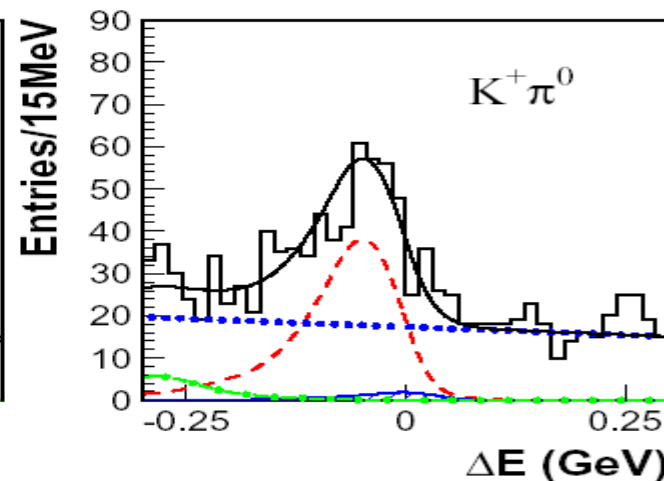
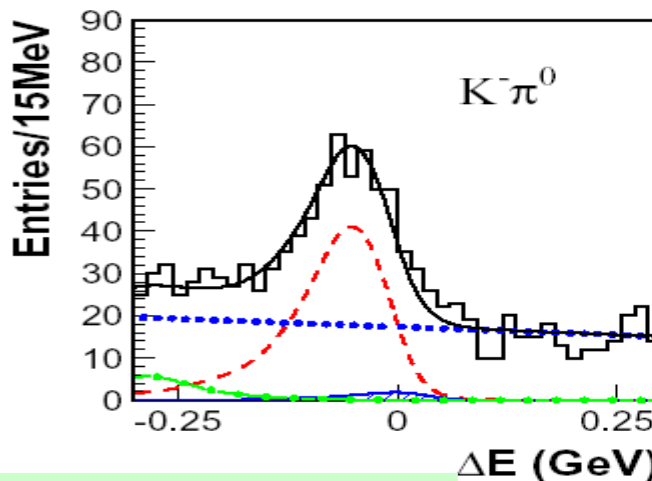
# $A_{CP}(B \rightarrow K^+ \pi^0)$

Sakai



275M  $B\bar{B}$   
New

$K^\pm \pi^0 : 728 \pm 53$



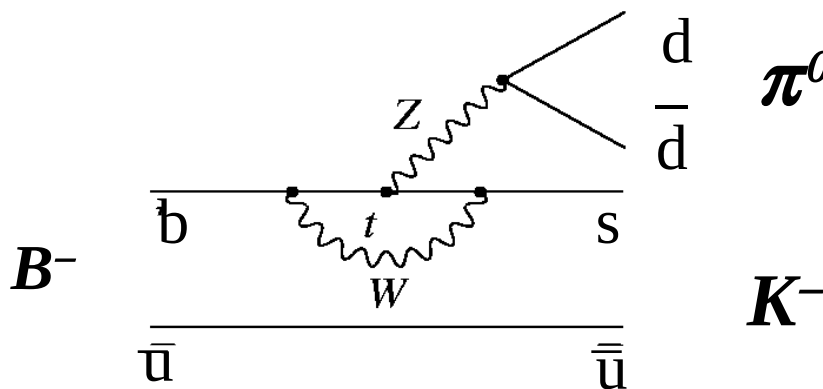
$$A_{CP}(K^\pm \pi^0) = 0.04 \pm 0.05 \pm 0.02$$

hint that  $A_{CP}(K^+ \pi^-) \neq A_{CP}(K^\pm \pi^0)$  ? ( $2.4\sigma$ )

[also seen by BaBar]

Large EW penguin ( $Z^0$ ) ?

New Physics ?





# Belle 2004 PRL: Seed

Y. Chao, P. Chang et al.



The partial rate asymmetry  $\mathcal{A}_{CP}(K^+ \pi^-)$  is found to be  $-0.101 \pm 0.025 \pm 0.005$ , which is  $3.9\sigma$  from zero. The significance calculation includes the effects of systematic uncertainties. Our result is consistent with the value reported by *BABAR*,  $\mathcal{A}_{CP}(K^+ \pi^-) = -0.133 \pm 0.030 \pm 0.009$  [7]. The combined experimental result has a significance greater than  $5\sigma$ , indicating that direct *CP* violation in the *B* meson system is established. Our measurement of  $\mathcal{A}_{CP}(K^+ \pi^0)$  is consistent with no asymmetry; the central value is  $2.4\sigma$  away from  $\mathcal{A}_{CP}(K^+ \pi^-)$ . If this result is confirmed with higher statistics, the difference may be due to the contribution of the **electroweak penguin diagram** or other mechanisms [16]. No evidence of

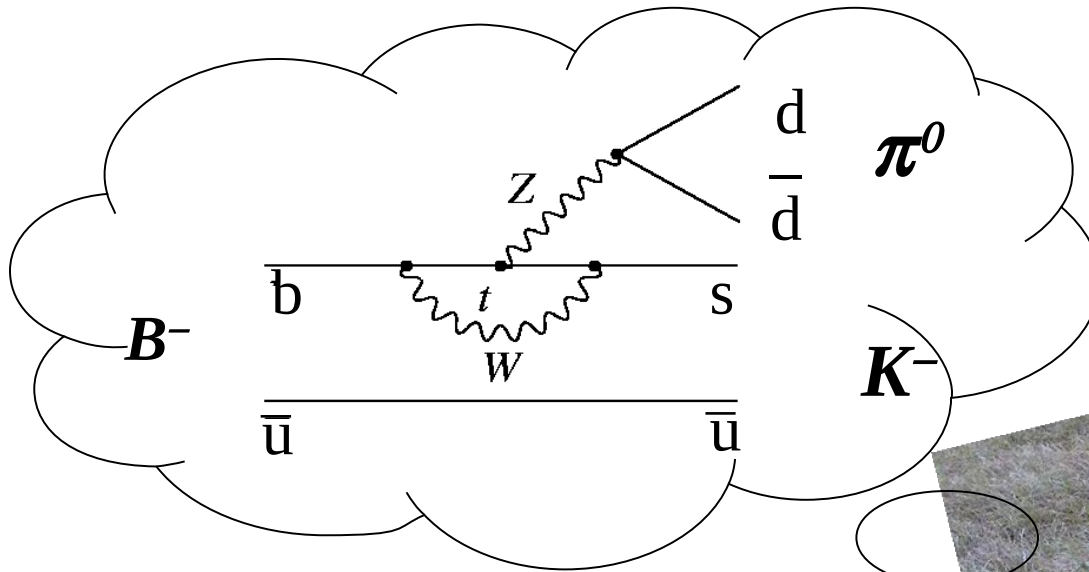
by "yours truly"

- [16] A. J. Buras, R. Fleischer, S. Recksiegel, and F. Schwab, hep-ph/0402112; V. Barger, C.W. Chiang, P. Langacker, and H.S. Lee, Phys. Lett. B **598**, 218 (2004).

**P<sub>EW</sub>**  
Z'



# The Crawl-in' of one Ant



Going Up a Hill ...





# My first B paper



WSH, Willey, Soni

VOLUME 58, NUMBER 16

PHYSICAL REVIEW LETTERS

20 APRIL 1987

an by Inami and Lim,<sup>9</sup> and we follow their notation. The effective Lagrangean arising from Fig. 1 is

$$\mathcal{L}_{\text{eff}}^{b\bar{s} \rightarrow l^+ l^-} = 2\sqrt{2}G_F \chi v_i \{ \bar{C}_i (\bar{s} \gamma_\mu L b) (\bar{l} \gamma_\mu L l) - s_W^2 (F_i^1 + 2\bar{C}_i^Z) (\bar{s} \gamma_\mu L b) (\bar{l} \gamma_\mu l) - s_W^4 F_i^2 [\bar{s} i \sigma_{\mu\nu} (q_\nu / q^2) (m_s L + m_b R) b] (\bar{l} \gamma_\mu l) \}, \quad (1)$$

$$\mathcal{L}_{\text{eff}}^{b\bar{s} \rightarrow \nu \bar{\nu}} = -2\sqrt{2}G_F \chi v_i \bar{D}_i (\bar{s} \gamma_\mu L b) (\bar{\nu} \gamma_\mu L \nu), \quad (2)$$

where  $\chi = g^2/16\pi^2$ ,  $v_i \equiv V_{is}^* V_{ib}$ ,  $i$  is summed from 2 to  $n$  (where  $n$  is the number of generations),<sup>10</sup>  $s_W$  is the sine of the Weinberg angle, and we exhibit<sup>11</sup>

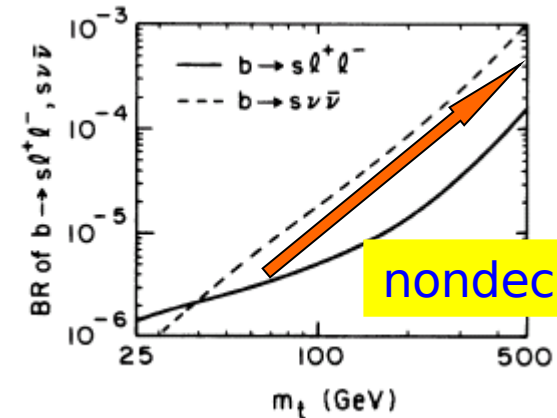
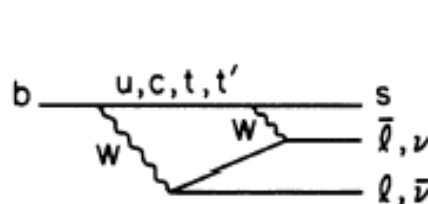
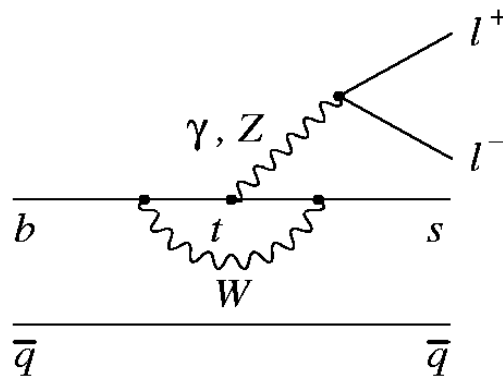
dimensions

$$\bar{C}_i \equiv \bar{C}_i^Z + \bar{C}_i^{\text{box}} = \frac{1}{4} x_i + \frac{3}{4} \left( \frac{x_i}{x_i - 1} \right)^2 \ln x_i - \frac{3}{4} \frac{x_i}{x_i - 1}, \quad (3)$$

$$\bar{D}_i \equiv \bar{D}_i^Z + \bar{D}_i^{\text{box}} = \frac{1}{4} x_i + \frac{3}{4} \frac{x_i(x_i - 2)}{(x_i - 1)^2} \ln x_i + \frac{3}{4} \frac{x_i}{x_i - 1}, \quad (4)$$

$\gamma$	$Z$
$\alpha G_F$	$G_F^2 m_t^2$

where  $x_i = m_i^2/M_W^2$ , and  $m_i$  is the internal quark mass. The important feature of Eqs. (3) and (4) is the term  $x_i/4$ ,<sup>8</sup>



nondecoupling



# Nondecoupling



Decoupling Thm: Heavy **Masses** are decoupled in QED/QCD  
▪ Appear in Propagator

**Nondecoupling:** Yukawa Couplings  $\lambda_0$  Appear in Numerator

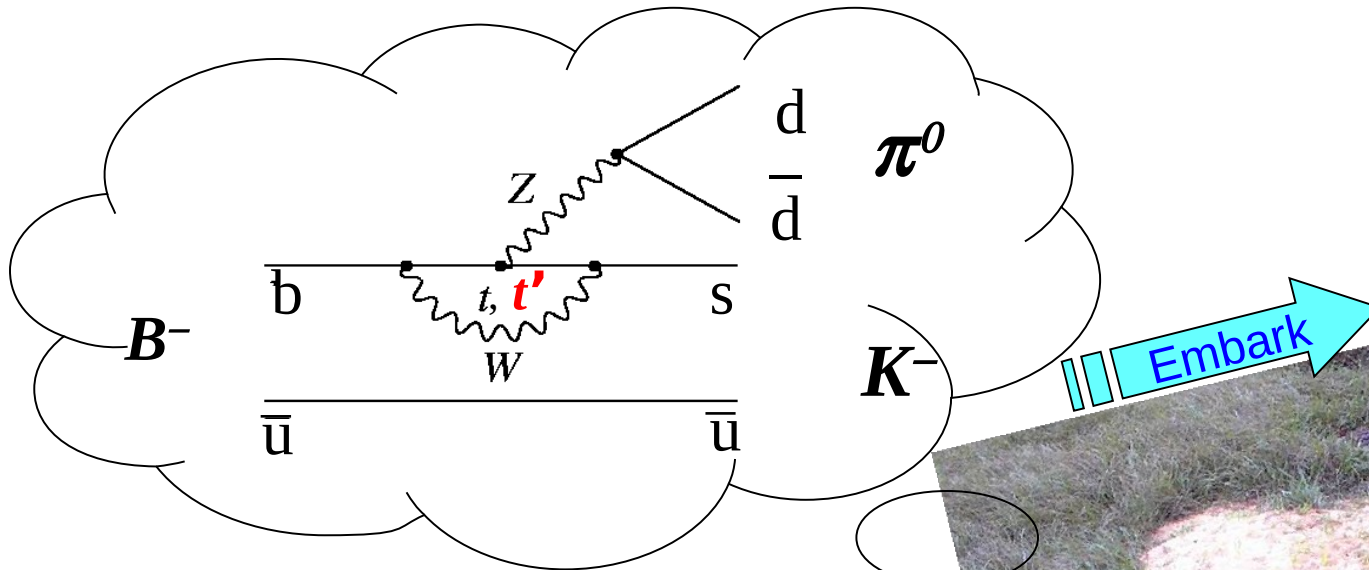
Subtlety of Spont. Broken Gauge Theory

dynamical





# The Crawl-in' of one Ant



Going Up a Hill ...





# 4th Generation Still?



-  $N_\nu$  counting? 4th “neutral lepton” heavy

Massive neutrinos call for new Physics

- Disfavored by **EW Precision** (see e.g. J. Erler hep-ph/0604035; PDG06)

An extra generation of ordinary fermions is excluded at the 99.999% CL on the basis of the  $S$  parameter alone, corresponding to  $N_F = 2.81 \pm 0.24$  for the number of families. This result assumes that there are no new contributions to  $T$  or  $U$  and therefore that the extra fermion families are degenerate. In principle this restriction can be relaxed by allowing

July 14, 2006 10:37

## 10. Electroweak model and constraints on new physics 37

As well, since  $T > 0$  is expected from a non-degenerate extra family. However, current data generally favor  $T < 0$ , thus strengthening the exclusion limits. A more detailed analysis is required if the extra neutrino (or the extra down-type quark) is close to the Dirac mass limit [208]. This can drive  $S$  to small or even negative values but at the expense of too-large contributions to  $T$ . These results are in agreement with a fit to the number of light neutrinos,  $N_\nu = 2.986 \pm 0.007$  (which favors a larger value for  $\alpha_s(M_Z) = 0.1231 \pm 0.0020$  mainly from  $R_\ell$  and  $\tau_\tau$ ). However, the  $S$  parameter fits are valid even for a very heavy fourth family neutrino.

• 4th generation **not** in such great conflict with EWPrT





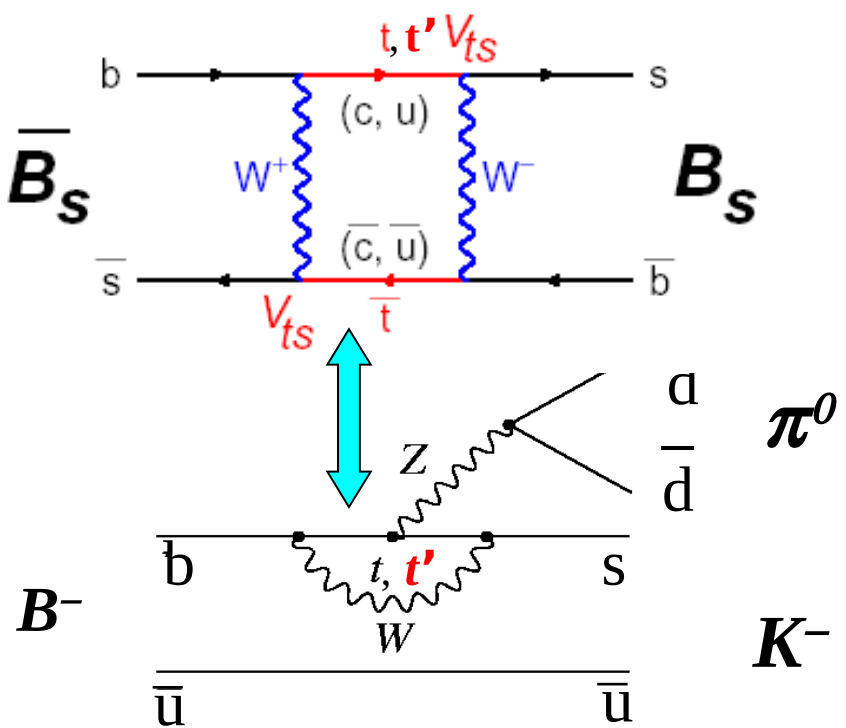
**This is Still the Standard Model**



$$\lambda_{t'} \equiv V_{t's}^* V_{t'b} \equiv r_{sb} e^{i\phi_{sb}}$$



Arhrib and WSH, EPJC'03



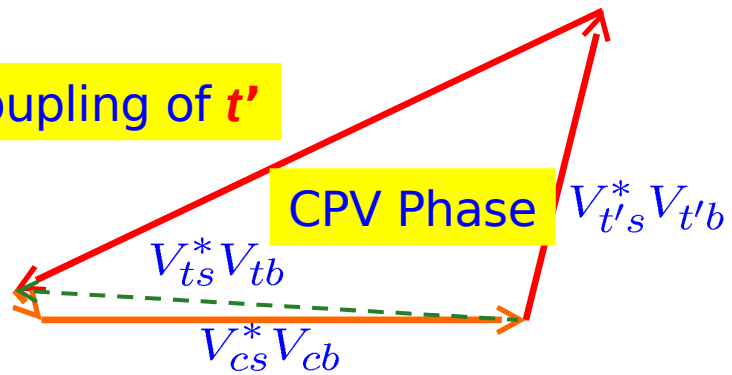
$$t \Leftrightarrow t, t'$$

$$\cancel{\lambda_u} + \lambda_c + \lambda_t + \lambda_{t'} = 0$$

$$\lambda_t \cong -\lambda_c - \lambda_{t'}$$

Nondecoupling of  $t'$

CPV Phase



$$M_{12} \propto f_{B_s}^2 B_{B_s} \left\{ \lambda_c^2 S_0(t, t) + 2\lambda_c \lambda_{t'} [S_0(t, t) - S_0(t, t')] + \lambda_{t'}^2 [S_0(t, t) - 2S_0(t, t') + S_0(t', t')] \right\}$$

GIM Respecting

$$H_{\text{eff}}^4 = \frac{G_F}{\sqrt{2}} \left[ \lambda_u (C_1 O_1 + C_2 O_2) + \sum_{i=3}^{10} (\lambda_c C_i^t - \lambda_{t'} (C_i^{t'} - C_i^t)) O_i \right]$$



# EWP/Box Sensitivity to 4th Gen.

$\gamma, g$  less sensitive

(No New Operators)

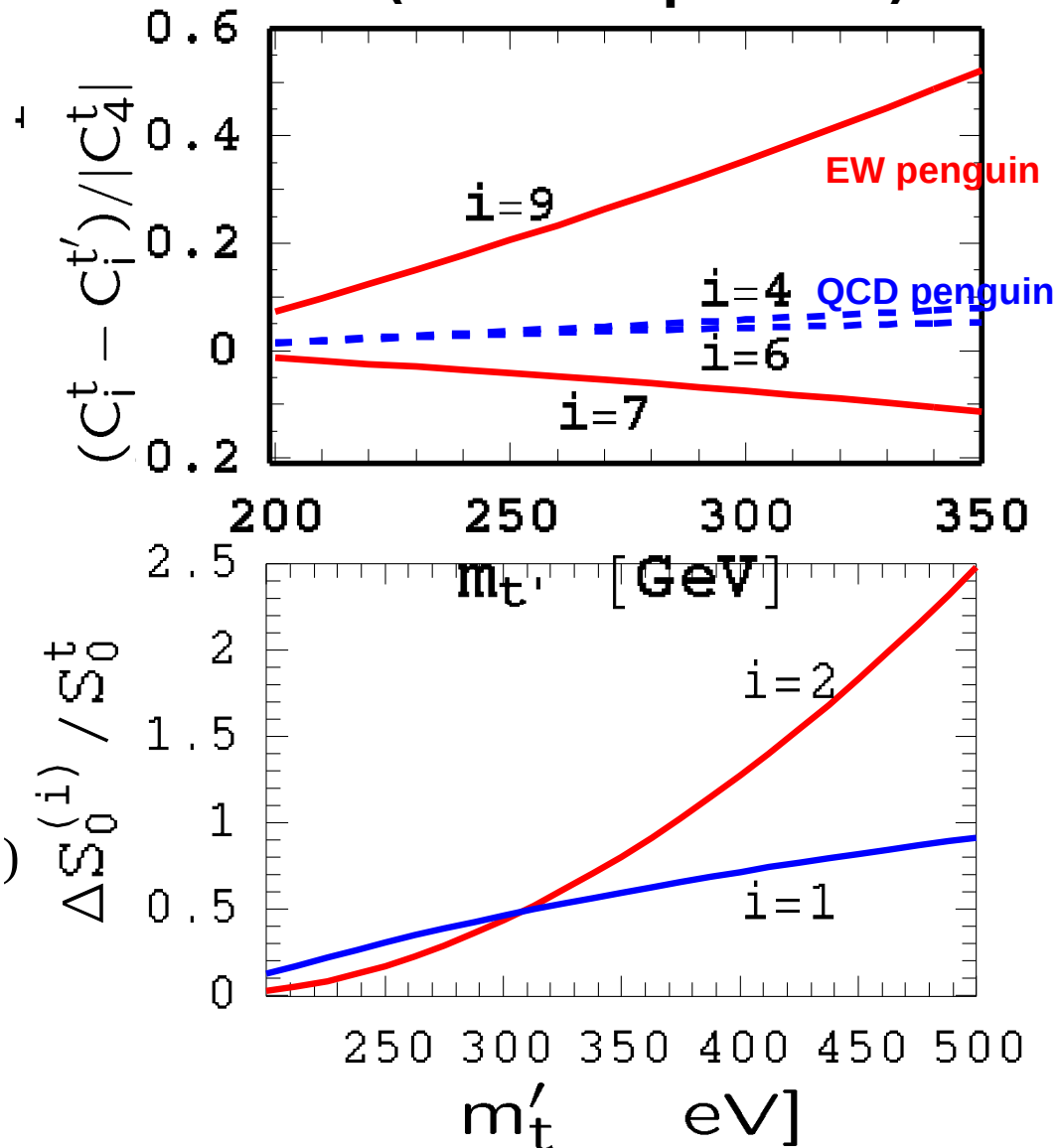


$$C_9^t - C_9^{t'} \propto x_t - x_{t'}$$

nondecoupling

$$\Delta S_0^{(1)} = S_0(t, t') - S_0(t, t)$$

$$\Delta S_0^{(2)} = S_0(t', t') + S_0(t, t) - 2S_0(t, t')$$

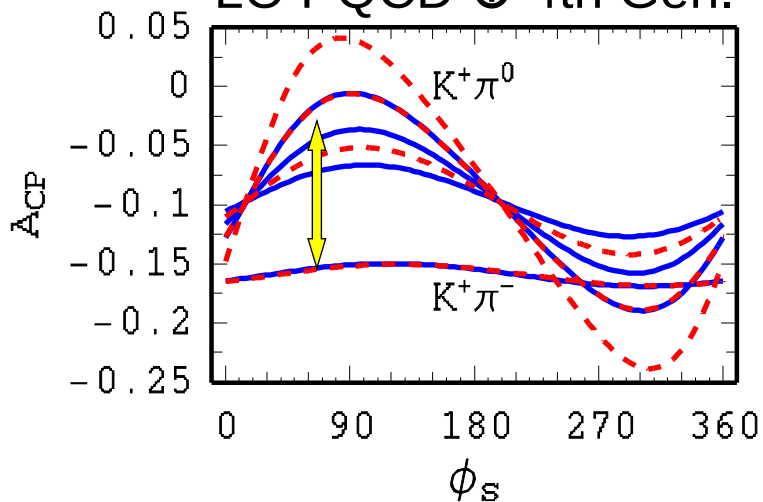




$$\Delta A = A_{K^+\pi^0} - A_{K^+\pi^-} \sim 15\% \text{ and } P_{EW}^{b \rightarrow s}$$



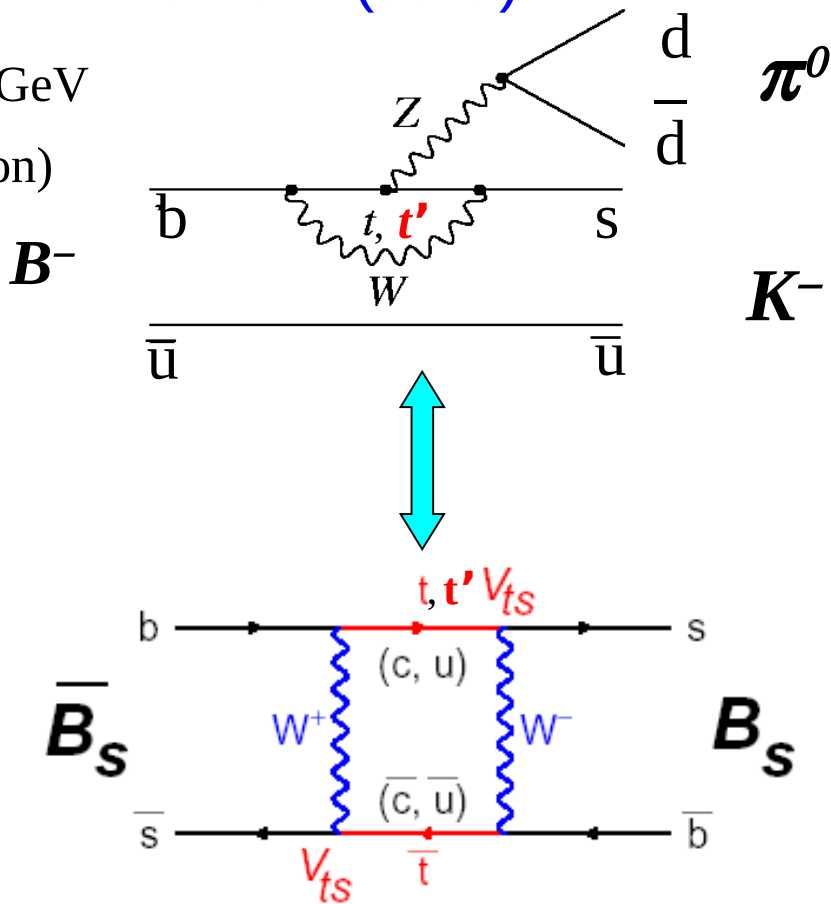
LO PQCD ⊕ 4th Gen.



WSH, Nagashima, Soddu, PRL '05

$\Delta A \approx 12\%$  vs 15% (data)

$m_{t'} = 300 \text{ GeV}$   
(illustration)





# Difference in $B^+$ and $B^0$ Direct $CP$ Asymmetry as an Effect of a Fourth Generation

Wei-Shu Hou, Makiko Nagashima, and Andrea Soddu

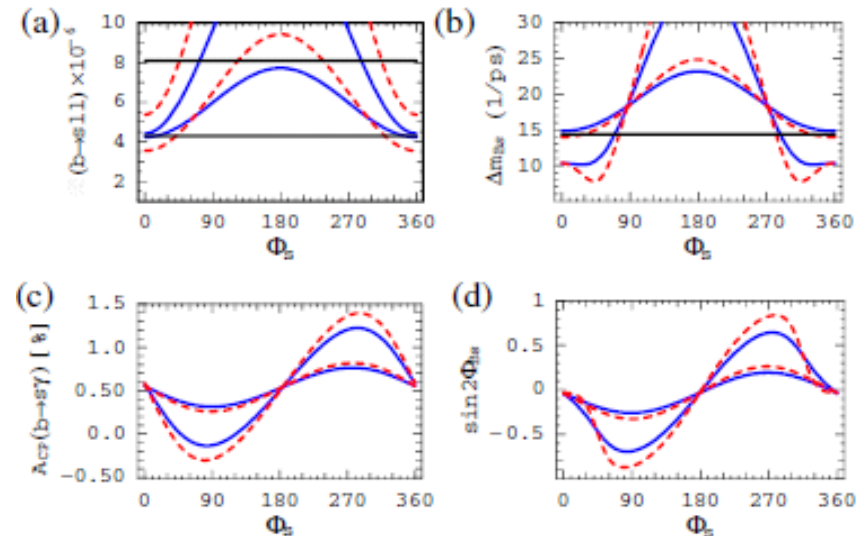
*Department of Physics, National Taiwan University, Taipei, Taiwan 106, Republic of China*

(Received 8 March 2005; revised manuscript received 20 June 2005; published 30 September 2005)

Direct  $CP$  violation in  $B^0 \rightarrow K^+ \pi^-$  decay has emerged at the  $\sim 10\%$  level, but the asymmetry in  $B^+ \rightarrow K^+ \pi^0$  mode is consistent with zero. This difference points towards possible new physics in the electroweak penguin operator. We point out that a sequential fourth generation, with sizable  $V_{t's}^* V_{t'b}$  and near maximal phase, could be a natural cause. We use the perturbative QCD factorization approach for  $B \rightarrow K\pi$  amplitudes. While the  $B^0 \rightarrow K^+ \pi^-$  mode is insensitive to  $t'$ , we critically compare  $t'$  effects on direct  $CP$  violation in  $B^+ \rightarrow K^+ \pi^0$  with  $b \rightarrow s\ell^+\ell^-$  and  $B_s$  mixing. If the  $K^+ \pi^0 - K^+ \pi^-$  asymmetry difference persists, **we predict  $\sin 2\Phi_{B_s}$  to be negative**

As prediction, we find  $\sin 2\Phi_{B_s} < 0$  for CPV in  $B_s$  mixing, which is plotted versus  $\phi_s$  in Fig. 3(d). We find  $\sin 2\Phi_{B_s}$  in the range of  $-0.2$  to  $-0.7$  and correlating with  $\mathcal{A}_{K^+\pi^0} - \mathcal{A}_{K^+\pi^-}$ . Three generation SM predicts zero.

Note that refined measurements of  $\mathcal{B}(b \rightarrow s\ell\ell)$  and future measurements of  $\Delta m_{B_s}$  and  $\sin 2\Phi_{B_s}$ , together with theory improvements, can pinpoint  $m_{t'}$ ,  $r_s$ , and  $\phi_s$ . We note further that [6]  $14.4 \text{ ps}^{-1} < \Delta m_{B_s} < 21.8 \text{ ps}^{-1}$  cannot yet be excluded because data are compatible with a signal in this region. We eagerly await  $B_s$  mixing and associated CPV measurement in the near future.



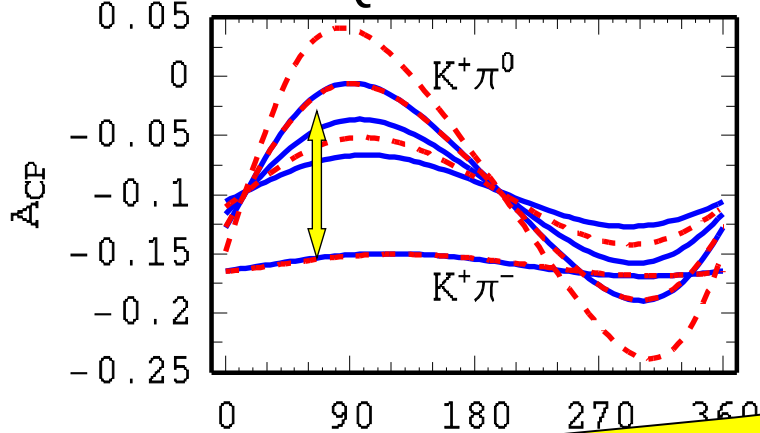


$$\Delta A = A_{K^+\pi^0} - A_{K^+\pi^-} \sim 15\% \text{ and } P_{EW}^{b \rightarrow s}$$



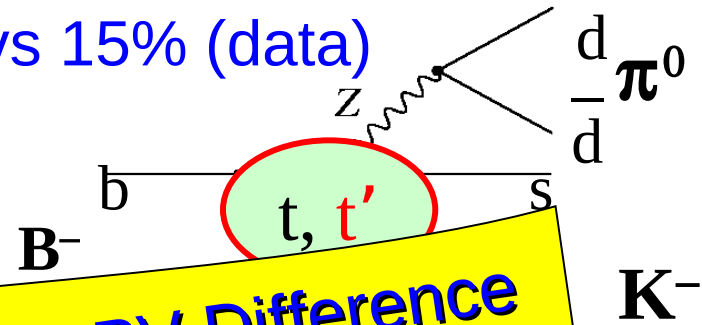
LO PQCD ⊕ 4th Gen.

WSH, Nagashima, Soddu, PRL '05



$\Delta A \approx 12\%$  vs 15% (data)

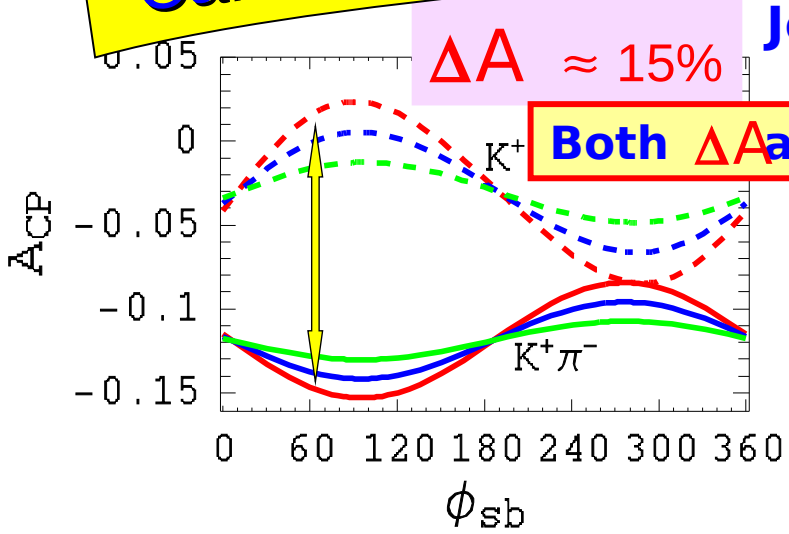
$m_{t'} = 300 \text{ GeV}$   
(illustration)



**Can Account for Belle/BaBar Direct CPV Difference**

Li, Mishima, Nagashima, PRL '07

$r_{sb} = 0.03$ : red, dash  
 $0.02$ : blue, solid  
 $0.01$ : green, dot-dash



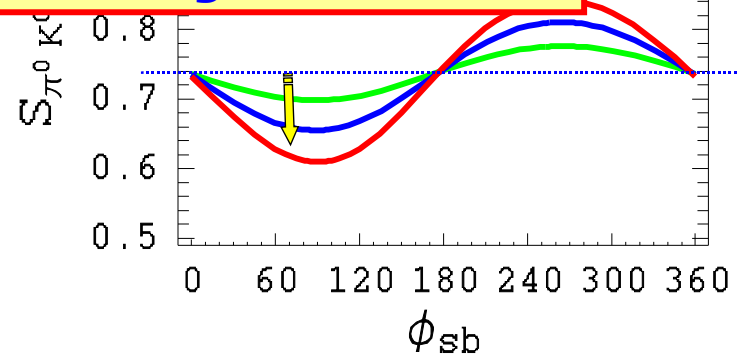
$\Delta A \approx 15\%$

Joining C & P<sub>EW</sub>

$\Delta S \approx -0.11$

consistent with data

**Both  $\Delta A$  and  $\Delta S$  in Right Direction !**



SM3 input





# 4 x 4 Unitarity

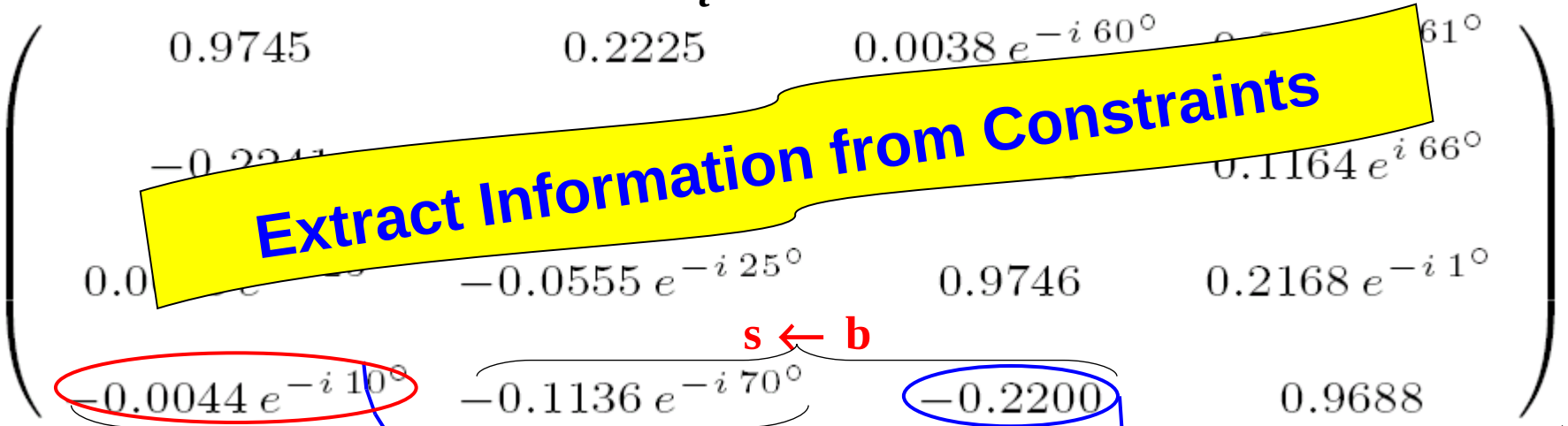


$$V_{CKM}^4 =$$

“Typical” CKM Matrix

$$m_{t'} = 300 \text{ GeV}$$

WSH, Nagashima, Soddu, PRD'05



Extract Information from Constraints

-0.0044  $e^{-i 10^\circ}$

-0.2200

s ← b

d ← s

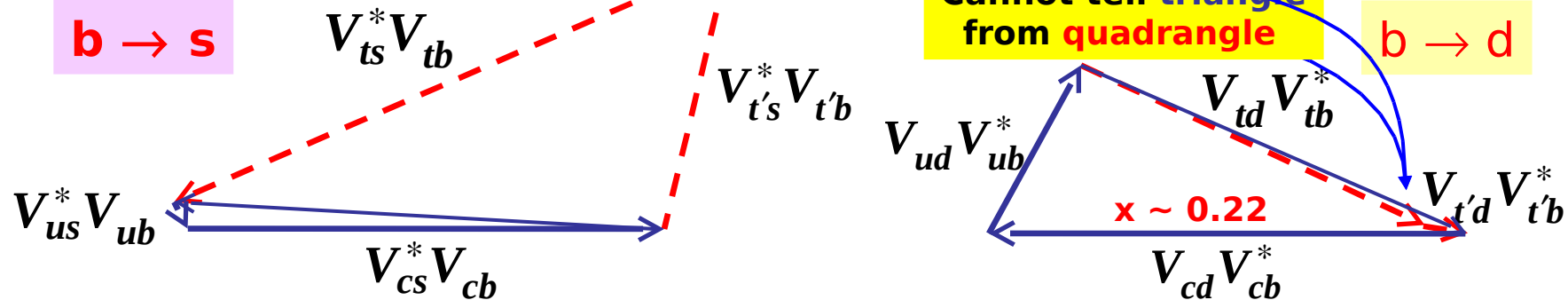
Z → bb

Nontrivial

Satisfy **b → d**: ✓  
Cannot tell triangle from **quadrangle**

b → d

b → s





$b \leftrightarrow s$  CPV

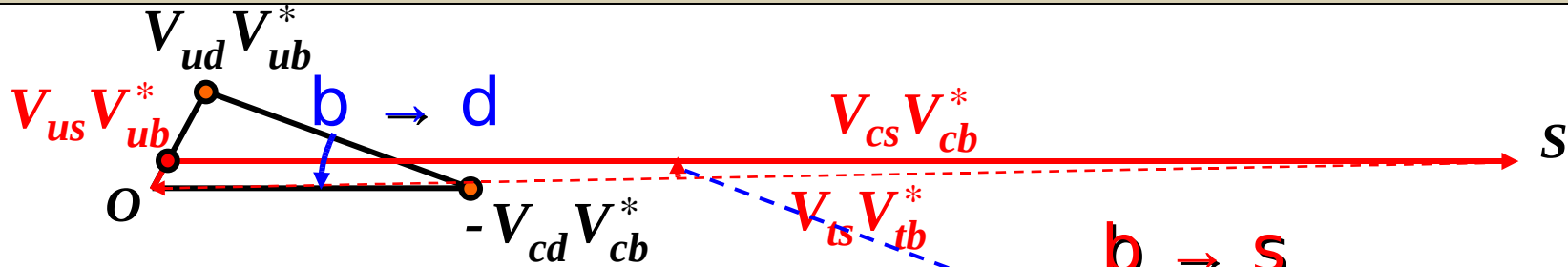
### III. $\Delta m_{B_s}$ Measurement $\rightarrow$ Prediction for $\sin 2\Phi_{B_s}$

the Experimentalist





# Mixing-dep. CPV in $B_d$ and $B_s$ in SM



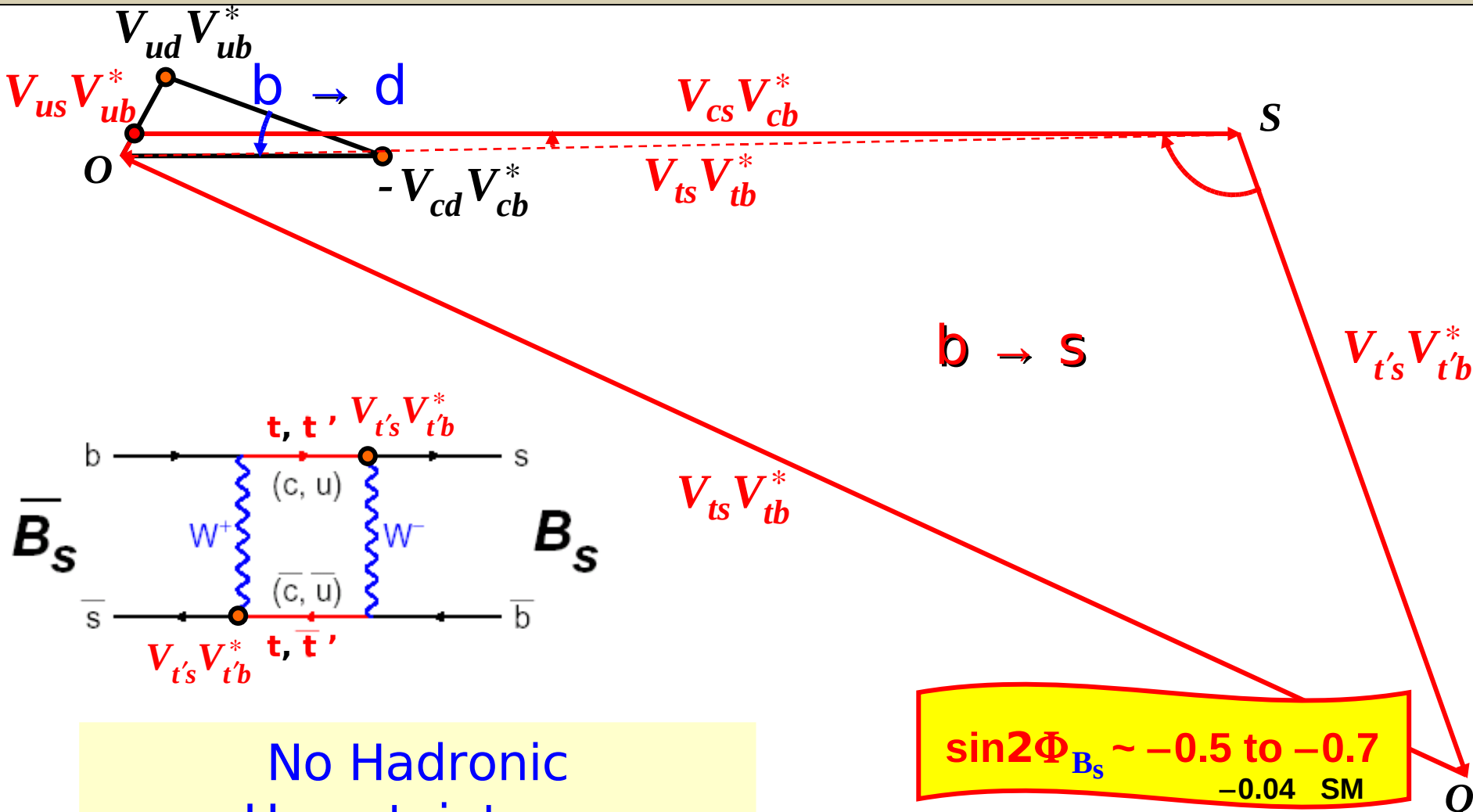
$$\sin 2\phi_1 = \sin 2\beta$$

Measured by Belle/BaBar  
in  $B_d \rightarrow J/\psi K_s$

**b -> s**  
 $\sin 2\Phi_{B_s} \approx -0.04$  in  
 SM3  
 Measure in  $B_s \rightarrow J/\psi \phi$



# Prediction: Large CPV in $B_s$ Mixing

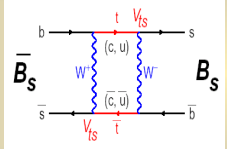


**$\sin 2\Phi_{B_s} \sim -0.5 \text{ to } -0.7$**   
 $-0.04 \text{ SM}$

Despite  $\Delta m_{B_s}$ ,  $B(b \rightarrow sll)$  SM-like

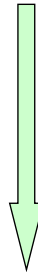
WSH, Nagashima, Soddu, PRD'07  
 PRL'05

No Hadronic  
 Uncertainty ...  
**Strength and Size of  $\sin 2\Phi_{B_s}$**

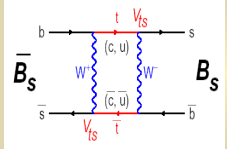


**$B_s$  Mixing vs  $B \rightarrow X_s l^+ l^-$**

different nondecoupl. functions

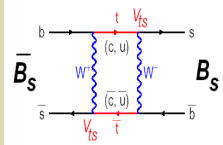


**Large CPV in  $B_s$  Mixing**



**Use nominal  $m_{t'}$  = 300 GeV**  
**Change  $m_{t'}$  , Change parameter range**  
**Effect the Same.**

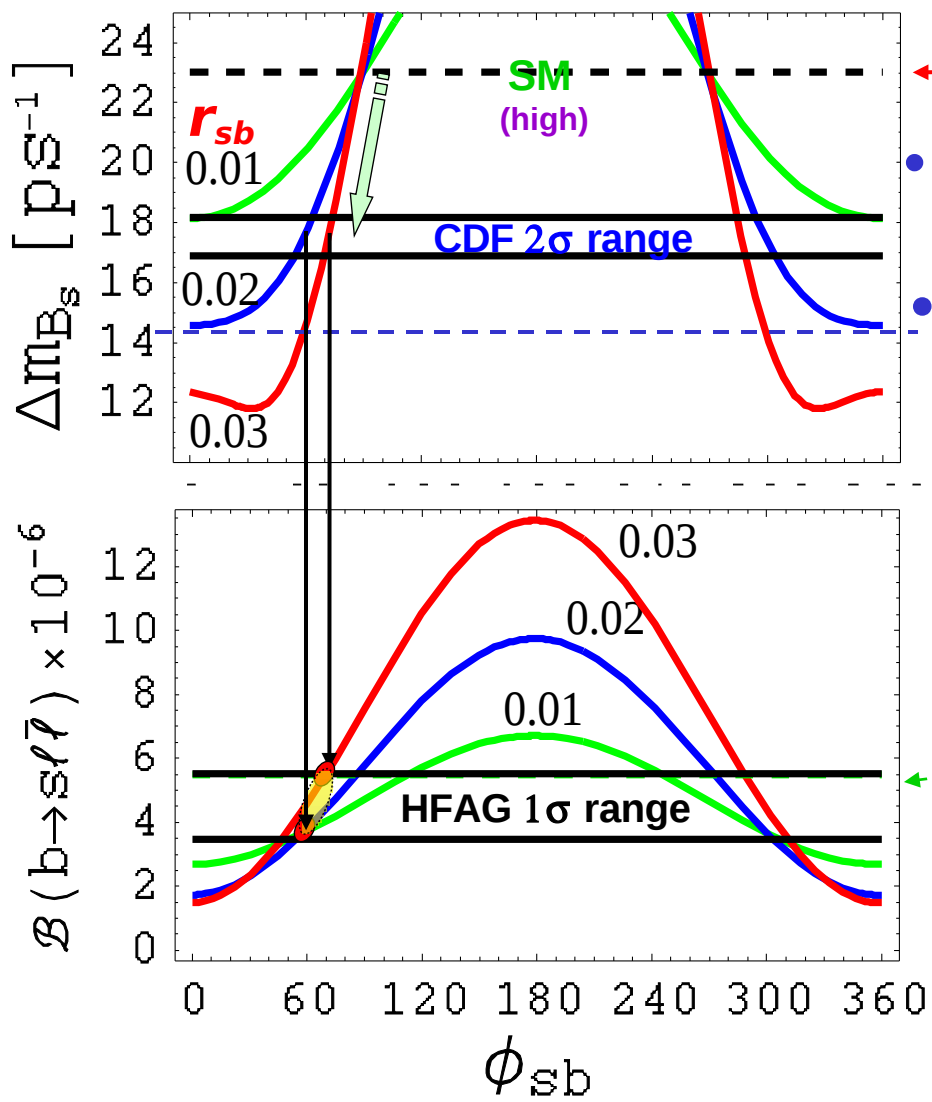
(Similar)



$$\lambda_{t'} \equiv V_{t's}^* V_{t'b} \equiv r_{sb} e^{i\phi_{sb}}$$

WSH, Nagashima, Soddu, hep-ph/0610385 (PRD'07)

$$f_{B_s} \sqrt{B_{B_s}} = 295 \pm 32 \text{ MeV}$$



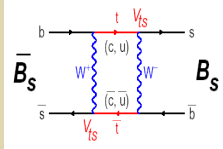
- Fixed  $r_{sb} \Rightarrow$  Narrow  $\phi_{sb}$  Range
- **destructive** with top
- For  $r_{sb} \sim 0.02 - 0.03$ ,  $[V_{cb} \sim 0.04]$

$\phi_{sb}$  Range  $\sim 60^\circ - 70^\circ$   
**Finite CPV**  
 Phase

Consistent w/ **B(b to s ll)**  
 SM-like!

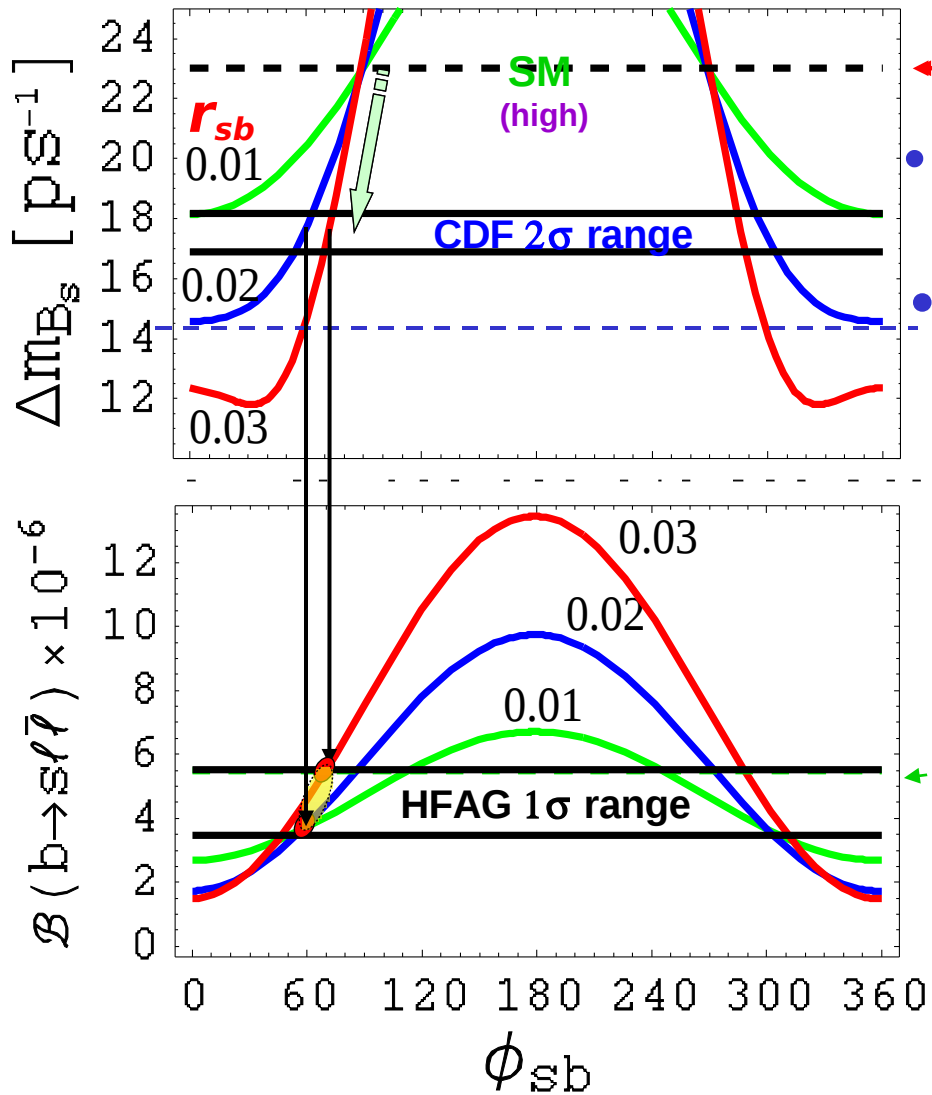
**Large CPV Possible !**

Despite  $\Delta m_{B_s}$ , **B(b to s ll)** SM-like



$$\lambda_{t'} \equiv V_{t's}^* V_{t'b} \equiv r_{sb} e^{i\phi_{sb}}$$

WSH, Nagashima, Soddu, hep-ph/0610385 (PRD'07)



$$f_{B_s} \sqrt{B_{B_s}} = 295 \pm 32 \text{ MeV}$$

- Fixed  $r_{sb} \Rightarrow$  Narrow  $\phi_{sb}$  Range
- **destructive** with top
- For  $r_{sb} \sim 0.02 - 0.03$ ,  $[V_{cb} \sim 0.04]$

$\phi_{sb}$  Range  $\sim 60^\circ - 70^\circ$   
**Finite CPV**  
 Phase

Consistent w/ **B(b  $\rightarrow$  sll)**  
 SM-like!

**Large CPV Possible !**

Despite  $\Delta m_{B_s}$ , **B(b  $\rightarrow$  sll) SM-like**





# Prediction: Large CPV in $B_s$ Mixing



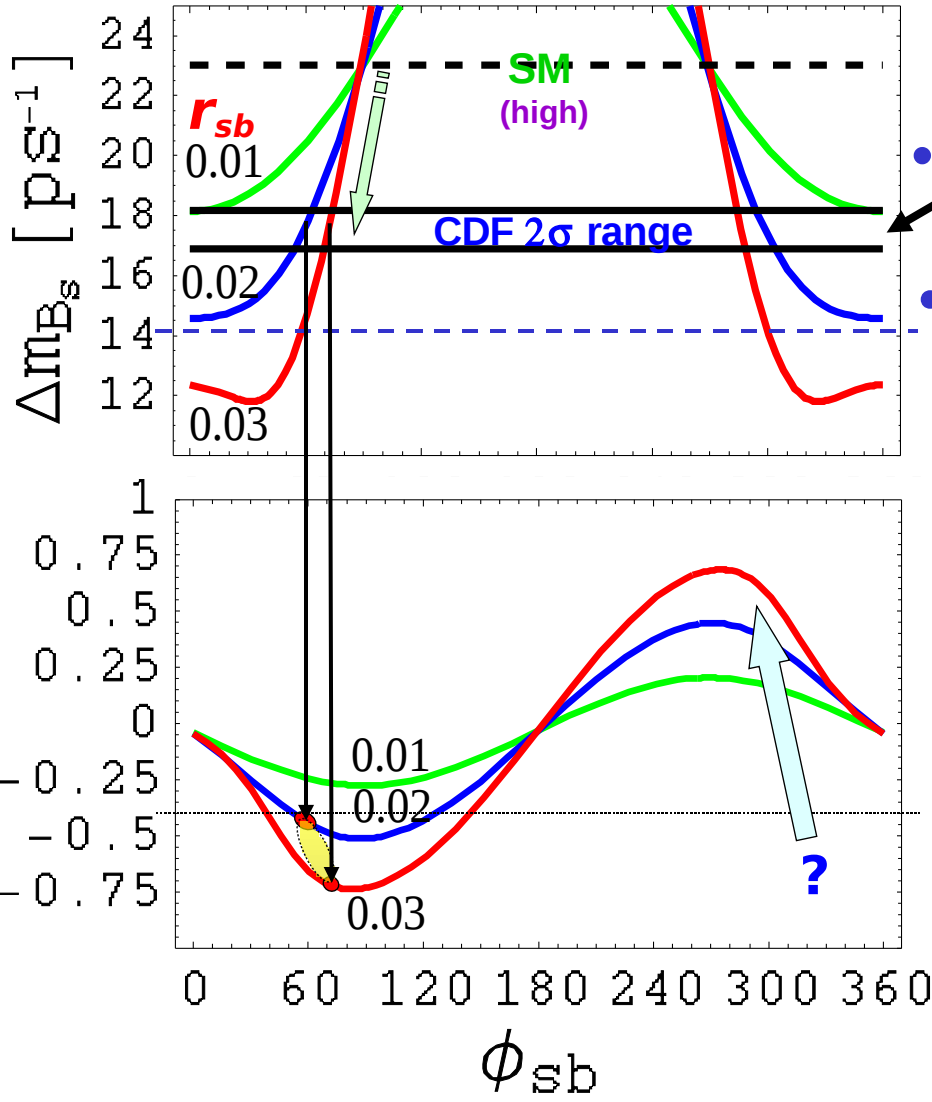
WSH, Nagashima, Soddu, PRD'07

$$f_{B_s} \sqrt{B_{B_s}} = \textcircled{295} \pm 32 \text{ MeV}$$

$B_s$  Mixing Measured  
@ Tevatron in 4/2006

• For  $r_{sb} \sim 0.02 - 0.03$ ,  $[V_{cb} \sim 0.0$

$\phi_{sb}$  Range  $\sim 60^\circ - 70^\circ$   
**Finite CPV**  
Phase



$\sin 2\Phi_{B_s} \sim -0.5 - -0.7$   
-0.04 SM

Despite  $\Delta m_{B_s}$ ,  $B(b \rightarrow sll)$  SM-like

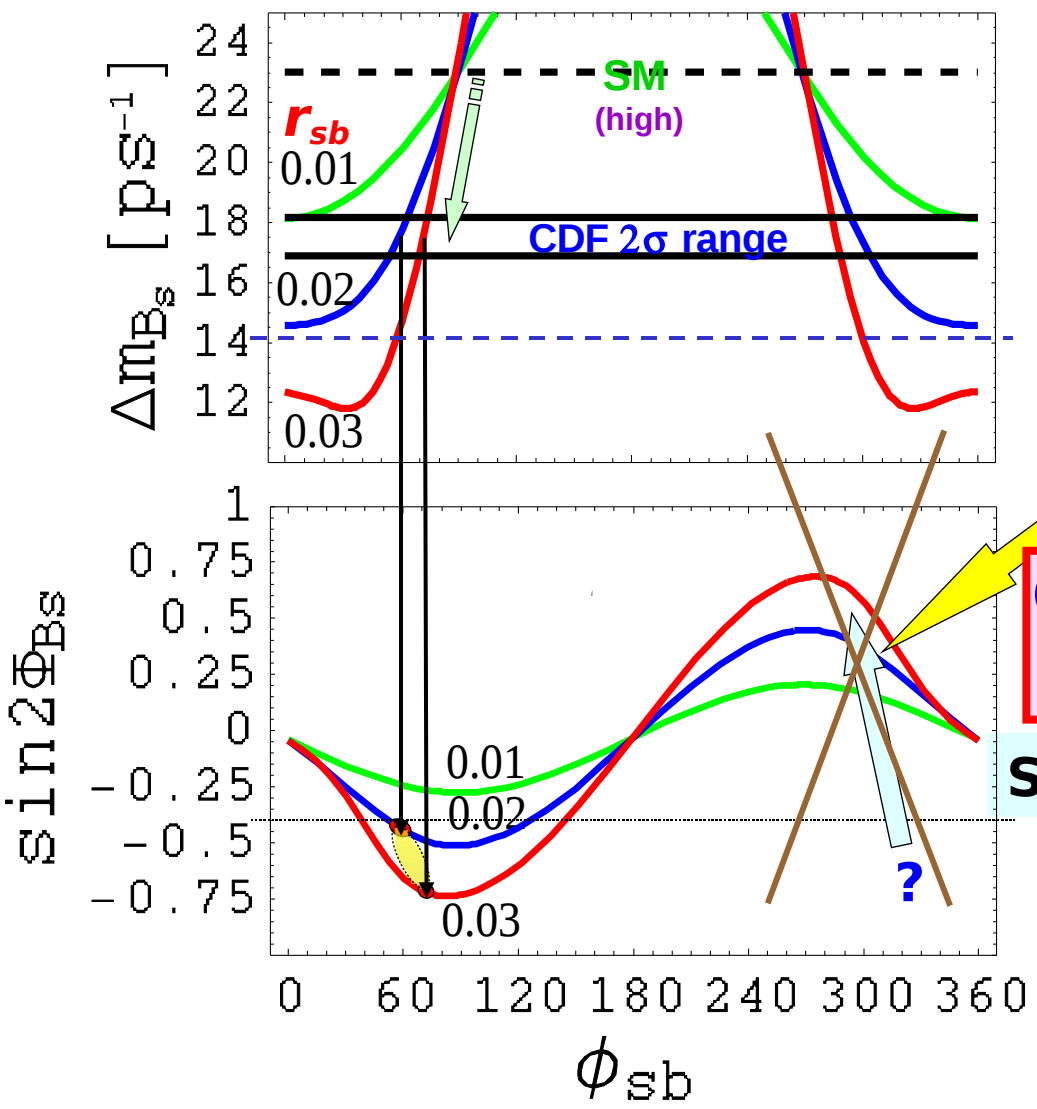
WSH, Nagashima, Soddu, PRL'05



# Prediction: Large CPV in $B_s$ Mixing



WSH, Nagashima, Soddu, PRD'07



$\Delta A_{K\pi}, \Delta S$

Can Large CPV in  $B_s$  Mixing  
Be Measured @ Tevatron ?

Sign Predicted ! Sure thing by  
HCb ca. 2010 (?)

$\sin 2\Phi_{B_s} \sim -0.5 \text{ -- } -0.7$   
-0.04 SM

Despite  $\Delta m_{B_s}, B(b \rightarrow sll)$  SM-like

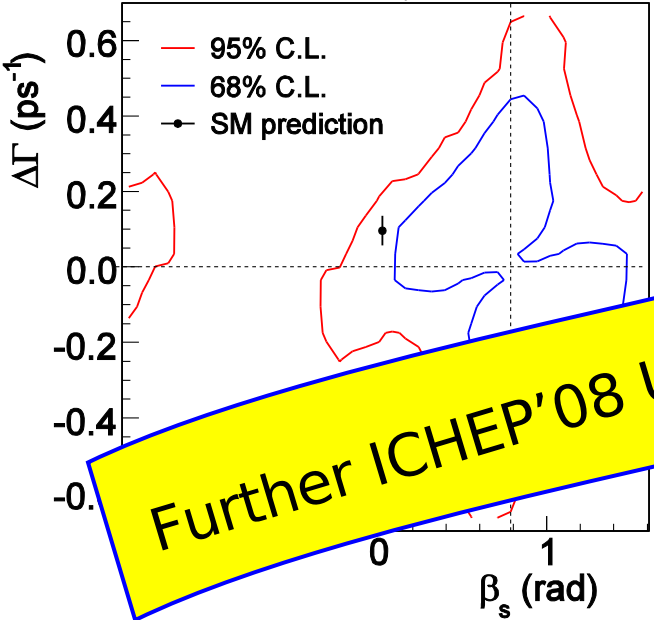
WSH, Nagashima, Soddu, PRL'05



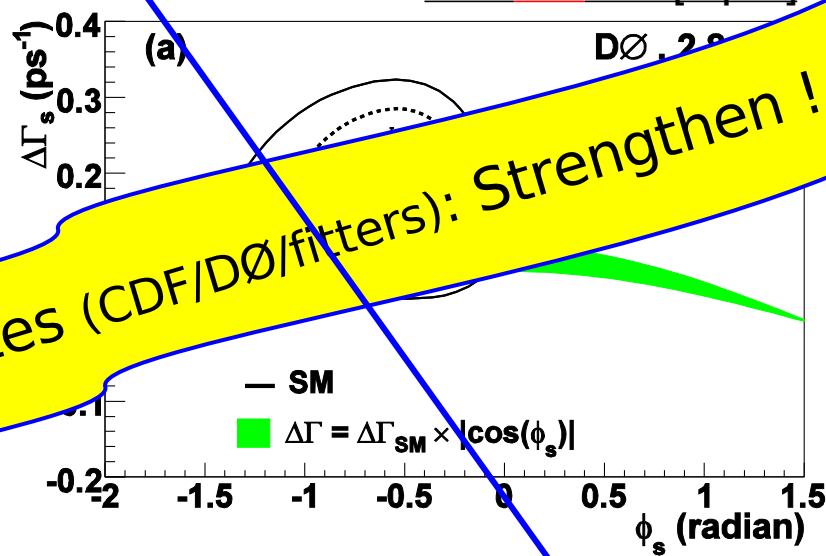
$\sin 2\Phi_{B_s} \sim -0.5 \text{ -- } -0.7$

WSH, Nagashima, Soddu, PRD'07 (already in 05)

PRL'08  
arXiv:0712.2397 [hep.ex]  
CDF Run II Preliminary L = 1.35 fb<sup>-1</sup>



PRL'08  
arXiv:0802.2255 [hep.ex]



**Further ICHEP'08 Updates (CDF/DØ/fitters): Strengthen !**

Observable	68% Prob.	95% Prob.
$\phi_{B_s} [^\circ]$	$-19.9 \pm 5.6$	$[-30.45, 9.29]$
	$-68.2 \pm 4.9$	$[-78.45, -58.2]$

UTfit

arXiv:0803.0659 [hep.ph]

$\sin 2\Phi_{B_s} = -0.64 \pm ?$   $\sim 2.8\sigma$

**Incredible !!!**

# An Updated Measurement of the $CP$ Violating Phase $\beta_s^{J/\psi\phi}$

The CDF Collaboration<sup>1</sup>

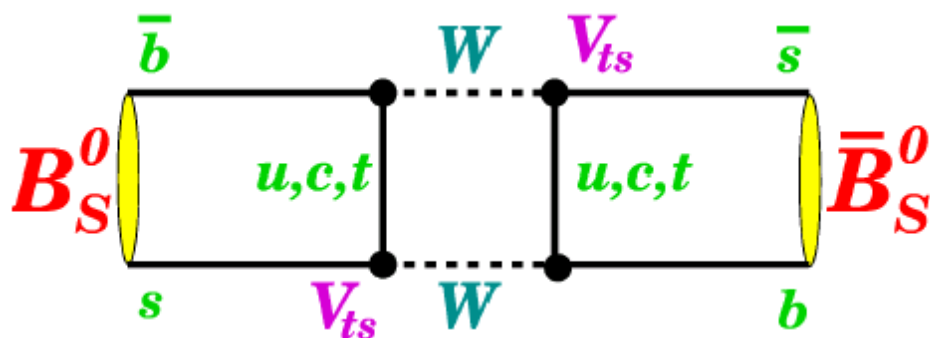


CDF/ANAL/BOTTOM/PUBLIC/9458

Version 1.0

August 7, 2008

It is interesting to note that the Belle and BABAR collaborations have observed an asymmetry between direct  $CP$  asymmetries of charged and neutral  $B \rightarrow K\pi$  decays with  $5\sigma$  significance [5, 6]. In the absence of an under-estimation of the contribution from color-suppressed tree decays, it is difficult to explain this discrepancy without some source of new physics contributing to the electroweak penguin which governs the  $b \rightarrow s$  transition. In the standard model, this isospin-violating diagram should be highly suppressed, but if a new source of physics is indeed present in these transitions it may be enough to cause the different  $CP$  asymmetries that have been observed. In the  $B_s^0 \rightarrow J/\psi\phi$  decay, the  $b \rightarrow s$  transition occurs through the mixing box diagram shown in Fig. 1. It is possible that new particles could enter this transition through the  $b \rightarrow s$  quark transition. While there are surely a number of possible sources of new physics that might give rise to such discrepancies, George Hou predicted the presence of a  $t'$  quark with mass between  $\sim 300$  and  $1,000 \text{ GeV}/c^2$  in order to explain the Belle result and predicted *a priori* the observation of a large  $CP$ -violating phase in  $B_s^0 \rightarrow J/\psi\phi$  decays [7, 8]. Another result of interest in the context of these measurements is the excess observed at  $\sim 350 \text{ GeV}/c^2$  in the recent  $t'$  search at CDF using  $2.3 \text{ fb}^{-1}$  of data [9]. In this direct search for a fourth generation up-type quark, a significance of less than  $2\sigma$  is obtained for the discrepancy between the data and the predicted backgrounds, so that the effect, while intriguing, is presently consistent with a statistical fluctuation. A updated search with more data would also clearly be of interest, particularly if a large value of  $\beta_s^{J/\psi\phi}$  persists with the addition of more data.



$$\sin 2\Phi_{B_s} = -\sin\beta_s = \sin\phi_s$$

# (Conservative) outlook

% of CDF 'clones' that would observe a  $5\sigma$ -effect, as a function of  $\beta_s$

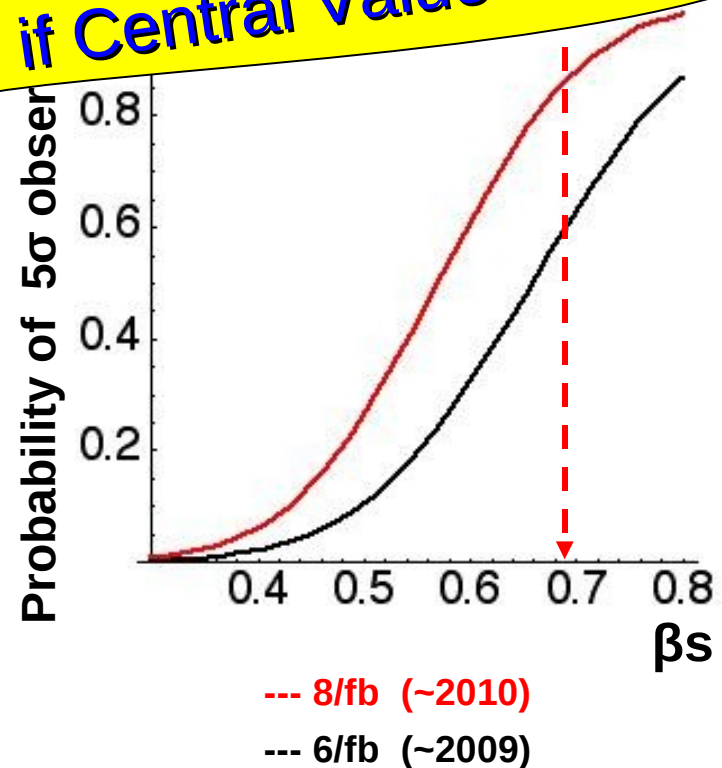
## Assumptions

- ✓  $\Delta\Gamma_s = 0.1$
- ✓ Constant  $\beta_s$  taking efficiency
- ✓ No analysis improvements.
- ✓ No external constraints ( $A_{SL}$ , lifetimes) used.

**Observation by 2010 if Central Value Stays!**

CDF future will probably be better than that.

And  $D\bar{0}$  will contribute too.





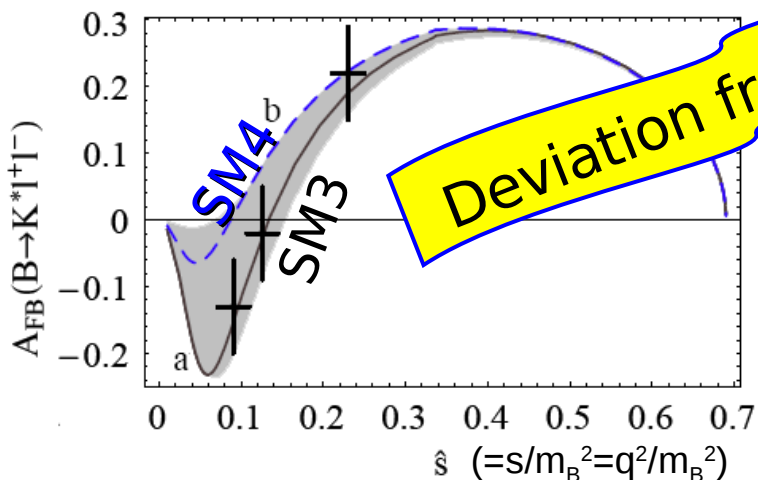
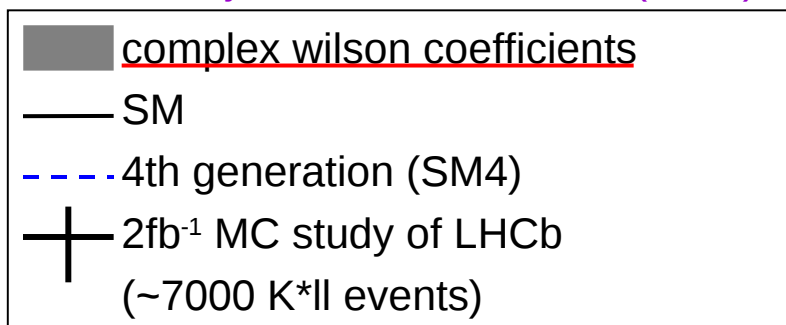
# $A_{FB}(B \rightarrow K^*l^+l^-)$ and Other Predictions

**sent to Backup**

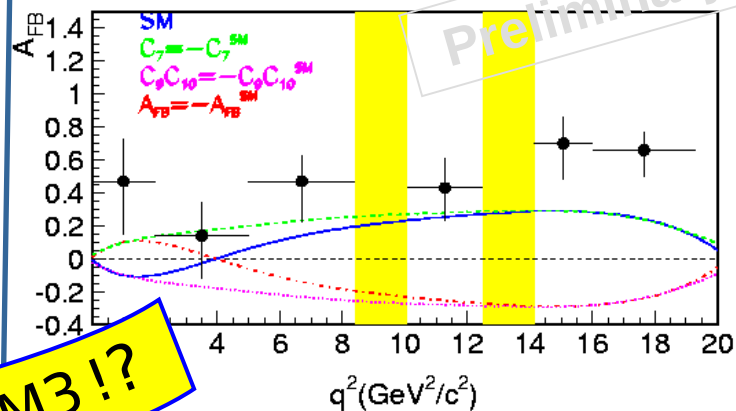
# Instead flipped $C_7 \dots$

$$\frac{dA_{FB}}{d\hat{s}} \propto - \left\{ \text{Re}(C_9^{eff} C_{10}) V A_1 + \frac{\hat{m}_b}{\hat{s}} \text{Re}(C_7^{eff} C_{10}) [V T_2 (1 - \hat{m}_{K^*}) + A_1 T_1 (1 + \hat{m}_{K^*})] \right\}$$

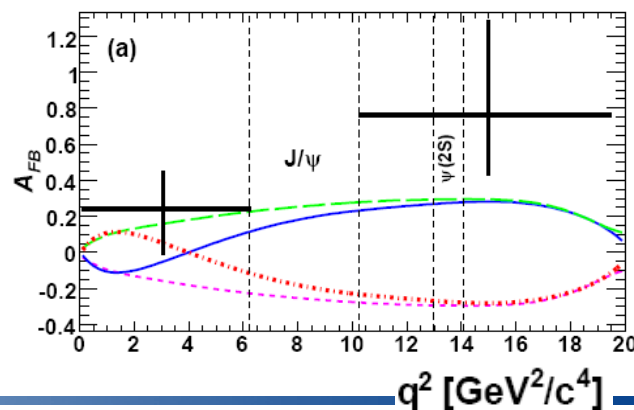
W.-S. Hou, A. Hovhannisyan, and N. Mahajan, PRD 77, 014016 (2008)



Belle 657M arXiv:0810.0335



BABAR, arXiv:0804.4412 386M





## IV. Soaring to the Heavens: Enough CPV for BAU?

If ... **KM4**





# B.A.U. from CPV in KM ?

$$\frac{n_B}{n_\gamma} \cong 0 \quad \frac{n_B}{n_\gamma} = (6.2 \pm 0.2) \times 10^{-10}$$

WMAP

$$\text{KM} \sim 10^{-20}$$

**Too Small in SM**

Why? Jarlskog Invariant in SM3

(need 3 generation in KM)

$$J = (m_t^2 - m_u^2)(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_b^2 - m_d^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2) A$$

Normalize by  $T \sim 100 \text{ GeV}$   $\rightarrow$

$$J/T^{12} \sim 10^{-20}$$

**masses too small!**

$A \sim 3 \times 10^{-5}$  is common (unique) area of triangle <sup>in SM</sup>

**CPV Phase**





# B.A.U. from CPV in KM

**Enough CPV?**

$$\frac{n_B}{n_\gamma} \cong 0$$

$$\frac{n_B}{n_\gamma} = (6.2 \pm 0.2) \times 10^{-10}$$

WMAP

KM

~~Too Small in SM~~

If shift by One Generation in SM4

(need 3 generation in KM)

$$J = (m_t^2 - m_u^2)(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_b^2 - m_d^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2) A$$

**Providence**

WSH, arXiv:0803.1234 [hep/ph]

$$J_{(2,3,4)}^{sb} \simeq (m_{t'}^2 - m_c^2)(m_{t'}^2 - m_t^2)(m_t^2 - m_c^2)(m_{b'}^2 - m_s^2)(m_{b'}^2 - m_b^2)(m_s^2 - m_d^2) A_{234}^{sb}$$

$$\sim \frac{m_{t'}^2}{m_c^2} \left( \frac{m_{t'}^2}{m_t^2} - 1 \right) \frac{m_{b'}^4}{m_b^2 m_s^2} \left( \frac{A_{234}^{sb}}{A} \right) J \sim 10^{+15} \text{ Gain}$$

Order 1 ~ 30

**Gain mostly in Large Yukawa Couplings !**

**Nature would likely use this !?**



The **Abyss** between CPV in SM3 vs BAU  
bridged in SM4 by **Heaviness of t'**  
and **b'**

Why wasn't this clearly  
pointed out in past 20 years?



# 4th Generation Still?



-  $N_\nu$  counting? 4th “neutrino” heavy

Massive neutrinos call for new Physics

- Disfavored by **EW Precision** (see e.g. J. Erler hep-ph/0604035; PDG06)

An extra generation of ordinary fermions is excluded at the 99.999% CL on the basis of the  $S$  parameter alone, corresponding to  $N_F = 2.81 \pm 0.24$  for the number of families. This result assumes that there are no new contributions to  $T$  or  $U$  and that the extra fermion families are degenerate. In principle this restriction can be relaxed if the extra fermions are non-degenerate.



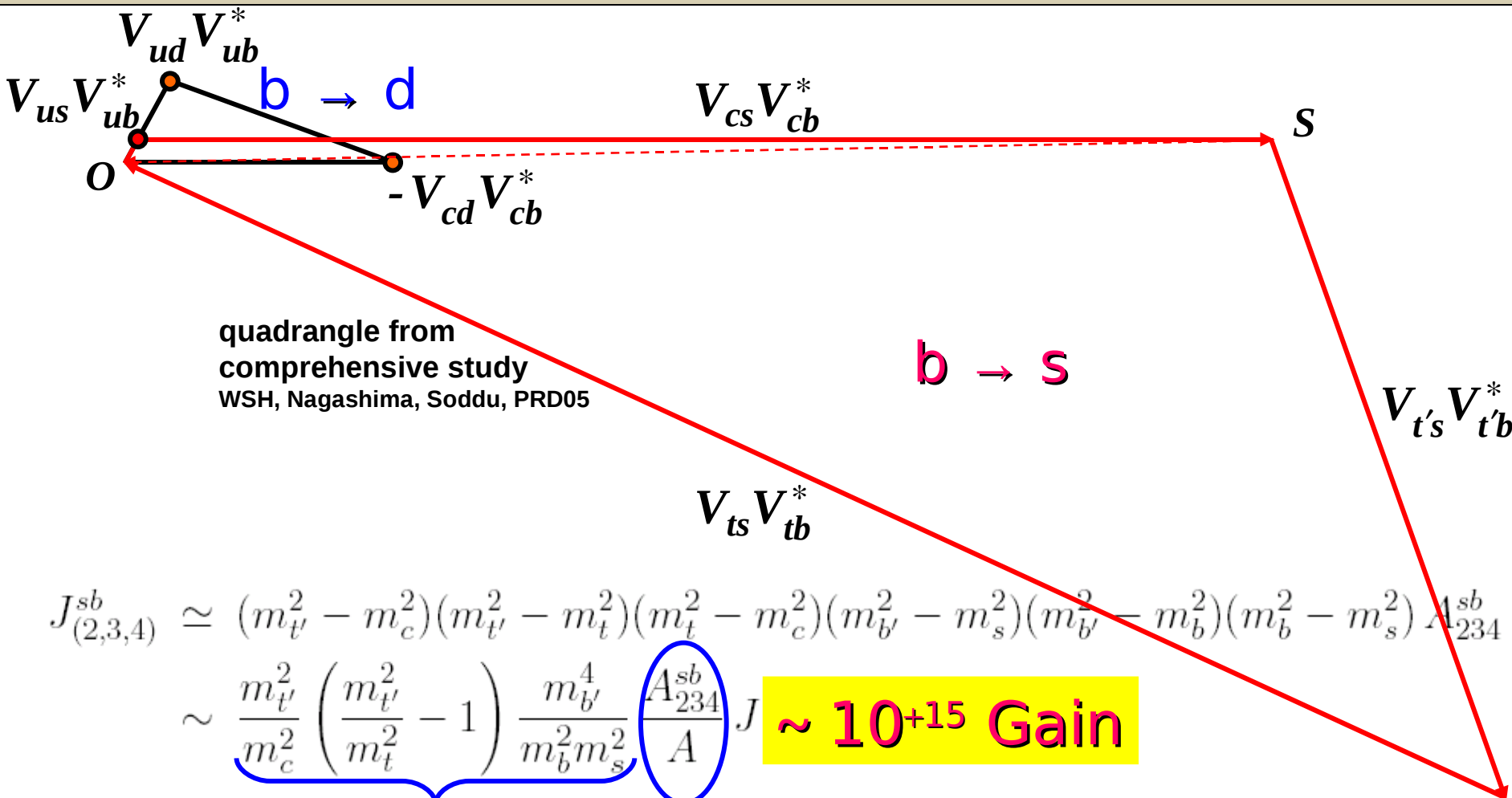
**(To Me) CPV Source for BAU Overrides These Concerns !**

July 12, 2006 *Constraints on new physics* 37  
... from a non-degenerate extra family. However, ... for  $T < 0$ , thus strengthening the exclusion limits. A more detailed ... required if the extra neutrino (or the extra down-type quark) is close to ... mass limit [208]. This can drive  $S$  to small or even negative values but at the expense of too-large contributions to  $T$ . These results are in agreement with a fit to the number of light neutrinos,  $N_\nu = 2.986 \pm 0.007$  (which favors a larger value for  $\alpha_s(M_Z) = 0.1231 \pm 0.0020$  mainly from  $R_\ell$  and  $\tau_\tau$ ). However, the  $S$  parameter fits are valid even for a very heavy fourth family neutrino.

• 4th generation **not** in such great conflict with EWPrT



# Gain mostly in Large Yukawa Couplings !



quadrangle from comprehensive study  
WSH, Nagashima, Soddu, PRD05

$$J_{(2,3,4)}^{sb} \simeq (m_{t'}^2 - m_c^2)(m_{t'}^2 - m_t^2)(m_t^2 - m_c^2)(m_{b'}^2 - m_s^2)(m_{b'}^2 - m_b^2)(m_b^2 - m_s^2) A_{234}^{sb}$$

$$\sim \frac{m_{t'}^2}{m_c^2} \left( \frac{m_{t'}^2}{m_t^2} - 1 \right) \frac{m_{b'}^4}{m_b^2 m_s^2} \left( \frac{A_{234}^{sb}}{A} \right) J \sim 10^{+15} \text{ Gain}$$

$m_{b'}, m_{t'} \cong 300\text{GeV}$   $10^{+13}$   
 $\sim 600\text{GeV}$   $10^{+15}$

Only fac. 30 in CPV per se  
 This part will shrink a bit.



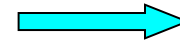
# CPV for BAU: 2-3-4 Dominance



Jarlskog'85, 3 generations

$$\text{Im det} \begin{bmatrix} m_u m_u^\dagger & \\ & m_d m_d^\dagger \end{bmatrix}$$

$S \quad S'$



Jarlskog'87,  $n$  generations

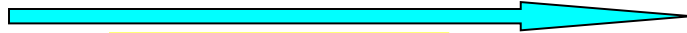
$$\text{Im tr}[S, S']^3$$

“3 cycles”

also Gronau, Kfir, Loewy '87

4 generations: 3 indep. phases

long and short



$d$ - $s$  degenerate

(on v.e.v. scale)

**2-3-4 generation only !**

Effectively 3 generations

$$J_{(2,3,4)}^{sb} \simeq (m_{t'}^2 - m_c^2)(m_{t'}^2 - m_t^2)(m_t^2 - m_c^2)(m_{b'}^2 - m_s^2)(m_{b'}^2 - m_b^2)(m_b^2 - m_s^2) A_{234}^{sb}$$

$$\sim \frac{m_{t'}^2}{m_c^2} \left( \frac{m_{t'}^2}{m_t^2} - 1 \right) \frac{m_{b'}^4}{m_b^2 m_s^2} \frac{A_{234}^{sb}}{A} J$$

$J(1,2,3)$  very small

suppressed by  $m_s, m_c$



# 1st Order EW Phase Trans. for BAU ?



0803.1234 will appear in Chin. J. Phys.

## Ran out of time, and knowledge ...

(perturbative)

- Fok & Kribs: Not possible in 4th generation
- Conjecture: Could Strong Yukawa's do it ?

PRD'08  
arXiv:0803.4207 [hep-ph]

**Beyond Unitarity Limit**

arXiv:0901.1962v1 [hep-ph]

### The strongly coupled fourth family and a first-order electroweak phase transition (I) quark sector

**Not quite conclusive (?)**

Yoshio Kikukawa,<sup>1,\*</sup> Masaya Kohda,<sup>2,†</sup> and Junichiro Yasuda<sup>3,‡</sup>

<sup>1</sup>*Institute of Physics, University of Tokyo Tokyo 153-8092, Japan*

<sup>2</sup>*Department of Physics, Nagoya University Nagoya 464-8602, Japan*

<sup>3</sup>*Center for the Studies of Higher Education, Nagoya University Nagoya 464-8601, Japan*

(Dated: January 14, 2009)

In models of dynamical electroweak symmetry breaking due to strongly coupled fourth-family quarks and leptons, their low-energy effective descriptions may involve multiple composite Higgs fields, leading to a possibility that the electroweak phase transition at finite temperature is first order due to the Coleman-Weinberg mechanism. We examine the behavior of the electroweak phase transition based on the effective renormalizable Yukawa theory which consists of the fourth-family quarks and two SU(2)-doublet Higgs fields corresponding to the bilinear operators of the fourth-family quarks with/without imposing the compositeness condition. The strength of the first-order



# Thoughts on the other 1/2 Nobel Prize



SSB

"for the **discovery** of the **mechanism of spontaneous broken symmetry** in subatomic physics"



Photo: University of Chicago

**Yoichiro Nambu**

1/2 of the prize

USA

Enrico Fermi Institute,  
University of Chicago  
Chicago, IL, USA

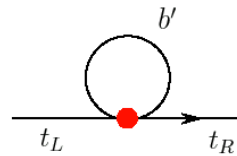
b. 1921  
(in Tokyo, Japan)

## $\langle \bar{Q}Q \rangle$ can Condense by Large Yukawa !

Could EWSB be  
due to  $b'$  and  $t'$   
above unitarity bound  $\sim 500\text{-}600$  GeV ?

Bob Holdom:  
[Bardeen, Hill, Lindner

N-J-L



Gustavo Burdman: "Holographic" 4th gen.





## V. Direct Sighting @ Tevatron vs LHC

the Experimentalist





# Tevatron/LHC Verification

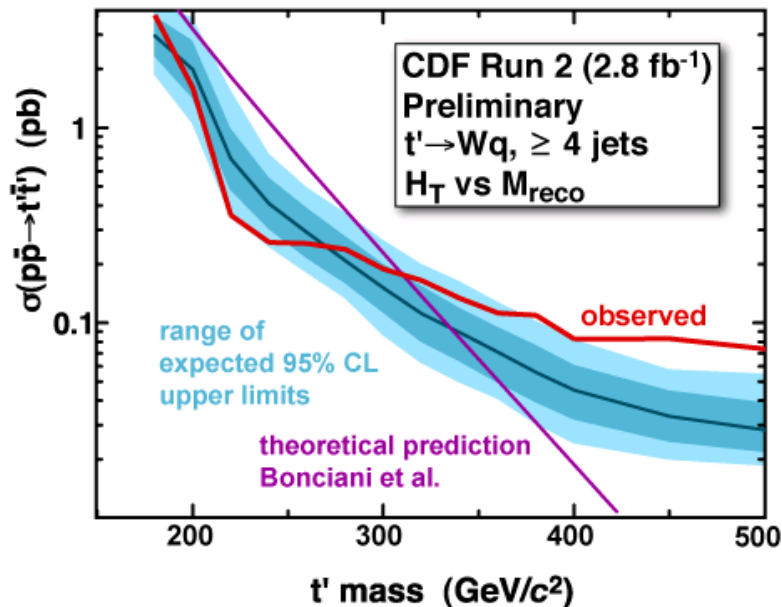


## Tevatron **Unequivocal BSM** ... if true

- $\sin 2\Phi_{B_s}$  “Evidence” by 2009 ?

“Observe” by 2010 ?

- $t'$  Search Ongoing:  
 $m_{t'} > 311 \text{ GeV} @ 95\% \text{ CL}$



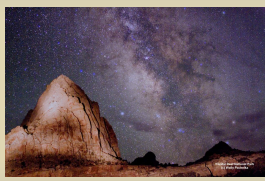
## LHC

- $\sin 2\Phi_{B_s}$  “Confirmation” — “Easy” for LHCb

But when ?

- $b', t'$  Discovery — Straightforward/full terrain

Glimpse of agenda at CMS



# Sighting



Vision ~ Early '06

**4<sup>th</sup> generation?** — The jury is out ...

In era of LHC, can **Directly Search for  $b'$ ,  $t'$**   
**Once and For All !**

**Find  $b'$ ,  $t'$ , or Rule Out @ LHC**

**It's a Duty.**

## **Strategy Considerations ( 漢中策略 )**

- Well ~~well~~ **well-organized** working ground — **All Tools**
  - ☞ **Move on to Greener Pastures ~ in 2 years**
- ~~publish early~~ **Publish early** Large Cross Section
  - If “Limits”, then easy to publish
  - If “Signal”, Lucked Out!



# b' Signatures



For  $m_{b'} < m_t + M_W = 255 \text{ GeV}$

$b' \rightarrow cW$  dominance for sizable  $V_{cb'}$   
 $b' \rightarrow tW^*$  dominance for suppressed  $V_{cb'}$

$b' \rightarrow tW^*$  kinematic suppressed for  $m_{b'} \lesssim 230 \text{ GeV}$

Initial discovery should consider

$b' \rightarrow cW \sim b' \rightarrow bZ, bH \sim b' \rightarrow tW^*$

**Rich Signature**

$cc(\bar{c})WW; cWbZ; cWbH;$   
 $tc(\bar{c})WW^*;$   
 $tt(\bar{t})W^*W^*; tW^*bZ; tW^*bH;$

**Bonus !!**

For  $m_{b'} > m_t + M_W = 255 \text{ GeV}$

$b' \rightarrow tW$  dominance; FCNC searchable

$tt(\bar{t})WW \rightarrow bb(\bar{b})W^+W^-W^+W^-$

**Heavy Q related To EWSB ?**

**4 W's + 2b's**



Available on the CMS information server

CMS PAS EXO-08-09

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# CMS Physics Analysis Summary

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2008/08/29

Search for Heavy Bottom-like **Fourth Generation Quark**  
Pair at CMS in  $pp$  Collisions at  $\sqrt{s} = 14$  TeV

The CMS collaboration



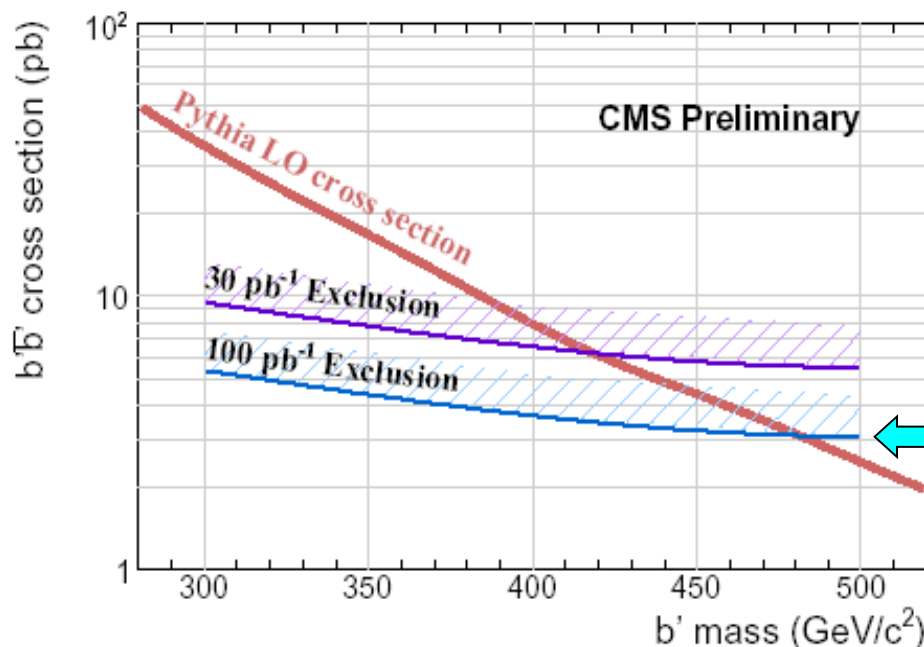
$$pp \rightarrow b'\bar{b}' \rightarrow t\bar{t}W^+W^-$$

100 pb<sup>-1</sup>



## same-sign dilepton and trilepton

$b'$ Mass	300 GeV/ $c^2$	400 GeV/ $c^2$	500 GeV/ $c^2$
$b'\bar{b}'$ LO cross section	34.9 pb	8.05 pb	2.45 pb
Expected signal yield	68.2	22.2	8.0
Expected background yield		7.3 <sup>+10.5</sup> <sub>-4.8</sub>	
$S_{12}$	7.5 $\sigma$	2.0 $\sigma$	0.0 $\sigma$
$S_{cP}$	N/A	2.1 $\sigma$	0.0 $\sigma$



Limit to 480 GeV  
w/ 100 pb<sup>-1</sup>



# VI. Conclusion: Know in 3-5 Years



$$J_{(2,3,4)}^{sb} \simeq (m_{t'}^2 - m_c^2)(m_{t'}^2 - m_t^2)(m_t^2 - m_c^2)(m_{b'}^2 - m_s^2)(m_{b'}^2 - m_b^2)(m_b^2 - m_s^2) A_{234}^{sb}$$

$$\sim \underbrace{\frac{m_{t'}^2}{m_c^2} \left( \frac{m_{t'}^2}{m_t^2} - 1 \right) \frac{m_{b'}^4}{m_b^2 m_s^2}}_{\text{Even if } O(1)} \left( \frac{A_{234}^{sb}}{A} \right) J \sim 10^{+15} \text{ Gain}$$

$$m_{b'}, m_{t'} \simeq 300 \text{ GeV} \quad 10^{+13}$$

$$\sim 600 \text{ GeV} \quad 10^{+15}$$

Even if  $O(1)$

Enough CPV  
for B.A.U.

Maybe there is a 4th Generation !

$\sin 2\Phi_{B_s}$   
@ Tevatron  
by 2010

Will Really Know in  $\sim 3-5$  years ! @ **LHC**



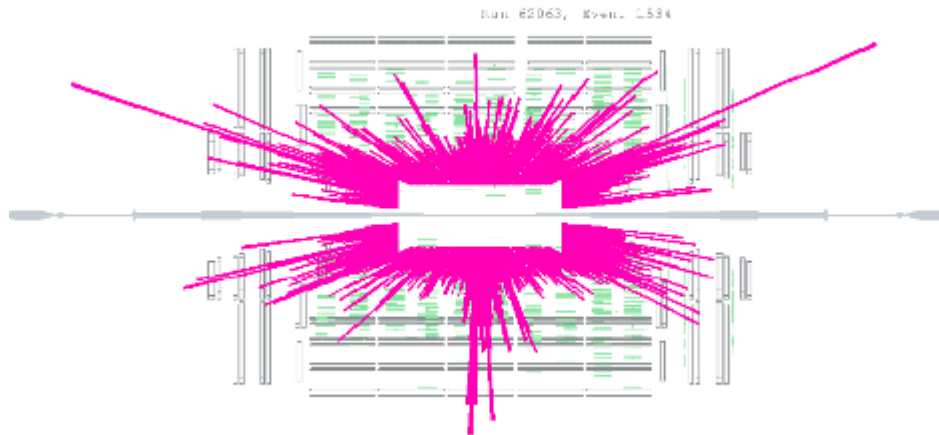
## Heaven on Earth?

# Universe (Genesis)

CPV



BAU



Earth (EW + KM4)

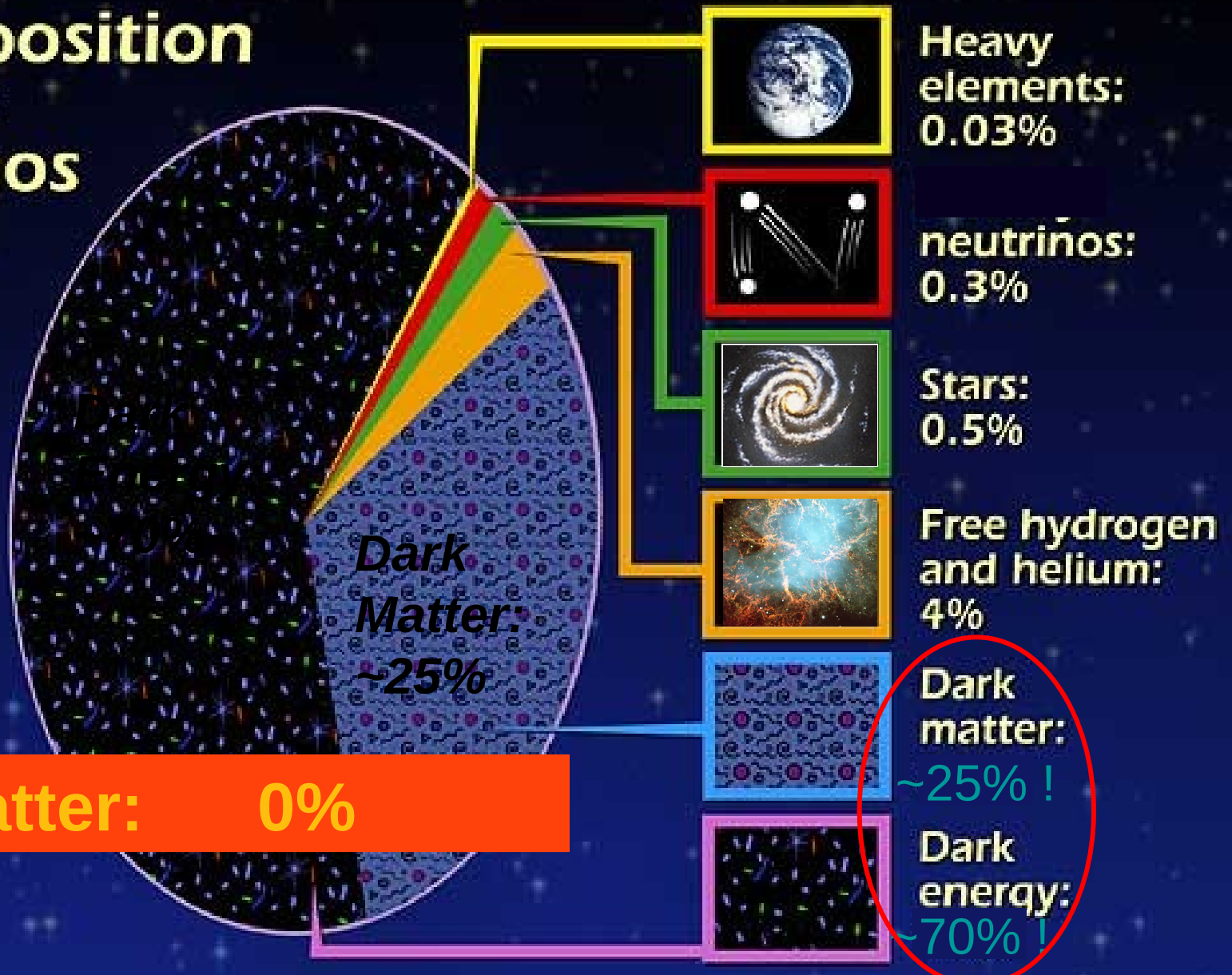




# Backup

# Matter (and More !!) Universe: No Antimatter

## Composition of the Cosmos





# Heavenly TH



“Affleck-Dine”, SUSY etc.:

Extra S **Scalars** (strongly) coupled to  $H^0$   
More Scalars!

Let's first find One Scalar.

Leptogenesis:

Heavy **Majorana Neutrinos**

⊕ LFV/CPV Decay

⊕ **B/L Violation** (“EW Baryogenesis”)

Popular! Driving  $\theta_{13}$  study for neutrinos.

But, “Heavenly” — Could be(come) Metaphysics



# $i$ in Dynamics: Source of CPV

ElectroMagnetism:

(everyone can feel)

Charge  $e$  is **Real**.

“We” Understand: *Gauge* Charge is Real.

**Imagine** a ~~Complex Coupling~~ :

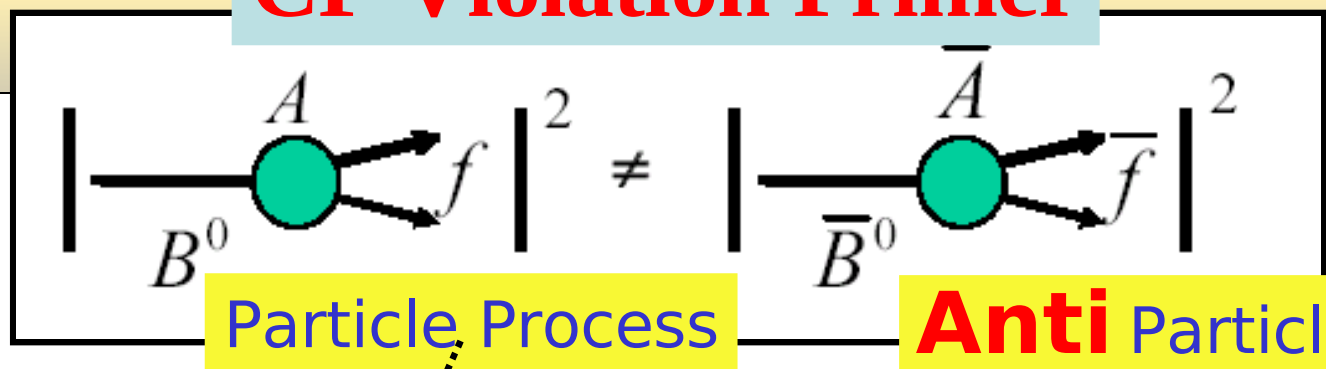
True, or, Possible, for **Yukawa** ( 湯川 ) **Coupling** of quarks/leptons to Higgs boson(s)...

**Quantum Interference** in **Amplitude** More Interesting

⇒ **How CP Violation Appears**

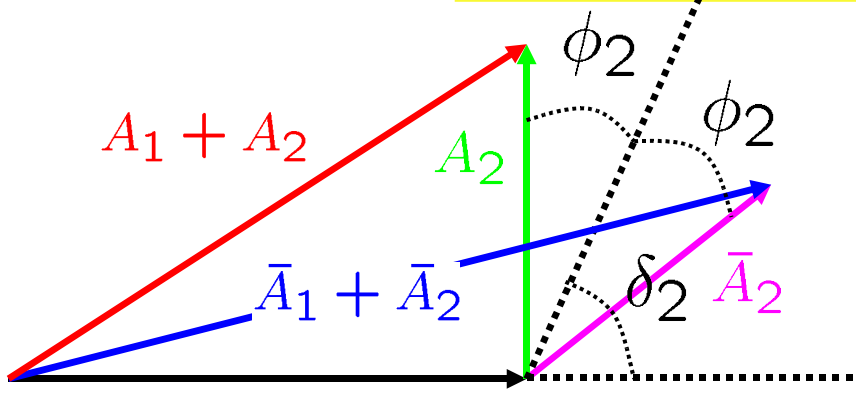


# CP Violation Primer



Particle Process

Anti Particle Process



$$A = A_1 + A_2 = a_1 + a_2 e^{i\delta_2} e^{i\phi_2}$$

$$\bar{A} = \bar{A}_1 + \bar{A}_2 = a_1 + a_2 e^{i\delta_2} e^{-i\phi_2}$$

$$A_1 = \bar{A}_1$$

$$A_{CP} = \frac{\Gamma(\bar{B}^0 \rightarrow \bar{f}) - \Gamma(B^0 \rightarrow f)}{\Gamma(\bar{B}^0 \rightarrow \bar{f}) + \Gamma(B^0 \rightarrow f)} = \frac{2a_1 a_2 \sin \phi_2 \sin \delta_2}{a_1^2 + a_2^2 + 2a_1 a_2 + 2a_1 a_2 \cos \phi_2 \cos \delta_2}$$

CP Asymmetry needs both CP Conserv/Violating Phase

i<sub>QM</sub>

i<sub>dyn</sub>



$$\Delta A_{K\pi} = A_{B \rightarrow K^+ \pi^0} - A_{B \rightarrow K^+ \pi^-} \neq 0$$

World



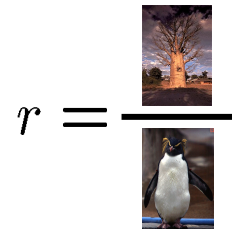
$$= +0.147 \pm 0.028 > 5\sigma \quad \text{Experiment is Firm}$$

## Why a Puzzle ?

$\Delta A_{K\pi} \sim 0$  expected

$$\mathcal{M}(B^0 \rightarrow K^+ \pi^-) \propto (T + P) = r e^{i\phi_3} + e^{i\delta}$$

$$\sqrt{2} \mathcal{M}_{K^+ \pi^0} - \mathcal{M}_{K^+ \pi^-} \propto (P_{EW} + C) ?$$



Large C ?

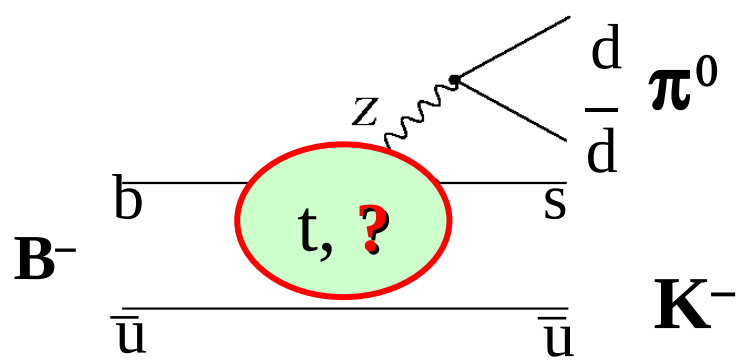
→ A lot of (hadronic) finesse

Baek, London, PLB653, 249 (2007)

Large EW Penguin ?

→ Need NP CPV Phase

$P_{EW}$  has practically no weak phase in SM





$$\Delta A_{K\pi} = A_{B \rightarrow K^+ \pi^0} - A_{B \rightarrow K^+ \pi^-} \neq 0$$

World



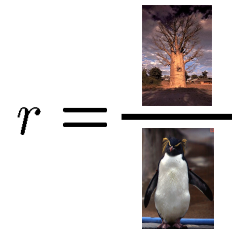
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Large C ?

➔ A lot of (hadronic) finesse

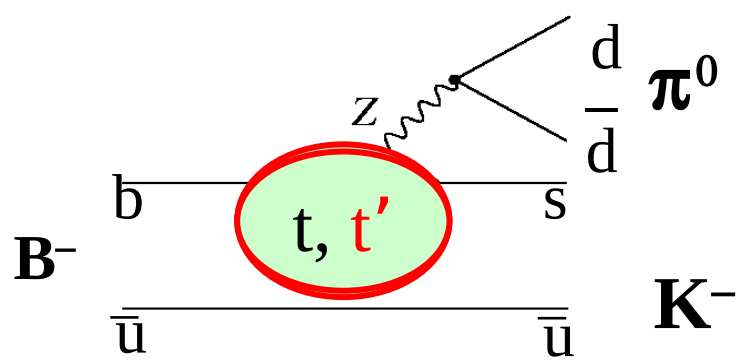
Baek, London, PLB653, 249 (2007)

Large EW Penguin ?

➔ Need NP CPV Phase

$P_{EW}$  has practically no weak phase in

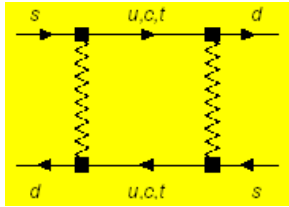
4th Gen. in EWP Natural



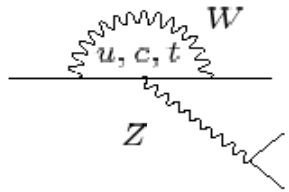
nondecoupling



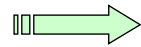
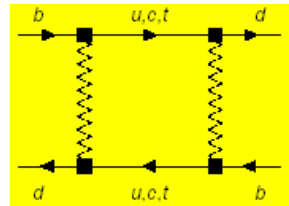
# On Boxes and Z Penguins



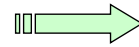
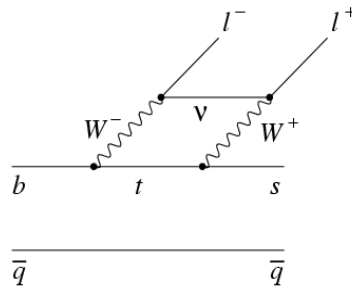
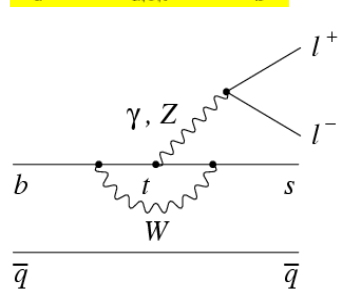
GIM, charm,  $\epsilon_K$



small  $\epsilon'/\epsilon$ ,  $K \rightarrow \pi\nu\nu$  (still waiting)



heavy top,  $\sin 2\phi_1/\beta$



Z dominance for heavy top

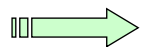
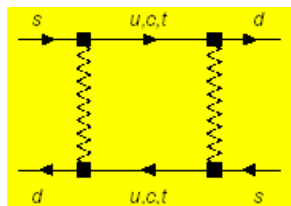
1986  $\rightarrow$  2002

Most Flavor/CPV learned from these diagrams/processes





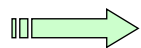
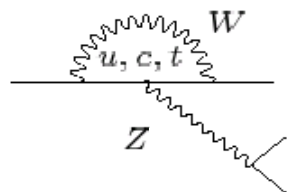
# On Boxes and Z Penguins



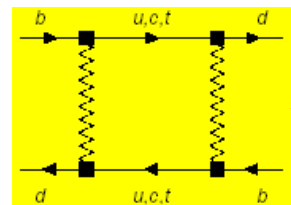
GIM, charm,  $\varepsilon_K$

Nondecoupling

Large Yukawa!

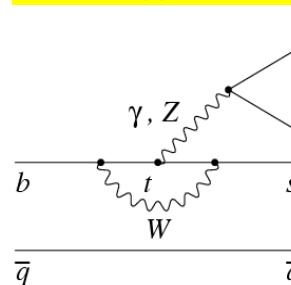


small  $\varepsilon'/\varepsilon$ ,  $K \rightarrow \pi\nu\nu$  (still waiting)

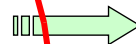
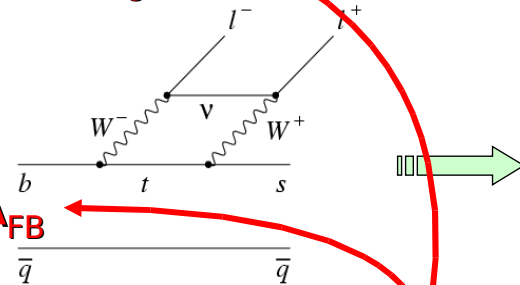


heavy top,  $\sin 2\phi_1/\beta$

$B_s$



$A_{FB}$



Z dominance for heavy top

1986  $\rightarrow$  2002

All w/ 3-generations,  
Just wait if there's a 4th

D!

$b'$ ,  $t'$  @ LHC



# 4 x 4 Unitarity $\Rightarrow$ Constraints



	<i>d</i>	<i>s</i>	<i>b</i>	<i>b'</i>
<i>u</i>	$c_{12} c_{13} c_{14}$ $-c_{13} s_{12} s_{14} s_{24} \exp[-i(\phi_{db} - \phi_{sb})]$ $-c_{24} s_{13} s_{14} s_{34} \exp[-i(\phi_{db} + \phi_{ub})]$	$c_{13} c_{24} s_{12}$ $-s_{13} s_{24} s_{34} \exp[-i(\phi_{sb} + \phi_{ub})]$	$c_{34} s_{13} \exp[-i\phi_{ub}]$	$c_{12} c_{13} s_{14} \exp[i\phi_{db}]$ $+c_{13} c_{14} s_{12} s_{24} \exp[i\phi_{sb}]$ $+c_{14} c_{24} s_{13} s_{34} \exp[-i\phi_{ub}]$
<i>c</i>	$-c_{14} c_{23} s_{12}$ $-c_{12} c_{14} s_{13} s_{23} \exp[i\phi_{ub}]$ $-c_{12} c_{23} s_{14} s_{24} \exp[-i(\phi_{db} - \phi_{sb})]$ $+s_{12} s_{13} s_{14} s_{23} s_{24} \exp[-i(\phi_{db} - \phi_{sb} - i\phi_{ub})]$ $-c_{13} c_{24} s_{14} s_{23} s_{34} \exp[-i\phi_{db}]$	$c_{12} c_{23} c_{24}$ $-c_{24} s_{12} s_{13} s_{23} \exp[i\phi_{ub}]$ $-c_{13} s_{23} s_{24} s_{34} \exp[-i\phi_{sb}]$	$c_{13} c_{34} s_{23}$	$-c_{23} s_{12} s_{14} \exp[i\phi_{db}]$ $-c_{12} s_{13} s_{14} s_{23} \exp[i(\phi_{db} + \phi_{ub})]$ $+c_{12} c_{14} c_{23} s_{24} \exp[i\phi_{sb}]$ $-c_{14} s_{12} s_{13} s_{23} s_{24} \exp[i(\phi_{sb} + \phi_{ub})]$ $+c_{13} c_{14} c_{24} s_{23} s_{34}$
<i>t</i>	$-c_{12} c_{14} c_{23} s_{13} \exp[i\phi_{ub}]$ $+c_{14} s_{12} s_{23}$ $+c_{23} s_{12} s_{13} s_{14} s_{24} \exp[-i(\phi_{db} - \phi_{sb} - i\phi_{ub})]$ $+c_{12} s_{14} s_{23} s_{24} \exp[-i(\phi_{db} - \phi_{sb})]$ $-c_{13} c_{23} c_{24} s_{14} s_{34} \exp[-i\phi_{db}]$	$-c_{23} c_{24} s_{12} s_{13} \exp[i\phi_{ub}]$ $-c_{12} c_{24} s_{23}$ $-c_{13} c_{23} s_{24} s_{34} \exp[i\phi_{sb}]$	$c_{13} c_{23} c_{34}$	$-c_{12} c_{23} s_{13} s_{14} \exp[i(\phi_{db} + \phi_{ub})]$ $+s_{12} s_{14} s_{23} \exp[i\phi_{db}]$ $-c_{14} c_{23} s_{12} s_{13} s_{24} \exp[i(\phi_{sb} + \phi_{ub})]$ $-c_{12} c_{14} s_{23} s_{24} \exp[i\phi_{sb}]$ $+c_{13} c_{14} c_{23} c_{24} s_{34}$
<i>t'</i>	$-c_{24} c_{34} s_{14} \exp[-i\phi_{db}]$	$-c_{34} s_{24} \exp[-i\phi_{sb}]$	$-s_{34}$	$c_{14} c_{24} c_{34}$

SM3

We need to deal with mixing matrix in detail to keep **Unitarity**

$$V_{t's}^* V_{t'd} = c_{24} c_{34}^2 s_{14} s_{24} e^{i(\phi_{sb} - \phi_{db})}$$

Kaon

$$\equiv r_{ds} e^{i\phi_{ds}}$$

$$V_{t's}^* V_{t'b} = c_{34} s_{24} s_{34} e^{i\phi_{sb}}$$

$b \rightarrow s$

$$\equiv r_{sb}$$

$$V_{t'd}^* V_{t'b} = c_{24} c_{34} s_{14} s_{34} e^{i\phi_{db}} = \frac{r_{ds} s_{34}^2}{r_{sb}} e^{i\phi_{db}}$$

Cross Check !

$\Gamma(Z \rightarrow \text{hadrons})$

$b \rightarrow d$

impose  $s_{34} = 0.22 \simeq V_{us}$

$$|V_{tb}|^2 + 3.4|V_{t'b}|^2 < 1.14 \text{ for } m_{t'} = 300 \text{ GeV} \Rightarrow s_{34} < 0.25$$

From  $b \rightarrow s$  study

$$r_{sb} e^{i\phi_{sb}} \simeq 0.025 e^{i70^\circ}$$

# Constrain $s \leftrightarrow d$ from $K$ Physics

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (14.7_{-8.9}^{+13.0}) \cdot 10^{-11}$$

$$BR(K_L \rightarrow \mu^+ \mu^-)_{SD} < 3.75 \cdot 10^{-9}$$

$$\epsilon_K = (2.284 \pm 2 \times 0.014) \cdot 10^{-3}$$

$$\frac{\epsilon'}{\epsilon} = (16.6 \pm 2 \times 1.6) \cdot 10^{-4}$$

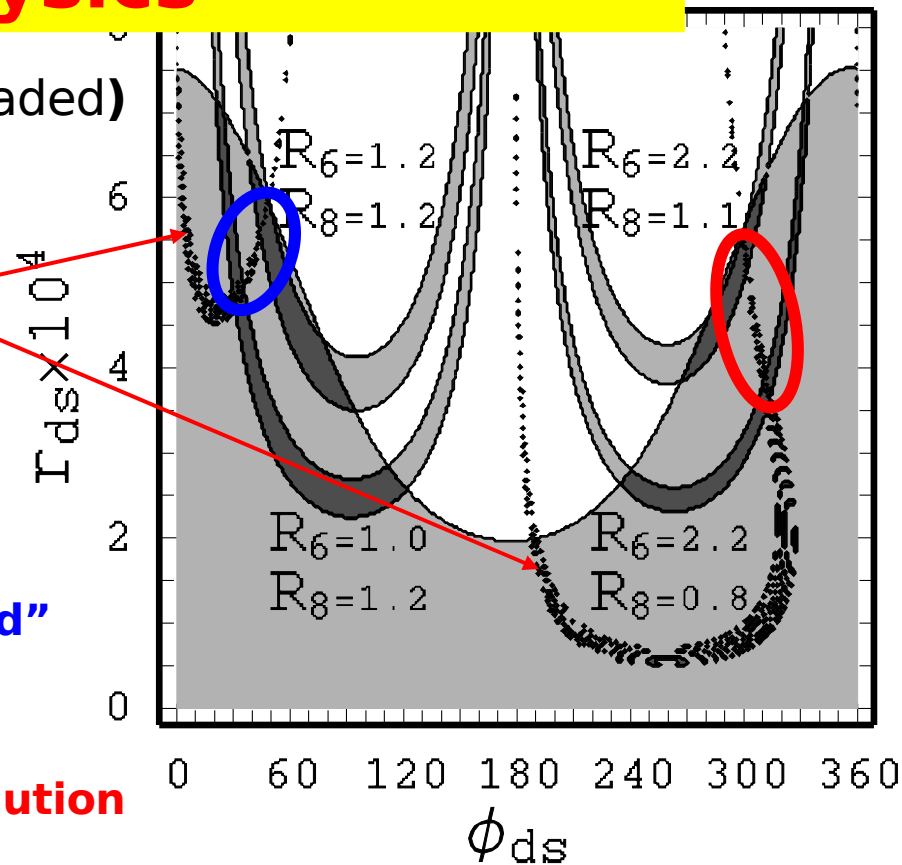
$$R_6 = 1.2 \quad (\text{E. Pallante et al.})$$

$$R_8 = 0.7 - 1.3 \quad \text{“Standard”}$$

$$R_6 = 2.2 \quad (\text{J. Bijnens et al.})$$

$$R_8 = 0.8 - 1.4 \quad \text{No SM3 solution}$$

(shaded)



Therefore....

$$r_{ds} \sim 5 \times 10^{-4}, \quad \phi_{ds} \sim -60^\circ \text{ or } +35^\circ$$

well-satisfy  $\Delta m_{B_d}$  and  $\sin 2\phi_1$ !

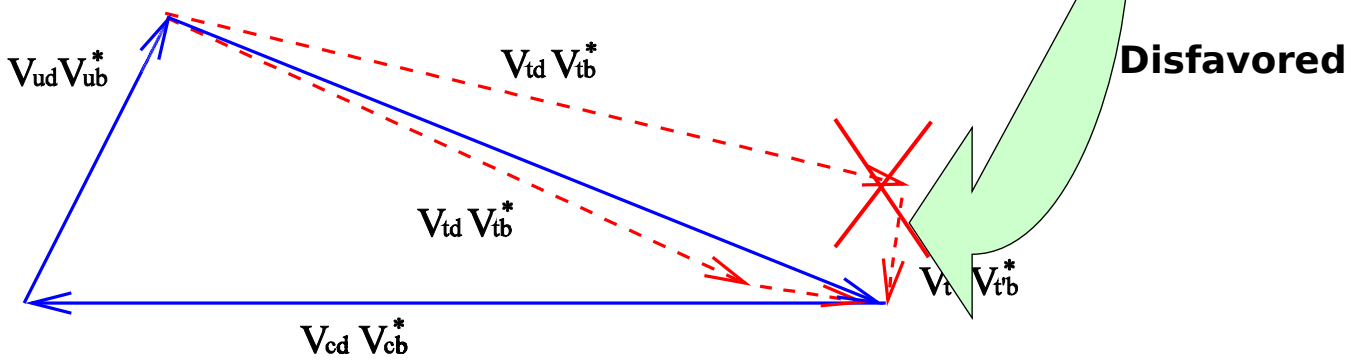
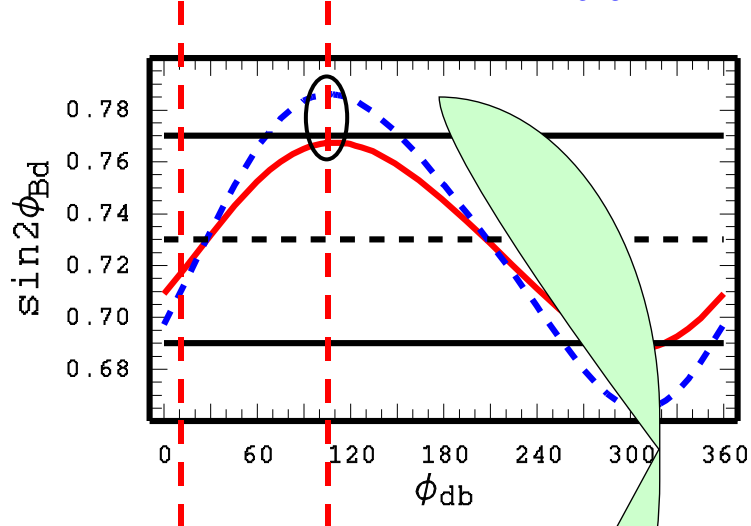
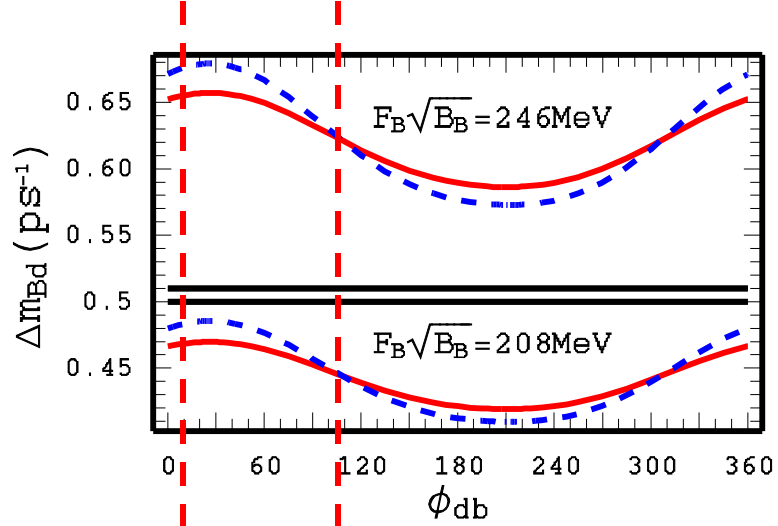


$$r_{ds} \sim 5 \times 10^{-4}, \quad \phi_{ds} \sim -60^\circ \text{ or } +35^\circ$$

$$r_{db} \sim 1 \times 10^{-3}, \quad \phi_{db} \sim 10^\circ \text{ (} 105^\circ \text{)}$$



well-satisfy  $\Delta m_{B_d}$  and  $\sin 2\phi_1$  vs  $V_{ub} \sim 0.01 e^{-i\gamma}$



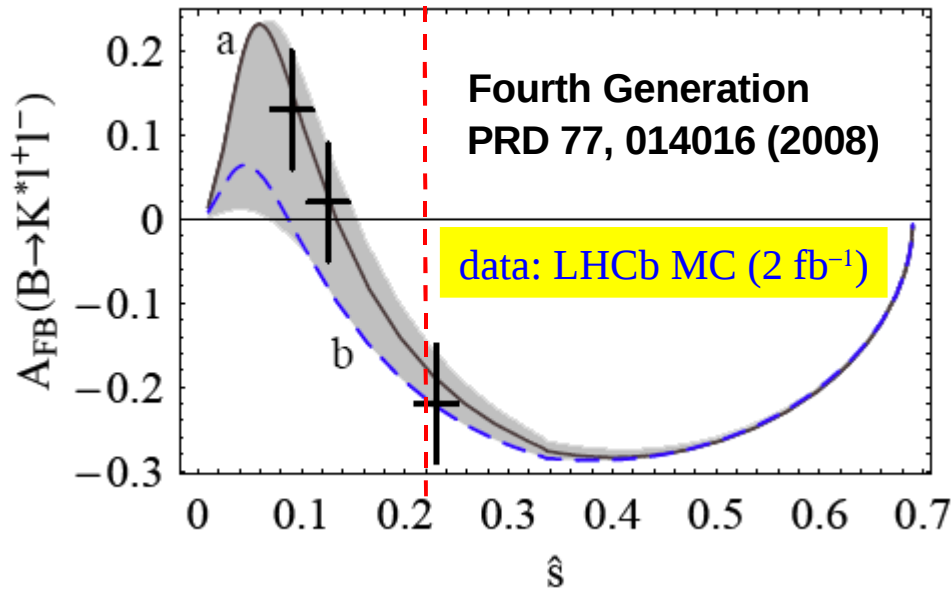
**Hard to tell apart (non-trivial) with present precision**  
**∴ stringent  $s \rightarrow d$**



# $A_{FB}(B \rightarrow K^*l^+l^-)$ and Other Predictions

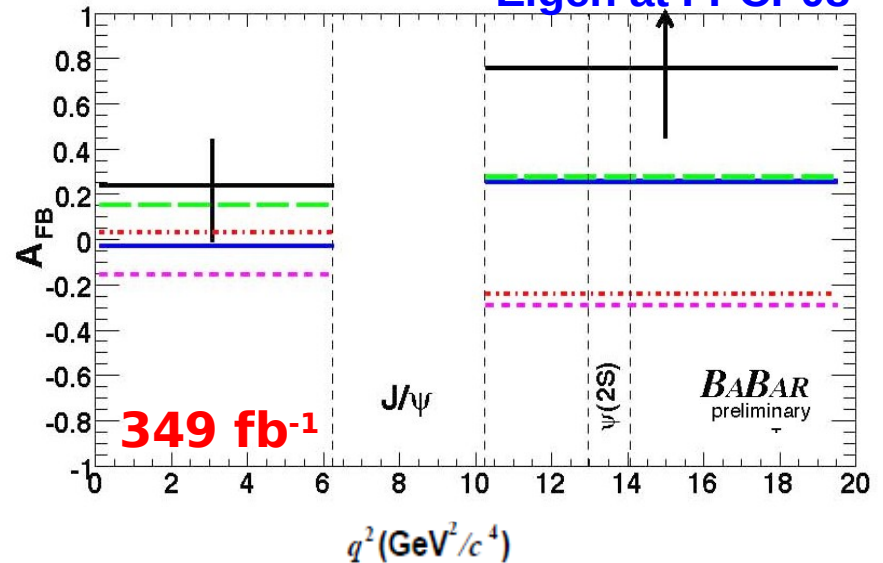
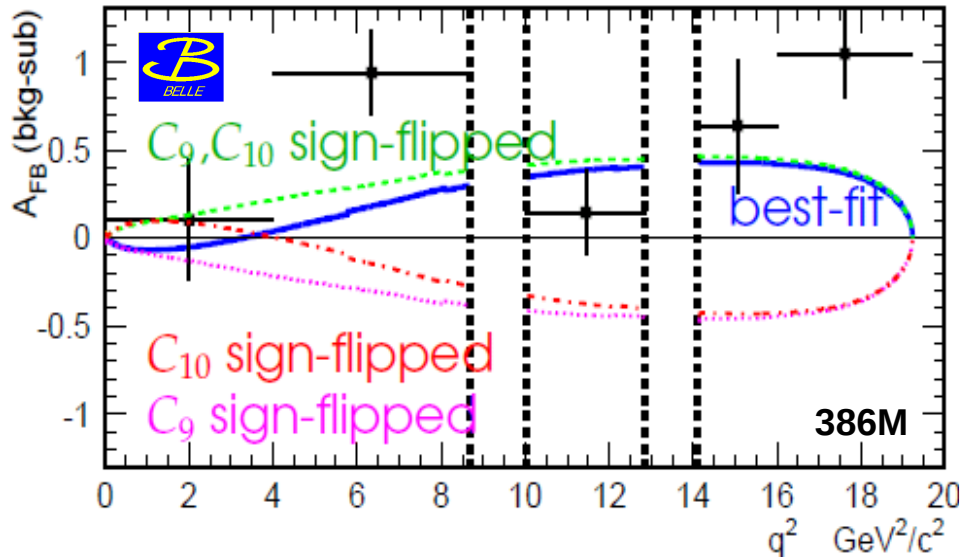
**sent to Backup**

Quoted by **Tsybychev at FPCP08**



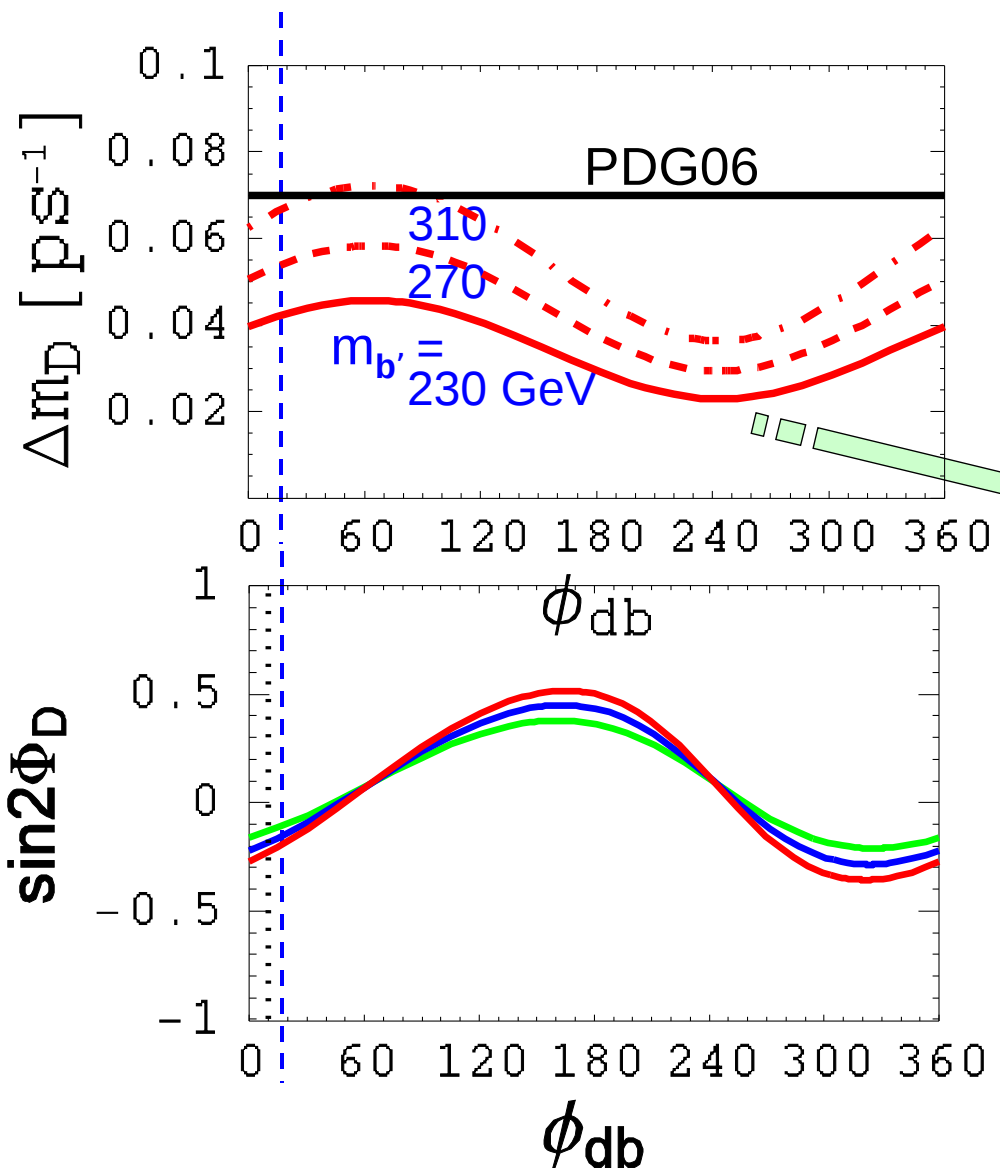
a: SM; b: **4 Gen.**  
better

□ ( $F_L$  and)  $A_{FB}$  (and  $A_l$ ) favor the “opposite-sign  $C_7$  model”  
Eigen at FPCP08





# D Mixing (Short-distance Only)



$$f_D \sqrt{B_D} = 200 \text{ MeV}$$

$$V_{t'd}^* V_{t'b} \equiv r_{db} e^{i\phi_{db}}$$

From 4 x 4 Unitarity

$$V_{ub'} V_{cb'}^*$$

$x = \Delta m/\Gamma \sim 1 - 3$  plausible

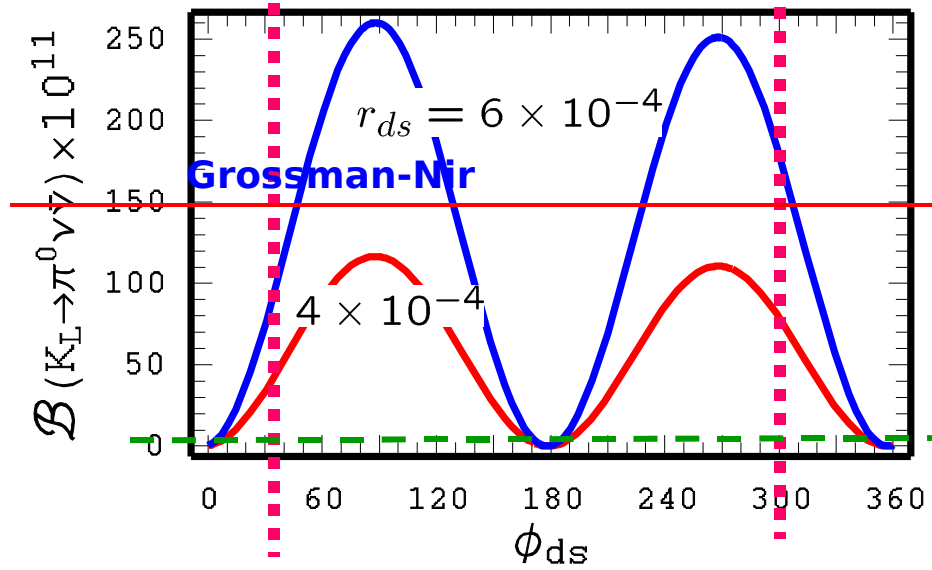
w/ Sizable (but not huge)  
CPV in Mixing  $\sim -15\%$

N.B. SM LD could generate  
 $y \sim 1\%$ ,  $x \approx y$   
[Falk, Grossman, Ligeti, (Nir,) Petrov]



# Implication for $\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu})$

Nontrivial (phase)  $V_{t'd}^* V_{t's}$



Current E391A U.L.

$2.86 \times 10^{-7}$  (90% c.l.)

Very hard to measure

$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \simeq 3 \times 10^{-11}$

SM3

**Rate could be enhanced by up to almost two orders !!**

$K_L \rightarrow \pi^0 \nu \bar{\nu}$  enhanced to  $5 \times 10^{-10}$  or even higher !!

In general larger than  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  ( $2-3 \times 10^{-10}$ )

**∴ Large CPV Phase**

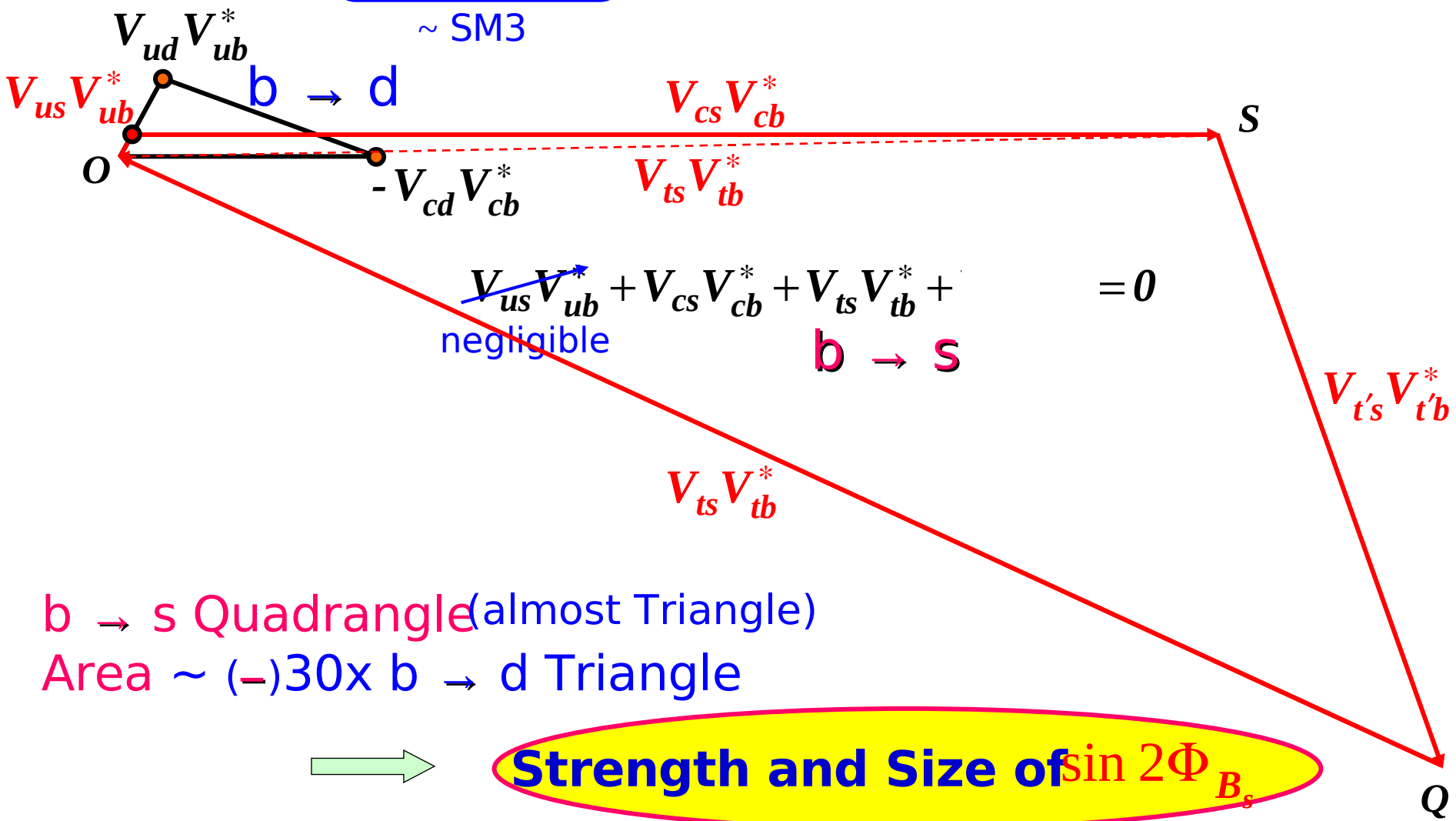




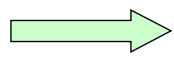
**$b \rightarrow d$  "Triangle" and  $b \rightarrow s$  Quadrangle**



$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + \underbrace{V_{td} V_{tb}^* + V_{t'd} V_{t'b}^*}_{\sim \text{SM3}} = 0$$



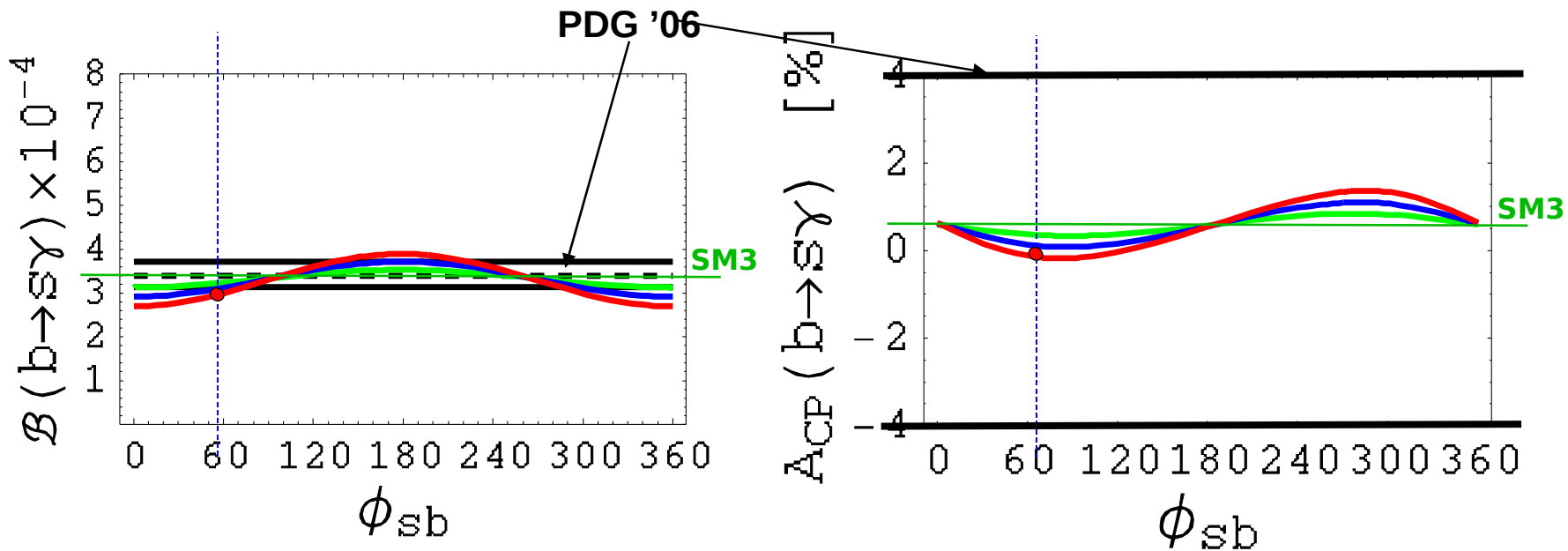
$b \rightarrow s$  Quadrangle (almost Triangle)  
 Area  $\sim (-)30x$   $b \rightarrow d$  Triangle



**Strength and Size of  $\sin 2\Phi_{B_s}$**



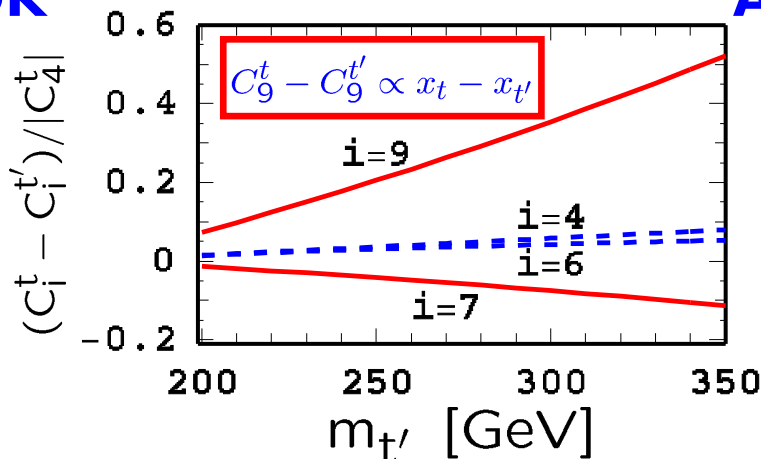
# Consistency and $b \rightarrow s\gamma$ Predictions



**BR OK**

**$A_{CP} \sim 0$  far away**

Heavy  $t'$  effect decoupled for  $b \rightarrow s\gamma$



beyond SuperB



# The Eureka Moment



Large  $t$ ,  $t'$  Yukawa

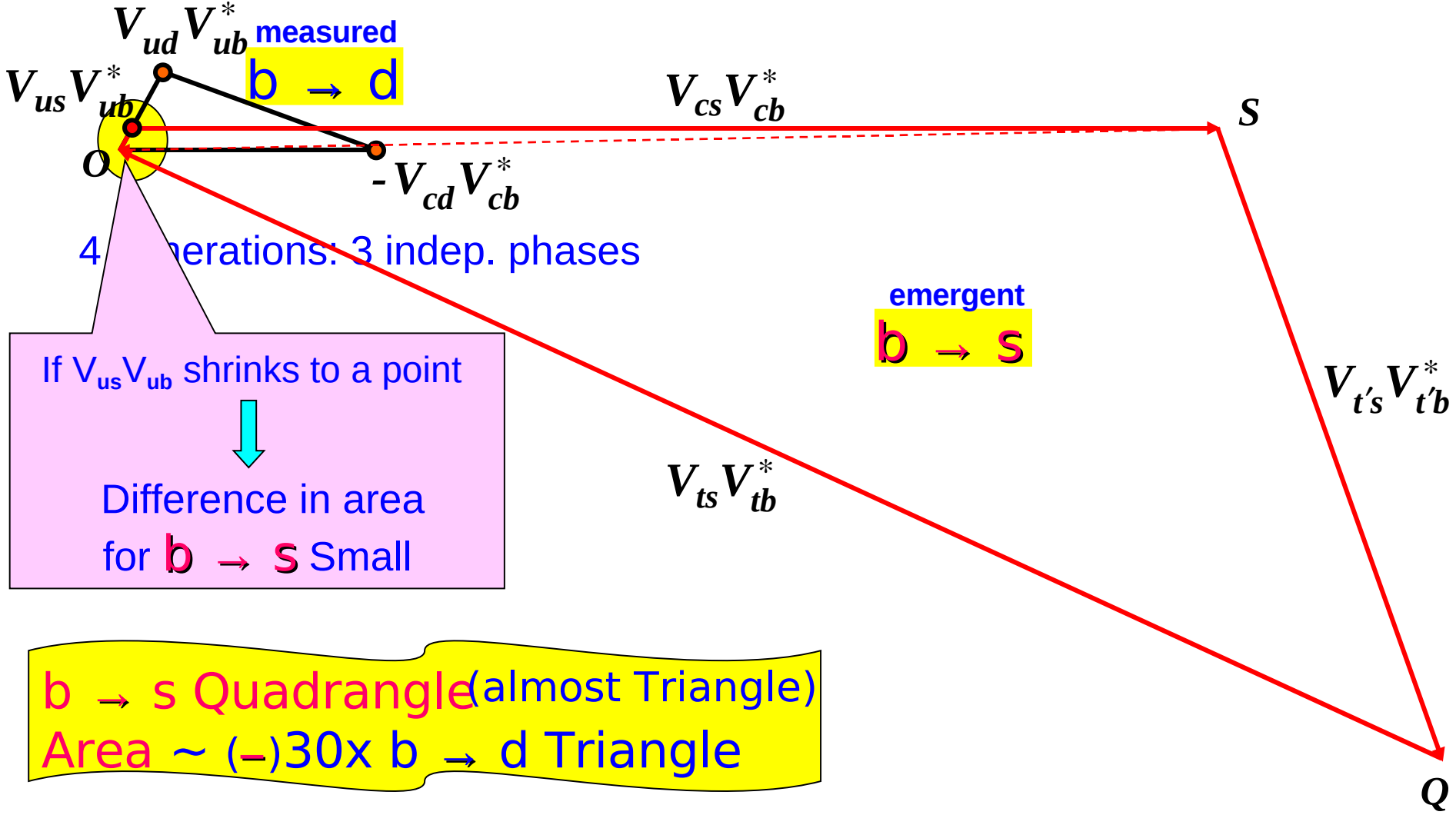
ca. late summer 2007 ...

# Large Yukawa !

*YuReKawa !*



# 4 generations: 3 indep. phases



2nd argument that  $J_{(2,3,4)}^{sb}$  is predominant CPV